# Oracle® Database

Data Cartridge Developer's Guide, 10*g* Release 2 (10.2) **B14289-01** 

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# **Preface**

The *Oracle Data Cartridge Developer's Guide* describes how to build and use **data cartridges** to create custom extensions to the Oracle server's indexing and optimizing capabilities.

### **Audience**

Oracle Data Cartridge Developer's Guide is intended for developers who want to learn how to build and use data cartridges to customize the indexing and optimizing functionality of the Oracle server to suit specialty data such as that associated with chemical, genomic, or multimedia applications.

To use this document, you need to be familiar with using Oracle and should have a background in an Oracle-supported programming language such as PL/SQL, C, C++, or Java.

# **Documentation Accessibility**

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The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

# What's New in Data Cartridges?

This section describes the new features of data cartridges, and provides pointers to additional information. Information on new features for the previous releases is retained to help users upgrading to the current release.

The following sections describe new features:

- Oracle 10g Release 2 (10.2) New Features in Data Cartridges
- Oracle 10g Release 1 (10.1) New Features in Data Cartridges

# Oracle 10g Release 2 (10.2) New Features in Data Cartridges

There are no new features in this release.

# Oracle 10g Release 1 (10.1) New Features in Data Cartridges

New data cartridge features include:

- Extensible Indexing
- Extensibility Enhancements

#### Extensible Indexing

Extensible indexing includes support for:

- Array inserts: In prior releases, inserting an array of values was not supported for domain indexes, thereby adversely affecting INSERT performance. An array of values can now be inserted in a batch using the ODCIIndexInsert interface.
- Parallel creation of local domain indexes: Local domain indexes deal with large amounts of data. Hence, the index creation time for local domain indexes was a bottleneck. Now, local indexes can be created in parallel (with inter-partition parallelism).
- ALTER OPERATOR: As the indexing scheme evolves, users might want to support additional operator bindings or remove redundant bindings. Support for ALTER OPERATOR add or drop binding allows for operator evolution.
- WITH COLUMN CONTEXT for operators: Frequently, the functional implementation for operators needs to look up the data structures that are associated with the column. However, for the functional implementation, there was no way to only pass the column information if there was no index defined on the column. Now, additional syntax is provided at the CREATE OPERATOR level to indicate that the functional implementation needs the column information to be passed in.

- Passing debugging information to the index implementation methods: Based on system level settings, the index type code sometimes needs to dump debugging information. A new system level event is introduced, which if set is passed in to the index type methods and the methods can then selectively dump the debugging information.
- INSERT AS APPEND: INSERT (with append hint) and maintenance of global and local domain indexes during partitioned table maintenance operations is now supported. Global and local domain indexes were previously marked UNUSABLE, whenever a partition maintenance operation was defined on the base table, thereby requiring users to rebuild the indexes or the affected index partitions. This restriction is now removed and both global and local domain indexes are now maintained along with the base table partition maintenance operations.

**See Also:** Extensible indexing enables you to implement your modes of indexing in addition to those that are built into Oracle.

- Chapter 7, "Using Extensible Indexing"
- Chapter 8, "Building Domain Indexes"
- Chapter 20, "Extensible Indexing Interface"

#### **Extensibility Enhancements**

Enhancements to the pipelined table functions framework include:

- Projection information passed to the table function help it compute only the required attributes
- Integration with the extensible optimizer produces better query plans
- Support of anonymous return types for AnyDataSet table functions

**See Also:** The following chapters have been updated to reflect the modifications made for extensibility enhancements and the anonymous types and anonymous collection types.

- Chapter 13, "Using Pipelined and Parallel Table Functions"
- Chapter 19, "Extensibility Constants, Types, and Mappings"
- Chapter 21, "Extensible Optimizer Interface"
- Chapter 23, "Pipelined and Parallel Table Functions"

# Part I

# Introduction

This part introduces data cartridges. It contains the following chapters:

- Chapter 1, "Introduction to Data Cartridges"
- Chapter 2, "Roadmap to Building a Data Cartridge"

# **Introduction to Data Cartridges**

In addition to the efficient and secure management of data ordered under the relational model, Oracle provides support for data organized under the object model. Object types and other features such as large objects (LOBs), external procedures, extensible indexing, and query optimization can be used to build powerful, reusable server-based components called data cartridges.

This chapter contains these topics:

- Overview of Data Cartridges
- Uses of Data Cartridges
- Extending the Server: Services and Interfaces

# Overview of Data Cartridges

Data cartridges extend the capabilities of the Oracle server by taking advantage of Oracle Extensibility Architecture framework. This framework lets you capture business logic and processes associated with specialized or domain-specific data in user-defined datatypes. Data cartridges that provide new behavior without needing new attributes have the option of using packages rather than user-defined types. Either way, you determine how the server interprets, stores, retrieves, and indexes the application data. Data cartridges package this functionality, creating software components that plug into a server and extend its capabilities into a new domain, making the database itself **extensible**.

You can customize the indexing and query optimization mechanisms of an extensible database management system and provide specialized services or more efficient processing for user-defined business objects and rich types. When you register your implementations with the server through extensibility interfaces, you direct the server to implement your customized processing instructions instead of its own default processes.

The extensibility interfaces consist of functions that the server calls to execute the custom indexing or optimizing behavior implemented for a data cartridge. The interfaces are defined by Oracle; as a cartridge developer, you must implement the functions or interfaces that have the specialized behavior you require in your application. In general, you implement the functions as static methods of an object type. An object type that implements the extensible indexing interface is called an **indextype**; an object type that implements the extensible optimizing interface is called a statistics type.

Data cartridges have the following key characteristics:

- Data cartridges are server-based. Their constituents reside on the server or are accessed from the server. The server runs all data cartridge processes, or dispatches these processes as external procedures.
- Data cartridges extend the server. They define new types and behavior, enabling the server to perform processes that were are otherwise unavailable to it, in component form. Data cartridges can use these new types and behaviors in their applications.
- Data cartridges are integrated with the server. The Oracle Extensibility Framework defines a set of interfaces that integrate data cartridges with the components of the server engine, allowing for domain-specific indexing, domain-specific optimized access to the the CPU resources, and domain-specific optimization of I/O access to cartridge data.
- Data cartridges are packaged. A data cartridge is installed as a unit. Once installed, the data cartridge handles all access issues for each user, including verification of schemas and privileges.

# Uses of Data Cartridges

Most industries have evolved sophisticated models to handle complex data objects that make up the essence of their business. These data objects are both the structures that relate different units of information and the operations that are performed on them.

The simple names given to data objects often conceal considerable complexity. For example, the banking industry has many different types of bank accounts. Each bank account has customer demographic information, balance information, transaction information, and rules that embody its behavior (deposit, withdrawal, interest accrual, and so forth). When using data cartridges and their object-relational extention, application programmers and independent software vendors can encapsulate business logic in software components that integrate with the Oracle server and enhance it to support data types, processes, and logic to model business objects.

While business models have developed increasingly complex data objects, information technology has made it necessary to work with new and complex kinds of data, such as satellite images, X-rays, animal sounds, seismic vibrations, and chemical models. Complex and multimedia datatypes are now frequently stored and retrieved, queried and analyzed.

Web-based applications routinely include many different kinds of complex data. Including application-specific data types and the associated business logic requires a new class of networked, content-rich, multitiered, distributed applications. Data cartridges help you meet this need by combining scalar and unstructured datatypes in domain-specific components.

# **Data Cartridge Domains**

Data cartridges are typically domain-specific, characterized by content and scope of their target domain.

In terms of **content**, a data cartridge can accommodate scalar, complex, and multimedia data. Scalar data can be modeled using native SQL types such as INTEGER, NUMBER, or CHAR. Complex data include matrices, temperature and magnetic grids, and compound documents. Unstructured multimedia data includes such information as video, voice, and image data.

In terms of **scope**, a data cartridge can have either broad horizontal (cross-industry) coverage, or it can be specialized for a specific type of business. For example, a data cartridge for general storage and retrieval of text-based data is cross-industry in scope; a data cartridge for the storage and retrieval of legal documents for litigation support is industry-specific. Table 1–1 shows a way of classifying data cartridge domains according to their content and scope, with some examples.

Table 1-1 Data Cartridge Domains; Content and Scope

Content	Scope: Cross-Industry Uses	Scope: Industry-Specific Extensions
Scalar Data	Statistical conversion	Financial and Petroleum
Multimedia and Complex Unstructured Data	Text	Image
Audio/Video	Spatial	Legal
Medical	Broadcasting	Utilities

You can also use scalar datatypes to construct more complex user-defined types. The object-relational database management system provides foundational data cartridges that package multimedia and complex data. These data cartridges can be used in developing applications across many different industries:

- The Text cartridge uses the tokenized serial byte stream database model are used to implement display compress, reformat, and indexing behavior.
- The Image cartridge uses the database model for structured large objects to implement compress, crop, scale, rotate and reformat behavior.
- The Spatial cartridge is for use with goemetric objects (points, lines, polygons); it implements project, rotate, transform and map bahavior.
- The Video cartridge uses the structured large object database model to support serial (dynamic) image data compression, play, rewind and pause bahavior.

Another way of viewing the relationship of cartridges to domains is to consider basic multimedia datatypes as an extensible foundation that can be customized for specific industries. For example, medical applications can customize the text cartridge for records, the image cartridge for MRI results, the audio cartridge for heartbeat monitoring, and the spatial cartridge for demographic analysis.

A cartridge that provides basic services can be deployed across many industries. A cartridge can also leverage domain expertise across an industry. These cartridges can be further extended for more specialized vertical applications.

# Extending the Server: Services and Interfaces

The Oracle server provides services for basic data storage, query processing, optimization, and indexing. Applications use these services to access database capabilities. However, data cartridges have specialized needs because they incorporate domain-specific data. To accommodate these specialized applications, these basic services have been made extensible. This means that where standard Oracle services are not adequate for meeting a data cartridge's requirements, you can provide additional services that satisfy the additional requirements of the specific data cartridge. Every data cartridge can provide its own implementations of these services.

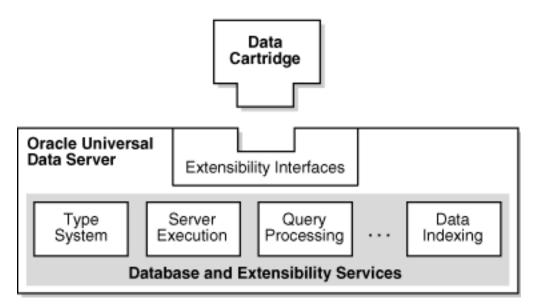
For example, if you are developing a spatial data cartridge for geographic information systems (GIS) applications, you might need to implement routines that create a spatial index, insert an entry into the index, update the index, delete from the index, and perform other required operations. Thus, you extend the indexing service of the server.

**See Also:** Chapter 15, "Power Demand Cartridge Example".

### **Extensibility Services**

This section describes some of the extensible services, highlighting major Oracle capabilities as they relate to data cartridge development. Figure 1–2 shows the standard services implemented by the Oracle server.

Figure 1-1 Oracle Services



#### **Extensible Type System**

The Oracle universal data server provides both native and extensible type system services. Historically, most applications have focused on accessing and modifying corporate data that is stored in tables composed of native SQL datatypes, such as INTEGER, NUMBER, DATE, and CHAR. Oracle adds support for new types, including:

- User-defined object types
- Collections, such as VARRAY (varying length array) and nested tables
- Relationships (REFs)
- Large object types (LOBs), such as binary large objects (BLOBs), character large objects (CLOBs), and external binary files (BFILEs)

**User-Defined Types** A user-defined type extents the modeling capabilities of the native datatypes and from them both because it is defined by a user, and because it specifies both the underlying persistent data (attributes) and the related behaviors (methods).

With user-defined types, you can make better models of complex entities in the real world by binding data attributes to semantic behaviors. A user-defined type can have one or more attributes, each with a name and a type. The type of an attribute can be a native SQL type, a LOB, a collection, another object type, or a REF type.

#### See Also:

- Chapter 3, "Defining Object Types" for type definition syntax.
- Oracle Database Application Developer's Guide Object-Relational Features for more information on user-defined types.

A method is a procedure or a function that is part of a user-defined type. Methods can access and manipulate attributes of their type while running within the execution environment of the Oracle server, or when they are dispatched outside the server as part of the extensible server execution environment.

**Collection Types** Collections are SQL datatypes that contain multiple elements. Elements, or values, of a collection are all from the same type hierarchy. In Oracle, collections of complex types can be VARRAYS or nested tables.

A VARRAY type contains a variable number of ordered elements and can be used for a column of a table or an attribute of an object type. The element type of a VARRAY can be either a native datatype, such as NUMBER, or a user-defined type.

To provide the semantics of an unordered collection, you could create a nested table using Oracle SQL As with a VARRAY, a nested table can define a column of a table or an attribute of a user-defined type.

Reference Types If you create an object table in Oracle, you can obtain a reference, REF, that behaves like a database pointer to an associated row object. References are important for navigating among object instances. Because REFs rely on the underlying object identity, you can only use a REF with an object stored as a row in an object table, or with objects composed from an object view.

#### See Also:

- *Oracle Database SQL Reference* for details of the REF operator.
- Oracle Database Application Developer's Guide Object-Relational Features for more information about objects.

Large Objects Large object types, or LOBs, handle the storage demands of images, video clips, documents, and other forms of unstructured data. LOBs storage optimizes space requirements and efficient access.

LOBs are composed of locators and the related binary or character data. The locators are stored in-line with other table columns. Internal LOBs (BLOBs, CLOBs, and NCLOBs) can store data in a separate database storage area. External LOBS (BFILES) store the data outside the database tablespaces, in operating system files. A table can contain multiple LOB columns, in contrast to the limit of a single LONG RAW column for each table. Each LOB column can be stored in a separate tablespace, and even on different secondary storage devices.

You can create, modify, and delete tables and object types that contain LOBs using the Oracle SQL data definition language (DDL) extensions. Using the Oracle SQL data manipulation language (DML) statements, you can insert and delete complete LOBs. There is also an extensive set of statements for piece-wise reading, writing, and manipulating of LOBs within Java, PL/SQL, and the Oracle Call Interface.

For internal LOB types, both the locators and related data participate fully in the transactional model of the Oracle server. The data for BFILEs does not participate in transactions; however, BFILE locators are fully supported by Oracle server transactions.

Unlike scalar quantities, a LOB value cannot be indexed by built-in indexing schemes. However, you can use the various LOB APIs to build modules, including methods of user-defined types, to access and manipulate LOB content. You can define the semantics of data residing in LOBs and manipulate this data using the extensible indexing framework.

#### See Also:

- Chapter 6, "Working with Multimedia Datatypes" for information on how to use LOBs to store and manipulate binary and character data that represents your domain.
- *Oracle Database Application Developer's Guide Large Objects for* detailed discussions of large objects.

#### **Extensible Server Execution Environment**

The Oracle type system decouples the implementation of a member method for a user-defined type from the specification of that method. Oracle data cartridge components can be implemented using a large number of popular programming languages, such as PL/SQL, C, C++, or Java, extending the database server runtime environment by user-defined methods, functions, and procedures.

Java offers data cartridge developers a powerful implementation choice for data cartridge behavior. PL/SQL is a powerful procedural language that supports all the object extensions for SQL. With PL/SQL, program logic can execute on the server and perform traditional procedural language operations such as loops, if-then-else clauses, and array access.

While PL/SQL and Java are powerful, certain computation-intensive operations such as a Fast Fourier Transform or an image format conversion are handled more efficiently by C programs. You can call C language programs from the server, running them in a separate address space, thus insulating the server and protecting the database from corruption by external procedure failures.

With certain reasonable restrictions, external procedures can callback the Oracle Server using OCI. Callbacks are particularly useful for processing LOBs. External procedure can use callbacks to perform piece-wise reads or writes of LOBs stored in the database, or to manipulate domain indexes stored as index-organized tables in the database.

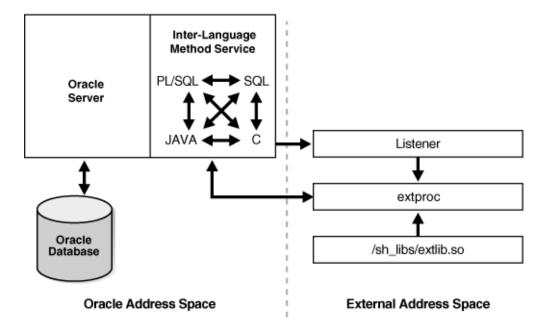


Figure 1–2 External Programs Executing in a Separate Address Space

#### Extensible Indexing

Basic database management systems support a few types of access methods, such as B+trees and hash indexes, on a limited set of data types, such as numbers and strings. For simple data types like integers and small strings, all aspects of indexing can easily be handled by the database system. As data becomes more complex with addition of text, spatial, image, video, and audio information, it requires complex data types and specialized indexing techniques.

Complex data types have application-specific formats, indexing requirements, and selection predicates. For example, there are many different means of document encoding (ODA, XML, plain text) and information retrieval techniques (keyword, full-text boolean, similarity, and probabilistic). Similarly, R-trees are an efficient method of indexing spatial data. To enable you to define the index types neccessary for your business requirements, Oracle provides an extensible indexing framework.

Such user-defined indexes are called domain indexes because they index data in an application-specific domain. The cartridge is responsible for defining the index structure, maintaining the index content during load and update operations, and searching the index during query processing. The physical index can be stored either in the Oracle database as tables, or externally as a file.

A domain index is a schema object. It is created, managed, and accessed by routines implemented as methods of a user-defined type called an indextype. The routines that an indextype must implement, and the operations the routines must perform, are described in Chapter 8, "Building Domain Indexes". Implementation of the routines is specific to an application, and must be completed by the developer of the cartridge.

With extensible indexing, the application must have the following processes:

- Define the structure of the domain index.
- Store the index data, either inside or outside the Oracle database.
- Manage, retrieve, and use the index data to evaluate user querie.

When the database system handles the physical storage of domain indexes, data cartridges must have the following processes:

- Define the format and content of an index. Cartridges define an index structure that can accommodate a complex data object.
- Build, delete, and update a domain index. Cartridges build and maintain the index structures. Because indexes are modeled as collections of tuples, they directly support in-place updates.
- Access and interpret the content of an index. Cartridges become an integral component of query processing by handling content-related clauses for database queries.

Typical relational and object-relational database management systems do not support extensible indexing. Consequently, many applications maintain file-based indexes for complex data in relational database tables. A considerable amount of code and effort is required to complete the following tasks:

- Maintain consistency between external indexes and the related relational data.
- Support compound queries involving tabular values and external indexes.
- Manage the system, performing backup, recovery, storage allocation, and so on, with multiple forms of persistent storage, such as files and databases.

By supporting extensible indexes, the Oracle server significantly reduces the level of effort needed to develop solutions involving high-performance access to complex datatypes.

#### **Extensible Optimizer**

The extensible optimizer lets user-defined functions and indexes collect statistical information, such as selectivity and cost functions, and generates an execution plan for a SQL statement. This information is used by the optimizer in choosing a query plan, thus extending the optimizer to use the user-supplied information. The rule-based optimizer is remains unchanged.

An execution plan generated by the optimizer includes an access method for each table in the FROM clause, and an ordering, called the join order, of the tables in the FROM clause. System-defined access methods include indexes, hash clusters, and table scans. For each table in the join order, the optimizer chooses a plan by generating a set of join orders or permutations, computing the cost of each, and selecting the one with the lowest cost. The cost of the join order is the sum of the access method and join method costs.

The **cost model** is a group of algorithms used for calculating the cost of a given operation. It can include varying levels of detail about the physical environment in which the query runs. The current cost model includes the number of disk accesses and estimates of network costs, with minor adjustments.

The optimizer also uses statistics about the objects referenced in the query to calculate cost and **selectivity**, or the the fraction of rows in a table that will be chosen by the query (between 0 and 100, a percentage). The DBMS\_STATS package contains methods for generating these statistics.

Extensibility allows users to define new operators, index types, and domain indexes, and enables the control of the three main components used by the optimizer to select an execution plan: statistics, selectivity, and cost.

**See Also:** *PL/SQL Packages and Types Reference* for information about DBMS STATS.

### Extensibility Interfaces

There are three classes of extensibility interfaces: DBMS interfaces, cartridge basic service interfaces, and data cartridge interfaces.

#### **DBMS Interfaces**

The DBMS interfaces offer the simplest kind of extensibility services. They can be used through through extensions to SQL or to the Oracle Call Interface (OCI). For example, the extensible type manager uses the CREATE TYPE syntax in SQL. Similarly, extensible indexing uses DDL and DML support for specifying and manipulating indexes.

#### Cartridge Basic Service Interfaces

Cartridge basic interfaces provide generic services like memory management, context management, internationalization, and cartridge-specific management. They implement behavior for new datatypes in the context of the server's execution environment and provide routines that help developers to implement portable and robust server-side methods.

#### Data Cartridge Interfaces

When processing user-defined indextypes, Oracle calls data cartridge functions to perform index search or fetch operations. For user-defined query optimization, the query optimizer calls functions implemented by the data cartridge to compute the cost of user-defined operators or functions.

# Roadmap to Building a Data Cartridge

This chapter recommends a process for developing data cartridges, including relationships and dependencies among the steps of the process.

This chapter contains these topics:

- Data Cartridge Development Process
- Cartridge Installation and Use
- Requirements and Guidelines for Data Cartridge Components
- Cartridge Installation Directory
- Data Cartridge Deployment Checklist

# **Data Cartridge Development Process**

To understand the Data Cartridge development process, consider the project as a whole.

# Understanding the purpose

The first step in developing a data cartridge is to establish the domain-specific value you intend to provide by clearly defining the new capabilities of the cartridge. Specify the objects the cartridge will expose to users.

#### Understand the users

If the intended users of the cartridge are software developers, the extensibility of the cartridge is of crucial importance. If they are end-users, the cartridge must be highly attuned to its intended domain. The design of the cartridge should reflect a business model that has a clear understanding of all users. Regardless of the size of the cartridge, the development team must have a thorough understand the object-relational database management system and apply it to the problems of the cartridge's domain.

# Plan the project

Use a well-defined software development process, clearly identify expectations and deliverables, and set reasonable milestones for Data Cartridge development. Scheduling appropriate time for the project and having a realistic picture of available resources skills will make the project more likely to succeed.

### Implement the project

- When choosing and designing objects, ensure that their names and semantics are familiar and clearly understood by developers and end-users.
- When defining a collection of objects, consider the interface between the SQL side of object methods and the programming language used in your application development. Keep this interface as simple as possible by limiting the number of methods that call library routines, avoiding numerous calls into low-level library entry points, and writing large blocks of code that worked with pre-fetched data.
- Once the interface is defined, proceed along parallel paths, as illustrated in Figure 2–1. You can proceed on the paths in any order that suits the available resources.

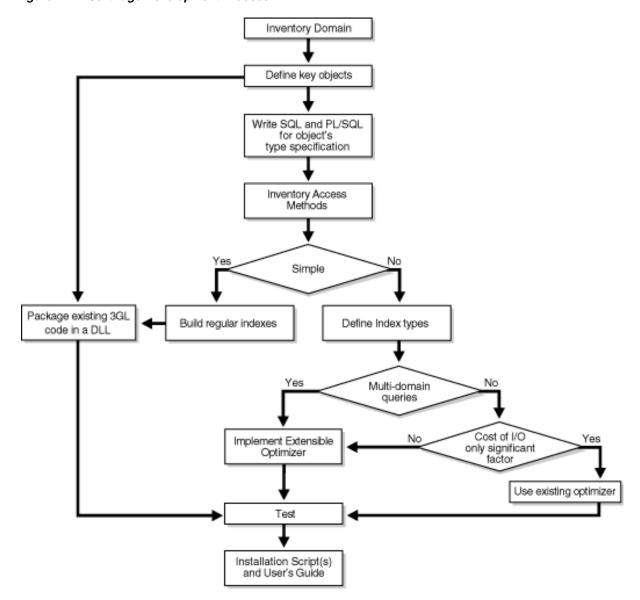


Figure 2–1 Cartridge Development Process

The leftmost of these parallel paths packages existing 3GL code that performs relevant operations in a runtime library such as a DLL, possibly with new entry points on top of old code. The library routines will be called by the SQL component of the object's method code. Where possible, this code should be tested in a standalone fashion using a 3GL test program.

The middle path defines and writes the object's type specification and the PL/SQL components of the object's method code. Some methods can be written entirely in PL/SQL, while others call into the external library. If your application requires an external library, provide the library definition and the detailed bindings to library entry routines.

The direction you take at the choice point depends on the complexity of the access methods you need to deploy to manipulate your data. If the query methods you need are relatively simple, you can build regular indexes. If your data is complex, you will need to define complex index types to make use of Oracle's extensible indexing technology. If you also need to allow multi-domain queries, you should make use of Oracle's extensible optimizer technology.

If your situation does not involve executing queries on multiple domains, and I/O is the only significant factor affecting performance, then the standard optimizing techniques are probably sufficient. However, if there are other factors such as CPU cost affecting performance, you might still need to use the extensible optimizer.

#### **Test and Installation**

The final steps are to test the application and create the necessary installation scripts.

## Cartridge Installation and Use

**Installation** of a data cartridge is the process of assembling its components so that the server can locate them and understand the user-defined type definitions. To correctly place thse components, you must:

- Define tables and user-defined types in the server. This is usually accomplished by running SQL scripts.
- **2.** Place the dynamic link libraries in the location expected by the linkage specification.
- 3. Copy online documentation, help files, and error message files to a managed location.
- 4. Register the user-defined types with the server by running SQL scripts that load each new types defined for the cartridge. This step must be performed from a privileged account.
- **5.** Grant the necessary access privileges to the users of the cartridge.

## Requirements and Guidelines for Data Cartridge Components

The following requirements and guidelines apply to some database objects associated with data cartridges.

## **Cartridge Schemas**

The database components that make up each cartridge must be installed in a schema that has the same name as the cartridge. If a cartridge uses multiple schemas, the first 10 characters of each schema name must be the same as the cartridge name. Note that the length of schema names in Oracle is limited to 30 bytes, or 30 characters in a single-byte language.

The database components of a data cartridge that must be placed in the cartridge schema include names for types, tables, views, directories, libraries and packages. Because the schema name and username are always the same in Oracle, the choice of a schema name determines the username.

#### Cartridge Globals

Some database-level cartridge components are in scope, and are therefore visible to all users instead of being within the scope of a single user or schema. Examples of such globals are roles, synonyms, and sequences. All global names should start with the cartridge name, and be of the form:

C\$CARTRIDGEGLOBAL

#### Cartridge Error Message Names or Error Codes

Currently, error code ORA-20000 is reserved for all errors generated by applications that use Oracle products. The error message text is cusomizable. You should write the cartridge-specific error messages in the form:

ORA-20000: C\$CARTRIDGE-NNNN: %s

#### where

- C\$CARTRIDGE is the name of the cartridge where the error orriginated
- NNNN is the number of the error message, unique to that cartridge
- %s is the description of the cartridge-specific error

**See Also:** Oracle Database Error Messages for information on writing and managing error messages

#### Cartridge Installation Directory

Oracle recommends that you create a cartridge installation directory, specific to a venodr or client organization. This installation directory should includes the operating system-level components of the cartridge, such as shared libraries, configuration files, directories, and similar components. This directory name should be the same as the prefix chosen by the organization, and created under the root directory for the platform.

## Cartridge Files

Oracle recommends that you place error message files associated with each cartridge into cartridge-specific subdirectories. It is also convenient to keep configuration files in a cartridge-specific subdirectory.

## Shared Library Names for External Procedures

Shared libraries (.so or .dl1 files) can be placed either into the cartridge installation directory (all library names must be unique), or into a separate directory. If you are using a separate directory, the file names should start with the cartridge name, excluding the initial C\$. If there are many such libraries, each name should start with the first seven letters of the cartridge name, again excluding the C\$.

## **Data Cartridge Deployment Checklist**

At the *deployment* level, you face a number of common issues. The optimal approach to these problems depends on the needs of your application. The following list includes tasks that should form the basis of your checklist, and some proposed solutions.

You need a way to install and uninstall your cartridge components. This includes libraries, database objects, flat files, programs, configuration tools, administration tools, and other objects. Consider using Oracle's Universal Installer to perform these operations.

**See Also:** Oracle Universal Installer Concepts Guide

- You should allow for installation of multiple versions of a cartridge to provide backward compatibility and availability. Incorporate Oracle's migration facilities into your strategy.
- You need to track which data cartridges are installed in order to install cartridges that depend on other cartridges, or to handle different versions of installed components.
- You need to provide an upgrade path for migrating to newer versions of cartridges. Again, Oracle's migration facilities can be helpful.
- To limit access to cartridge components to specific users and roles, combine Oracle's security mechanisms with procedures that operate under invoker's and definer's rights depending on the need.
- You need to keep track of which users have access to a cartridge for administration purposes. Consider making use of a table with appropriate triggers.
- Knowing where cartridges are installed is often a security and administration concern. There is currently no easy way of knowing which cartridges are installed in a particular database or what users have access to the cartridge or any of its components. If this information is important in your situation, keep track of it by any convenient method.

#### **Data Cartridge Naming Conventions**

This section discusses how the components of a data cartridge should be named. It is intended for independent software vendors (ISVs) and others who are creating cartridges to be used by others.

**Note:** Most examples in this manual do not follow the naming conventions, because they are intended to be as simple and generic as possible. However, as your familiarity with the technology increases and you consider building data cartridges to be used by others, you should understand and follow these naming conventions.

The naming conventions in this chapter assume a single-byte character set.

#### See Also:

- "Cartridge Internationalization" on page 2-9 for information on using other character sets
- "Globalization Support" on page 12-5 for information on support for multiple languages and locales

#### **Need for Naming Conventions**

In a production environment, an Oracle database might have multiple data cartridges installed. These data cartridges could be from different development groups or

vendors, thus developed in isolation. Each data cartridge consists of various schema objects inside the database, as well as other components visible at the operating system level, such as external procedures in shared libraries. If multiple data cartridges tried to use the same names for schema objects or operating system-level entities, the result would be incorrect and inconsistent behavior.

Furthermore, because exception conditions during the runtime operation of data cartridges can cause the Oracle server to return errors, it is important to prevent conflicts between error or message codes of different data cartridges. These conflicts can arise if, for example, two cartridges use the same error code for different error conditions. Having unique error and message codes ensures that the origin of the exception condition can be readily identified.

#### **Unique Name Format**

To prevent multiple data cartridge components from having the same name, Oracle recommends the following convention to ensure unique naming of data cartridges. This convention depends on each organization developing data cartridges choosing a unique name. To ensure uniqueness, Oracle provides a name reservation service.

Each organization should choose and reserve a prefix. Oracle will add C\$ to the start of the prefix string. This prefix can then be used to name the database schema in which the database components of the data cartridge reside, or to name the directory in which the operating-system components of the data cartridge are placed.

Data cartridges and their components should have names of the following format:

C\$pppptttm.cccc

Table 2–1 describes the parts of this naming convention format.

Table 2–1 Data Cartridge Naming Conventions

Part	Explanation	Example
C\$	Recommended by Oracle for all data cartridges.	
pppp	Prefix selected by the data cartridge creator. (Must be exactly four characters.)	ACME
ttt	Type of cartridge, using an abbreviation meaningful to the creator. Three characters.	AUD (for audio)
m	Miscellaneous information indicator, to allow a designation meaningful to the creator. One character.	1 (perhaps a version number)
. (period)	Period required if specifying an object in full <i>schema.object</i> form.	
cccc	Component name. Variable length.	mf_set_volume

Oracle recommends that all characters in the name except for the dollar sign (\$) as the second character be alphanumeric: letters, numbers, underscores, and hyphens.

For example, Acme Cartridge Company chooses and registers a prefix of ACME. It provides an audio data cartridge and a video data cartridge, and chooses AUD and VID as the type codes, respectively. It has no other information to include in the cartridge name, and so it chooses an arbitrary number 1 for the miscellaneous information indicator. As a result, the two cartridge names are:

- C\$ACMEAUD1
- C\$ACMEVID1

For each cartridge, a separate schema must be created, and Acme uses the cartridge name as the schema name. Thus, all database components of the audio cartridge must be created under the schema C\$ACMEAUD1, and all database components of the video cartridge must be created under the schema C\$ACMEVID1. Examples of some components might include:

- C\$ACMEVID1.mf\_rewind
- C\$ACMEVID1.vid\_ops\_package
- C\$ACMEVID1.vid stream lib

Each organization is responsible for specific naming requirements after the C\$pppp portion of the object name. For example, Acme Cartridge Company must ensure that all of its cartridges have unique names and that all components within a cartridge have unique names.

#### Cartridge Registration

A naming scheme requires a registration process to handle the administration of names of components that make up a data cartridge.

#### Cartridge Directory Structure and Standards

You need some directory standards that specify where to put your binaries, support files, messages files, administration files, and libraries.

You also need to define a database user who will install your cartridges. One possible solution is to use EXDSYS, for External Data Cartridge System user.

**Note:** The EXDSYS user is a user with special privileges required for running cartridges. This user could be installed as part of cartridge installation, but a better solution is to make it part of the database installation. To do this, you need to move this process into a standard database creation script.

## Cartridge Upgrades

Administrators need a safe way to upgrade a cartridge and its related metadata to a newer version of the cartridge. You also require a process for upgrading data and removing obsolete data. This may entail installation support and database support for moving to newer database cartridge types

Administrators also require a means to update tables using cartridge types when a cartridge changes.

## Import and Export of Cartridge Objects

To import and export objects, you need to understand how Oracle's import and export facilities handle Oracle objects. In particular, you need to know how types are handled and whether the type methods are imported and exported, and also whether user-defined methods are supported.

## Cartridge Versioning

There are two types of cartridge versioning problems that need to be addressed:

Internal Versioning

#### External Versioning

#### Internal Versioning

Internal versioning is the harder problem. Ideally, you would like a mechanism to support multiple versions of a cartridge in the database. This would provide backward compatibility and also make for high availability.

#### **External Versioning**

External versioning is the easier of the two versioning problems. You need to be able to track a cartridge version number and take action accordingly upon installation or configuration based on versioning information.

#### Cartridge Internationalization

You might want to internationalize your cartridges, so they can support multiple languages and access Globalization Support facilities for messages and parsing.

**See Also:** Oracle Database Globalization Support Guide

Oracle recommends that data cartridge component names use the ASCII character set.

If you must name the data cartridge components in a character set other than ASCII, Oracle will still assign you a four-character unique prefix. However, this increases the number of bytes required to hold the prefix. The names of all Oracle schema objects must fit into 30 bytes. In ASCII, this equals 30 characters. If you have, for example, a six-byte character set and request a four-character prefix string, Oracle might truncate your request to a smaller number of characters.

#### Cartridge Administration

When planning and developing a data cartridge, you should consider the issues involved in administering its use.

#### Administering Cartridge Access

- How do administrators know who has access to a cartridge? Administrators need to administer access rights to internal and external components such as programs and data files to specific users and roles.
- How do administrators restrict access to certain tables, types, views, and other cartridge components to individual users and roles?

For security reasons, administrators must be allowed to restrict access to types on an individual basis.

Some data cartridges, such as Oracle's Image Cartridge, have few security issues. These cartridges might grant privileges to every user in the database. Other cartridges that are more complex might need differing security models. In building complex data cartridges, you need a way to identify the various components of your cartridge as well as instances of the cartridge, so administrators can grant and revoke security roles on identifiable components.

#### Invoker's Rights

Invoker's rights is a special privilege that allows the system to access database objects to which it would not normally have access. The special user SYS has such rights.

Unless you are willing to grant privileges to public, the user you create to install and run your cartridge needs this privilege.

#### Configuration

Data cartridges need a front end to handle deployment issues, such as installation, as well as configuration tools. While each data cartridge may have differing security needs, a basic front end that allows a user to install, configure, and administer data cartridge components is necessary.

This front end may just be some form of knowledge base or on-line documentation. In any case, it should be online, easy to navigate, and contain templates exhibiting standards and starting points.

#### **Suggested Development Approach**

In developing a data cartridge, take a systematic approach, starting with small, easy tasks and building incrementally toward a comprehensive solution. This section presents a suggested approach.

To create a prototype data cartridge:

- 1. Read the relevant chapters of this book. Experiment with the examples in the example chapters: Chapter 15, "Power Demand Cartridge Example", Chapter 16, "PSBTREE: Extensible Indexing Example", and Chapter 17, "Pipelined Table Functions: Interface Approach Example").
- **2.** Create the prototype of your own data cartridge, starting with a single user-defined type and a few data elements and methods. You can add user-defined types, data elements, and methods, specific indextypes, and user-defined operators as you expand the cartridge's capabilities.
- **3.** Begin by implementing your methods entirely in SQL, and add callouts to 3GL code later if you need them.
- Test and debug your cartridge.

When you have the prototype working, you might want to follow a development process that includes these steps:

- Identify your areas of domain expertise.
- Identify those areas of expertise that are relevant to persistent data.
- Consider the feasibility of packaging one or more of these areas as a new data cartridge or as an extension to an existing cartridge.
- Use an object-oriented methodology to help decide what object types to include in data cartridges.
- Build and test the cartridges, one at a time.

# Part II

# **Building Data Cartridges**

This part contains instructions for building the components of data cartridges:

- Chapter 3, "Defining Object Types"
- Chapter 4, "Implementing Data Cartridges in PL/SQL"
- Chapter 5, "Implementing Data Cartridges in C, C++ and Java"
- Chapter 6, "Working with Multimedia Datatypes"
- Chapter 7, "Using Extensible Indexing"
- Chapter 8, "Building Domain Indexes"
- Chapter 9, "Defining Operators"
- Chapter 10, "Using Extensible Optimizer"
- Chapter 11, "User-Defined Aggregate Functions"
- Chapter 12, "Using Cartridge Services"
- Chapter 13, "Using Pipelined and Parallel Table Functions"
- Chapter 14, "Designing Data Cartridges"

# **Defining Object Types**

This chapter provides an example of starting with a schema for a data cartridge. Object types are crucial to building data cartridges in that they enable domain-level abstractions to be captured in the database.

This chapter contains these topics:

- Objects and Object Types
- Assigning an Object Identifier to an Object Type
- Constructor Methods
- **Object Comparison**

**See Also:** The following manuals for additional information about creating and using object types:

- Oracle Database Application Developer's Guide Object-Relational
- Oracle Database Concepts
- Oracle Database Application Developer's Guide Fundamentals
- PL/SQL User's Guide and Reference

## **Objects and Object Types**

In the Oracle Object-Relational Database Management System (ORDBMS), you use object types to model real-world entities. An object type has attributes, which reflect the entity's structure, and methods, which implement the operations on the entity. Attributes are defined using built-in types or other object types. Methods are functions or procedures written in PL/SQL or an external language like C and stored in the database.

A typical use for an object type is to impose structure on some part of the data kept in the database. For example, an object type named DataStream could be used by a cartridge to store large amounts of data in a character LOB (a data type for large objects). This object type has attributes such as an identifier, a name, a date, and so on. The following statement defines the DataStream datatype:

```
create or replace type DataStream as object (
  id integer,
  name varchar2(20),
  createdOn date,
  data clob,
  MEMBER FUNCTION DataStreamMin return pls_integer,
  MEMBER FUNCTION DataStreamMax return pls_integer,
```

```
MAP MEMBER FUNCTION DataStreamToInt return integer,
PRAGMA restrict_references(DataStreamMin, WNDS, WNPS),
PRAGMA restrict_references(DataStreamMax, WNDS, WNPS));
```

A method is a procedure or function that is part of the object type definition and that can operate on the object type data attributes. Such methods are called **member** methods, and they take the keyword MEMBER when you specify them as a component of the object type. The DataStream type definition declares three methods. The first two, DataStreamMin and DataStreamMax, calculate the minimum and maximum values, respectively, in the data stream stored inside the character LOB.

The third method (DataStreamToInt), a map method, governs comparisons between instances of data stream type.

> **See Also:** "Object Comparison" on page 3-4 for information about map methods

The pragma (compiler directive) RESTRICT\_REFERENCES is necessary for security, and is discussed in the following sections.

After declaring the type, define the type body. The body contains the code for type methods. The following example shows the type body definition for the DataStream type. It defines the member function methods (DataStreamMin and DataStreamMax) and the map method (DataStreamToInt).

```
CREATE OR REPLACE TYPE BODY DataStream IS
   MEMBER FUNCTION DataStreamMin return pls_integer is
     a pls_integer := DS_Package.ds_findmin(data);
     begin return a; end;
   MEMBER FUNCTION DataStreamMax return pls_integer is
     b pls_integer := DS_Package.ds_findmax(data);
     begin return b; end;
   MAP MEMBER FUNCTION DataStreamToInt return integer is
     c integer := id;
     begin return c; end;
end;
```

DataStreamMin and DataStreamMax are call routines in a PL/SQL package named DS\_Package. Since these methods are likely to be compute-intensive (they process numbers stored in the CLOB to determine minimum and maximum values), they are defined as external procedures and implemented in C. The external dispatch is routed through a PL/SQL package named DS\_Package. Such packages are discussed in PL/SQL Packages and Types Reference.

The third method (DataStreamToInt), the map method, is implemented in PL/SQL. Because we have a identifier (id) attribute in DataStream, this method can return the value of the identifier attribute. Most map methods, however, are more complex than DataStreamToInt.

#### See Also:

- Chapter 6, "Working with Multimedia Datatypes" for information about using LOBs with data cartridges
- Oracle Database Application Developer's Guide Large Objects for general information about LOBs

## Assigning an Object Identifier to an Object Type

The CREATE TYPE statement has an optional keyword OID, which associates a user-specified object identifier (OID) with the type definition. It should be used by anyone who creates an object type that will be used in more than one database.

Each type has an OID. If you create an object type and do not specify an OID, Oracle generates an OID and assigns it to the type. Oracle uses the OID internally for operations pertaining to that type. Using the same OID for a type is important if you plan to share instances of the type across databases for such operations as export/import and distributed queries.

**Note:** Oracle documentation often refers to the use of OIDs with rows in object tables. In CREATE TYPE with OID, an OID is assigned to the type itself. Of course, each row created in a table with a column of the specified type will also still have a row-specific OID.

For example, assume that you want to create a type named SpecialPerson and then instantiate that type in two different databases with tables named SpecialPersonTable1 and SpecialPersonTable2. The RDBMS needs to know that the SpecialPerson type is the same type in both instances, and therefore the type must be defined using the same OID in both databases. If you do not specify an OID with CREATE TYPE, a unique identifier is created automatically by the RDBMS.

The syntax for specifying an OID for an object type is:

```
CREATE OR REPLACE TYPE type_name OID 'oid' AS OBJECT (attribute datatype [,...]);
```

In the following example, the SELECT statement generates an OID, and the CREATE TYPE statement uses the OID in creating an object type named mytype. Be sure to use the SELECT statement to generate a different OID for each object type to be created, because this is the only way to guarantee that each OID is valid and globally unique.

```
SQLPLUS> SELECT SYS_OP_GUID() FROM DUAL;
SYS_OP_GUID()
19A57209ECB73F91E03400400B40BBE3
1 row selected.
SQLPLUS> CREATE TYPE mytype OID '19A57209ECB73F91E03400400B40BBE3'
   2> AS OBJECT (attrib1 NUMBER);
Statement processed.
```

## Constructor Methods

Oracle implicitly defines a **constructor method** for each object type that you define. The name of the constructor method is the same as the name of the object type. The parameters of the constructor method are exactly the data attributes of the object type, and they occur in the same order as the attribute definition for the object type. Only one constructor method can be defined for each object type.

For example, when the system executes the following statement to create a type named rational\_type, it also implicitly creates a constructor method for this object type.

```
CREATE TYPE rational_type (
    numerator integer,
```

```
denominator integer);
```

When you instantiate an object of rational\_type, you invoke the constructor method. For example:

```
CREATE TABLE some_table (
    c1 integer, c2 rational_type);
INSERT INTO some_table
    VALUES (42, rational_type(223, 71));
```

## Object Comparison

SQL performs comparison operations on objects. Some comparisons are explicit, using the comparison operators (=, <, >, <>, <=, >=, !=) and the BETWEEN and IN predicates. Other comparisons are implicit, as in the GROUP BY, ORDER BY, DISTINCT, and UNIQUE clauses.

Comparison of objects makes use of special member functions of the object type: map methods and order methods. To perform object comparison, you must implement either a map method or order method in the CREATE TYPE and CREATE TYPE BODY statements.

For example, the type body for the DataStream type, implements the map member function for DataStream comparison as:

```
MAP MEMBER FUNCTION DataStreamToInt return integer is
     c integer := id;
     begin return c; end;
```

This definition of the map member function relies on the presence of the id attribute of the DataStream type to map instances to integers. Whenever a comparison operation is required between objects of type DataStream, the map function DataStreamToInt() is called implicitly by the system.

The object type rational\_type does not have a simple id attribute like that for DataStream. For rational\_type, the map member function is slightly more complicated. Because a map function can return any of the built-in types, rational\_ type can return a value or type REAL:

```
MAP MEMBER FUNCTION RationalToReal RETURN REAL IS
     BEGIN
        RETURN numerator/denominator;
     END;
```

If you have not defined a map or order function for an object type, only equality comparisons are allowed on objects of that type. Oracle SQL performs the comparison by doing a field-by-field comparison of the attributes of that type.

## Implementing Data Cartridges in PL/SQL

This chapter describes how to use PL/SQL to implement the methods of a data cartridge. Methods are procedures and functions that define the operations permitted on data defined using the data cartridge.

This chapter contains these topics:

- Methods
- PL/SQL Packages
- Pragma RESTRICT\_REFERENCES
- Privileges Required to Create Procedures and Functions
- Debugging PL/SQL Code

#### Methods

A **method** is procedure or function that is part of the object type definition, and that can operate on the attributes of the type. Such methods are also called member methods, and they take the keyword MEMBER when you specify them as a component of the object type.

**See Also:** Oracle Database Concepts for information about method specifications, names, and overloading

Map methods, which govern comparisons between object types, are discussed in the previous sections.

The following sections show simple examples of implementing a method, invoking a method, and referencing an attribute in a method.

**See Also:** *PL/SQL User's Guide and Reference.* for further explanation and examples

#### Implementing Methods

To implement a method, create the PL/SQL code and specify it within a CREATE TYPE BODY statement.

For example, consider the following definition of an object type named *rational\_type*:

```
CREATE TYPE rational_type AS OBJECT
( numerator INTEGER,
 denominator INTEGER,
 MAP MEMBER FUNCTION rat_to_real RETURN REAL,
 MEMBER PROCEDURE normalize,
```

```
MEMBER FUNCTION plus (x rational_type)
     RETURN rational_type);
```

The following definition is shown merely because it defines the function gcd, which is used in the definition of the normalize method in the CREATE TYPE BODY statement later in this section.

```
CREATE FUNCTION gcd (x INTEGER, y INTEGER) RETURN INTEGER AS
-- Find greatest common divisor of x and y. For example, if
-- (8,12) is input, the greatest common divisor is 4.
-- This will be used in normalizing (simplifying) fractions.
-- (You need not try to understand how this code works, unless
-- you are a math wizard. It does.)
  ans INTEGER;
BEGIN
  IF (y \le x) AND (x MOD y = 0) THEN
     ans := y;
  ELSIF x < y THEN
     ans := gcd(y, x); -- Recursive call
   ELSE
     ans := gcd(y, x MOD y); -- Recursive call
  END IF;
  RETURN ans;
END;
```

The following statement implements the methods (rat\_to\_real, normalize, and plus) for the object type rational\_type:

```
CREATE TYPE BODY rational type
( MAP MEMBER FUNCTION rat_to_real RETURN REAL IS
   -- The rat-to-real function converts a rational number to
   -- a real number. For example, 6/8 = 0.75
      RETURN numerator/denominator;
   END;
   -- The normalize procedure simplifies a fraction.
   -- For example, 6/8 = 3/4
   MEMBER PROCEDURE normalize IS
      divisor INTEGER := gcd(numerator, denominator);
   BEGIN
      numerator := numerator/divisor;
      denominator := denominator/divisor;
   END;
   -- The plus function adds a specified value to the
   -- current value and returns a normalized result.
   -- For example, 1/2 + 3/4 = 5/4
   MEMBER FUNCTION plus(x rational_type)
            RETURN rational_type IS
            -- Return sum of SELF + x
      r = rational_type(numerator*x.demonimator +
             x.numerator*denominator,
             denominator*x.denominator);
                 -- Example adding 1/2 to 3/4:
                 -- (3*2 + 1*4) / (4*2)
      -- Now normalize (simplify). Here, 10/8 = 5/4
      r.normalize;
```

```
RETURN r;
   END:
END;
```

**Note:** If an object type has no methods, no CREATE TYPE BODY statement for that object type is required.

#### **Invoking Methods**

To invoke a method, use the following syntax:

```
<object_name>.<method_name>([parameter_list])
```

In SQL statements only, you can use the following syntax:

```
<correlation_variable>.<method_name>([parameter_list])
```

The following PL/SQL example invokes a method named get\_emp\_sal:

```
employee employee_type;
  salary number;
BEGIN
   salary := employee.get_emp_sal();
END;
```

An alternative way to invoke a method is by using the SELF built-in parameter. Because the implicit first parameter of each method is the name of the object on whose behalf the method is invoked, the following example performs the same action as the line after BEGIN in the preceding example:

```
salary := get_emp_sal(SELF => employee);
```

In this example, employee is the name of the object on whose behalf the get\_emp\_ sal method is invoked.

## Referencing Attributes in a Method

As shown in the example in "Implementing Methods" on page 4-1, member methods can reference the attributes and member methods of the same object type without using a qualifier. A built-in reference is always provided to the object on whose behalf the method is invoked. This reference is called SELF.

Consider the following trivial example, in which two statements set the value of variable var1 to 42:

```
CREATE TYPE a_type AS OBJECT (
  var1 INTEGER,
  MEMBER PROCEDURE set_var1);
CREATE TYPE BODY a_type (
  MEMBER PROCEDURE set_var1 IS
   BEGIN
     var1 := 42;
     SELF.var1 := 42;
   END set_var1;
);
```

In this example, var1 := 42 and SELF.var1 := 42 are in effect the same statement. Because var1 is the name of an attribute of the object type a\_type and because set\_var1 is a member method of this object type, no qualification is required to access var1 in the method code. However, for code readability and maintainability, you can use the keyword SELF in this context to make the reference to var1 more clear.

## PL/SQL Packages

A package is a group of PL/SQL types, objects, and stored procedures and functions. The **specification** part of a package declares the public types, variables, constants, and subprograms that are visible outside the immediate scope of the package. The **body** of a package defines the objects declared in the specification, as well as private objects that are not visible to applications outside the package.

The following example shows the package specification for the package named DS\_ package. This package contains the two stored functions ds\_findmin and ds\_ findmax, which implement the DataStreamMin and DataStreamMax functions defined for the DataStream object type.

```
create or replace package DS_package as
    function ds_findmin(data clob) return pls_integer;
    function ds_findmax(data clob) return pls_integer;
    pragma restrict_references(ds_findmin, WNDS, WNPS);
    pragma restrict_references(ds_findmax, WNDS, WNPS);
end:
```

#### See Also:

- Chapter 2, "Roadmap to Building a Data Cartridge" for the DataStream type and type body definitions
- PL/SQL Packages and Types Reference for more information about PL/SQL packages

## Pragma RESTRICT REFERENCES

To execute a SQL statement that calls a member function, Oracle must know the **purity** level of the function, that is, the extent to which the function is free of side effects. The term side effect, in this context, refers to accessing database tables, package variables, and so forth for reading or writing. It is important to control side effects because they can prevent the proper parallelization of a query, produce order-dependent (and therefore indeterminate) results, or require impermissible actions such as the maintenance of package state across user sessions.

A member function called from a SQL statement can be restricted so that it cannot:

- Insert into, update, or delete database tables
- Be executed remotely or in parallel if it reads or writes the values of packaged variables
- Write the values of packaged variables unless it is called from a SELECT, VALUES, or SET clause
- Call another method or subprogram that violates any of these rules
- Reference a view that violates any of these rules

For more information about the rules governing purity levels and side effects, see the PL/SQL User's Guide and Reference.

You use the pragma (compiler directive) RESTRICT\_REFERENCES to enforce these rules. For example, the purity level of the DataStreamMax method of type DataStream is asserted to be write no database state (WNDS) and write no package state (WNPS) in the following way:

```
CREATE TYPE DataStream AS OBJECT (
PRAGMA RESTRICT_REFERENCES (DataStreamMax, WNDS, WNPS)
```

Member methods that call external procedures cannot do so directly but must route the calls through a package, because the arguments to external procedures cannot be object types. A member function automatically gets a SELF reference (a reference to that specific instance of the object type) as its first argument. Therefore, member methods in objects types cannot call out directly to external procedures.

Collecting all external calls into a package makes for a better design. The purity level of the package must also be asserted. Therefore, when the package named DS\_ Package is declared and all external procedure calls from type DataStream are routed through this package, the purity level of the package is also declared, as follows:

```
CREATE OR REPLACE PACKAGE DS_Package AS
PRAGMA RESTRICT_REFERENCES (ds_findmin, WNDS, WNPS)
end;
```

In addition to WNDS and WNPS, it is possible to specify two other constraints: read no database state (RNDS) and read no package state (RNPS). These two constraints are normally useful if you have parallel queries.

Each constraint is independent of the others and does not imply another. Choose the set of constraints based on application-specific requirements. For more information about controlling side effects using the RESTRICT\_REFERENCES pragma, see the Oracle Database Application Developer's Guide - Fundamentals.

You can also specify the keyword DEFAULT instead of a method or procedure name, in which case the pragma applies to all member functions of the type (or procedures of the package). For example:

```
PRAGMA RESTRICT_REFERENCES (DEFAULT, WNDS, WNPS)
```

## **Privileges Required to Create Procedures and Functions**

To create a standalone procedure or function, or package specification or body, you must have the CREATE PROCEDURE system privilege to create a procedure or package in your schema, or the CREATE ANY PROCEDURE system privilege to create a procedure or package in another user's schema.

For the compilation of the procedure or package, the *owner* of the procedure or package must have been explicitly granted the necessary object privileges for all objects referenced within the body of the code. The owner cannot have obtained required privileges through roles.

For more information about privilege requirements for creating procedures and functions, see the chapter about using procedures and packages in the Oracle Database Application Developer's Guide - Fundamentals.

## Debugging PL/SQL Code

One of the simplest ways to debug PL/SQL code is to try each method, block, or statement interactively using SQL\*Plus, and fix any problems before proceeding to the next statement. If you need more information on an error message, enter the statement SHOW ERRORS. Also consider displaying statements for runtime debugging, such as those of the general form:

```
Location in module: <location>
Parameter name: <name>
Parameter value: <value>
```

You can debug stored procedures and packages using the DBMS OUTPUT package. You insert PUT and PUTLINE statements in your code to output the value of variables and expressions to your terminal. The DBMS\_OUTPUT package is described in the PL/SQL Packages and Types Reference and the PL/SQL User's Guide and Reference.

To debug stored procedures and packages, though not object type methods at present, you can use Procedure Builder, which is a part of the Oracle Developer/2000 tool set. Procedure Builder lets you execute PL/SQL stored procedures and triggers in a controlled debugging environment, and you can set breakpoints, list the values of variables, and perform other debugging tasks.

A PL/SQL tracing tool provides more information about exception conditions in application code. You can use this tool to trace the execution of server-side PL/SQL statements. Object type methods cannot be traced directly, but you can trace any PL/SQL functions or procedures that a method calls. The tracing tool also provides information about exception conditions in the application code. The trace output is written to the Oracle server trace file.

**Note:** Only the database administrator has access to this trace file. The tracing tool is described in the Oracle Database Application *Developer's Guide - Fundamentals.* 

## Notes for C and C++ Programmers

If you are a C or C++ programmer, several PL/SQL conventions and requirements may differ from your expectations. Note the following about PL/SQL:

- = means equal (not assign).
- := means assign (as in Algol).
- VARRAYS begin at index 1 (not 0).
- Comments begin with two hyphens (--), not with // or /\*.
- The IF statement requires the THEN keyword.
- The IF statement must be concluded with the END IF keyword (which comes after the ELSE clause, if there is one).
- There is no PRINTF statement. The comparable feature is the DBMS\_OUTPUT.PUT\_ LINE statement. In this statement, literal and variable text is separated using the double vertical bar (||).

- A function must have a return value, and a procedure cannot have a return value.
- If you call a function, it must be on the right side of an assignment operator.
- Many PL/SQL keywords cannot be used as variable names.

**See Also:** *PL/SQL User's Guide and Reference.* 

#### Common Potential Errors

This section presents several kinds of errors you may make in creating a data cartridge.

#### Signature Mismatches

```
13/19
        PLS-00538: subprogram or cursor '<name>' is declared in an object
        type specification and must be defined in the object type body
15/19
        PLS-00539: subprogram '<name>' is declared in an object type body
        and must be defined in the object type specification
```

If you see either or both of these messages, you have made an error with the signature for a procedure or function. In other words, you have a mismatch between the function or procedure prototype that you entered in the object specification, and the definition in the object body.

Ensure that parameter orders, parameter spelling (including case), and function returns are identical. Use copy-and-paste to avoid errors in typing.

#### RPC Time Out

```
ORA-28576: lost RPC connection to external procedure agent
ORA-06512: at "<name>", line <number>
ORA-06512: at "<name>", line <number>
ORA-06512: at line 34
```

This error might occur after you exit the debugger for the DLL. Restart the program outside the debugger.

#### Package Corruption

```
ERROR at line 1:
ORA-04068: existing state of packages has been discarded
ORA-04063: package body "<name>" has errors
ORA-06508: PL/SQL: could not find program unit being called
ORA-06512: at "<name>", line <number>
ORA-06512: at line <number>
```

This error might occur if you are extending an existing data cartridge; it indicates that the package has been corrupted and must be recompiled.

Before you can perform the recompilation, you must delete all tables and object types that depend upon the package that you will be recompiling. To find the dependents on a Windows NT system, use the Oracle Administrator toolbar. Click the Schema button, log in as sys\change\_on\_install, and find packages and tables that you created. Drop these packages and tables by entering SQL statements of the following form into the SQL\*Plus interface:

```
Drop type <type_name>;
Drop table <table_name> cascade constraints;
```

The recompilation can then be done using a SQL statement of the following form:

```
Alter type <type_name> compile body;
Alter type <type_name> compile specification;
```

# Implementing Data Cartridges in C, C++ and

This chapter describes how to use C, C++, and Java to implement the methods of a data cartridge. Methods are procedures and functions that define the operations permitted on data defined using the data cartridge. The focus is on issues related to developing and debugging external procedures.

This chapter contains these topics:

- **External Procedures**
- **Using Shared Libraries**
- Registering an External Procedure
- How PL/SQL Calls an External Procedure
- Configuration Files for External Procedures
- Doing Callbacks
- **Common Potential Errors**
- **Debugging External Procedures**
- Guidelines for Using External Procedures with Data Cartridges
- **Java Methods**

#### **External Procedures**

PL/SQL is a powerful language for database programming. However, some methods are too complex to code such a method optimally using PL/SQL. For example, a routine to perform numerical integration will probably run faster if it is implemented in C than if it is implemented in PL/SQL.

To support such special-purpose processing, PL/SQL provides an interface for calling routines written in other languages. This makes the strengths and capabilities of 3GLs like C available through calls from a database server. Such a 3GL routine, called an external procedure, is stored in a shared library, registered with PL/SQL, and called from PL/SQL at runtime to perform special-purpose processing. Details on external procedures and their use can be found in the *PL/SQL User's Guide and Reference*.

External procedures are an important tool for data cartridge developers. They can be used not only to write fast, efficient, computation-intensive routines for cartridge types, but also to integrate existing code with the database as data cartridges. Shared libraries already written and available in other languages, such as a Windows NT DLL with C routines to perform format conversions for audio files, can be called directly

from a method in a type implemented by an audio cartridge. Similarly, you can use external procedures to process signals, drive devices, analyze data streams, render graphics, or process numerical data.

## **Using Shared Libraries**

A **shared library** is an operating system file, such as a Windows DLL or a Solaris shared object, that stores the coded implementation of external procedures. Access to the shared library from Oracle occurs by using an alias library, which is a schema object that represents the library within PL/SQL. For security, creation of an alias library requires DBA privileges. To create the alias library (such as DS\_Lib in the following example), you must decide on the operating system location for the library, log in as a DBA or as a user with the CREATE LIBRARY PRIVILEGE, and then enter a statement such as the following:

```
CREATE OR REPLACE LIBRARY DS_Lib AS
     '/data_cartridge_dir/libdatastream.so';
```

This example creates the alias library schema object in the database. After the alias library is created, you can refer to the shared library by the name DS\_Lib from PL/SQL.

The example just given specifies an absolute path for the library. If you have copies of the library on multiple systems, to support distributed execution of external procedures by designated (or "dedicated") agents, you can use an environment variable to specify the location of the libraries more generally. For example:

```
CREATE OR REPLACE LIBRARY DS_Lib AS
  '${DS_LIB_HOME}/libdatastream.so' AGENT 'agent_link';
```

This statement uses the environment variable \${DS\_LIB\_HOME}\$ to specify a common point of reference or root directory from which the library can be found on all systems. The string following the AGENT keyword specifies the agent (actually, a database link) that will be used to run any external procedure declared to be in library DS\_Lib.

**See Also:** For more information on using dedicated external procedure agents to run an external procedure, see *PL/SQL User's* Guide and Reference

## Registering an External Procedure

To call an external procedure, you must not only tell PL/SQL the alias library in which to find the external procedure, but also how to call the procedure and what arguments to pass to it.

Earlier, the type DataStream was defined, and certain methods of type DataStream were defined by calling functions from a package DS\_Package. Also, this package was specified. The following statement defines the body of this package.

```
CREATE OR REPLACE PACKAGE BODY DS_Package AS
    FUNCTION DS_Findmin(data CLOB) RETURN PLS_INTEGER IS EXTERNAL
    NAME "c_findmin" LIBRARY DS_Lib LANGUAGE C WITH CONTEXT;
    FUNCTION DS_Findmax(data CLOB) RETURN PLS_INTEGER IS EXTERNAL
    NAME "c_findmax" LIBRARY DS_Lib LANGUAGE C WITH CONTEXT;
  END;
```

In the PACKAGE BODY declaration clause of this example, the package functions are tied to external procedures in a shared library. The EXTERNAL clause in the function declaration registers information about the external procedure, such as its name (found after the NAME keyword), its location (which must be an alias library, following the LIBRARY keyword), the language in which the external procedure is written (following the LANGUAGE keyword), and so on. For a description of the parameters that can accompany an EXTERNAL clause, see the PL/SQL User's Guide and Reference.

**See Also:** Oracle Database Application Developer's Guide -*Fundamentals*, the chapter on external procedures, for information on how to format the call specification when passing an object type to a C routine

The final part of the EXTERNAL clause in the example is the WITH CONTEXT specification. This means that a context pointer is passed to the external procedure. The context pointer is opaque to the external procedure, but is available so that the external procedure can call back to the Oracle server, to potentially access more data in the same transaction context. The WITH CONTEXT clause is discussed in "Using the WITH CONTEXT Clause" on page 5-7.

Although the example describes external procedure calls from object type methods, a data cartridge can use external procedures from a variety of other places in PL/SQL. External procedure calls can appear in:

- Anonymous blocks
- Standalone and packaged subprograms
- Methods of an object type
- Database triggers
- SQL statements (calls to packaged functions only)

#### How PL/SQL Calls an External Procedure

To call an external procedure, PL/SQL must know the DLL or shared library in which the procedure resides. PL/SQL looks up the alias library in the EXTERNAL clause of the subprogram that registered the external procedure. The data dictionary is used to determine the actual path to the operating system shared library or DLL.

PL/SQL alerts a Listener process, which in turn spawns (launches) a session-specific agent. Unless some other particular agent has been designated, either in the CREATE LIBRARY statement for the procedure's specified library or in the agent argument of the CREATE PROCEDURE statement, the default agent extproc is launched. The Listener hands over the connection to the agent. PL/SQL passes the agent the name of the DLL, the name of the external procedure, and any parameters passed in by the caller.

The rest of this account assumes that the agent launched is the default agent extproc. For more information on using dedicated external procedure agents to run an external procedure, see PL/SQL User's Guide and Reference.

After receiving the name of the DLL and the external procedure, extproc loads the DLL and runs the external procedure. Also, extproc handles service calls (such as raising an exception) and callbacks to the Oracle server. Finally, extproc passes to PL/SQL any values returned by the external procedure. Figure 5–1 shows the flow of control.

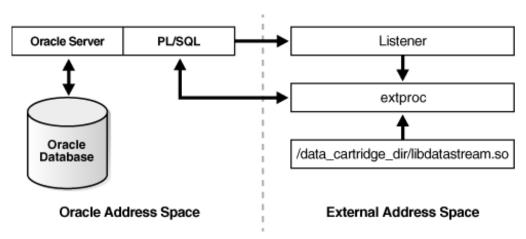


Figure 5-1 How an External Procedure is Called

**Note:** The Listener must start extproc on the system that runs the Oracle server. Starting extproc on a different system is not supported.

After the external procedure completes, extproc remains active throughout your Oracle session. (When you log off, extproc is killed.) Thus, you incur the cost of spawning extproc only once, no matter how many calls you make. Still, you should call an external procedure only when the computational benefits outweigh the cost.

**See Also:** For information about administering extproc and external procedure calls, see the Oracle Database Administrator's Guide.

## **Configuration Files for External Procedures**

The configuration files listener.ora and tnsnames.ora must have appropriate entries so that the Listener can dispatch the external procedures.

The Listener configuration file listener.ora must have a SID DESC entry for the external procedure. For example:

```
# Listener configuration file
# This file is generated by stkconf.tsc
CONNECT_TIMEOUT_LISTENER = 0
LISTENER = (ADDRESS LIST=
 (ADDRESS=(PROTOCOL=ipc)(KEY=08))
 (ADDRESS=(PROTOCOL=tcp) (HOST=unix123) (PORT=1521))
SID_LIST_LISTENER = (SID_LIST=
(SID_DESC=(SID_NAME=08)(ORACLE_HOME=/rdbms/u01/app/oracle/product/8.0
.3))
```

```
(SID_DESC=(SID_NAME=extproc)(ORACLE_HOME=/rdbms/u01/app/oracle/product/
8.0.3) (PROGRAM=extproc))
```

This listener.ora example assumes the following:

- The Oracle instance is called 08.
- The system or node on which the Oracle server runs is named unix123.
- The installation directory for the Oracle server is /rdbms/u01.
- The port number for Oracle TCP/IP communication is the default Listener port

The tnsnames.ora file (network substrate configuration file) must also be updated to refer to the external procedure. For example:

```
(DESCRIPTION=(ADDRESS=(PROTOCOL=tcp)(HOST=unix123)(PORT=1521))(CONNECT_
DATA=(SID=08)))
extproc_connection_data =
(DESCRIPTION=(ADDRESS=(PROTOCOL=ipc)(KEY=08))(CONNECT_DATA=(SID=extproc)))
```

This tnsnames.ora example assumes that IPC mechanisms are used to communicate with the external procedure. You can also use, for example, TCP/IP for communication, in which case the PROTOCOL parameter must be set to *tcp*.

For more information about configuring the listener.ora and tnsnames.ora files, see the Oracle Database Administrator's Guide.

#### Passing Parameters to an External Procedure

Passing parameters to an external procedure is complicated by several circumstances:

- The set of PL/SQL datatypes does not correspond one-to-one with the set of C datatypes.
- PL/SQL parameters can be null, whereas C parameters cannot. (Unlike C, PL/SQL includes the RDBMS concept of nullity.)
- The external procedure might need the current length or maximum length of CHAR, LONG RAW, RAW, and VARCHAR2 parameters.
- The external procedure might need character set information about CHAR, VARCHAR2, and CLOB parameters.
- PL/SQL might need the current length, maximum length, or null status of values returned by the external procedure.

In the following sections, you learn how to specify a parameter list that deals with these circumstances.

An example of parameter passing is shown in "Doing Callbacks" on page 5-8, where the package function DS\_Findmin(data CLOB) calls the C routine c\_findmin and the CLOB argument is passed to the C routine as an OCILobLocator ().

## Specifying Datatypes

You do not pass parameters to an external procedure directly. Instead, you pass them to the PL/SQL subprogram that registered the external procedure. So, you must specify PL/SQL datatypes for the parameters. For guidance, see Table 5–1. Each

PL/SQL datatype maps to a default external datatype. (In turn, each external datatype maps to a C datatype.)

Table 5–1 Parameter Datatype Mappings

PL/SQL Type	Supported External Types	Default External Type
BINARY_INTEGER, BOOLEAN, PLS_INTEGER	CHAR, UNSIGNED CHAR, SHORT, UNSIGNED SHORT, INT, UNSIGNED INT, LONG, UNSIGNED LONG, SB1, UB1, SB2, UB2, SB4, UB4, SIZE_T	INT
NATURAL, NATURALN, POSITIVE, POSITIVEN, SIGNTYPE	CHAR, UNSIGNED CHAR, SHORT, UNSIGNED SHORT, INT, UNSIGNED INT, LONG, UNSIGNED LONG, SB1, UB1, SB2, UB2, SB4, UB4, SIZE_T	UNSIGNED INT
FLOAT, REAL	FLOAT	FLOAT
DOUBLE PRECISION	DOUBLE	DOUBLE
CHAR, CHARACTER, LONG, ROWID, VARCHAR, VARCHAR2	STRING	STRING
LONG RAW, RAW	RAW	RAW
BFILE, BLOB, CLOB	OCILOBLOCATOR	OCILOBLOCATOR

In some cases, you can use the PARAMETERS clause to override the default datatype mappings. For example, you can re-map the PL/SQL datatype BOOLEAN from external datatype INT to external datatype CHAR.

To avoid errors when declaring C prototype parameters, refer to Table 5–2, which shows the C datatype to specify for a given external datatype and PL/SQL parameter mode. For example, if the external datatype of an OUT parameter is CHAR, specify the datatype **char** \* in your C prototype.

Table 5–2 External Datatype Mappings

	IN,	IN by Reference,	
External Datatype	RETURN	RETURN by Reference	IN OUT, OUT
CHAR	char	char *	char *
UNSIGNED CHAR	unsigned char	unsigned char *	unsigned char *
SHORT	short	short *	short *
UNSIGNED SHORT	unsigned short	unsigned short *	unsigned short *
INT	int	int *	int *
UNSIGNED INT	unsigned int	unsigned int *	unsigned int *
LONG	long	long *	long *

unsigned char \*

OCILobLocator \*\*

IN, IN by Reference, **External Datatype** RETURN RETURN by Reference IN OUT, OUT UNSIGNED LONG unsigned long unsigned long \* unsigned long \* SIZE\_T size\_t size\_t \* size\_t \* SB1 sh1 sb1 \* sb1 \* ub1 \* ub1 \* UB<sub>1</sub> ub1 SB2 sb2 sb2 \* sb2 \*ub2 \* 11b2 \* IJB2 11h2 sb4 \* sb4 \* SB4 sb4 ub4 \* UB4 ub4 ub4 \* FLOAT float float \* float \* DOUBLE double double double STRING char \* char \* char \*

(Cont.) (Cont.) External Datatype Mappings Table 5–2

#### Using the Parameters Clause

RAW

OCILOBLOCATOR

You can optionally use the PARAMETERS clause to pass additional information about PL/SQL formal parameters and function return values to an external procedure. You can also use this clause to reposition parameters.

unsigned char \*

OCILobLocator \*

See Also: PL/SQL User's Guide and Reference.

unsigned char \*

OCILobLocator \*

## Using the WITH CONTEXT Clause

Once launched, an external procedure may need to access the database. For example, DS\_Findmin does not copy the entire CLOB data over to c\_findmin, because doing so would vastly increase the amount of stack that the C routine needs. Instead, the PL/SQL function just passes a LOB locator to the C routine, with the intent that the database will be re-accessed from C to read the actual LOB data.

When the C routine reads the data, it can use the OCI buffering and streaming interfaces associated with LOBs (see the Oracle Call Interface Programmer's Guide for details), so that only incremental amounts of stack are needed. Such re-access of the database from an external procedure is known as a callback.

To be able to call back to a database, you need to use the WITH CONTEXT clause to give the external procedure access to the database environment, service, and error handles. When an external procedure is called using WITH CONTEXT, the corresponding C routine automatically gets as its first parameter an argument of type OCIExtProcContext \*. (The order of the parameters can be changed using the PARAMETERS clause.) You can use this context pointer to fetch the handles using the OCIExtProcGetEnv call, and then call back to the database. This procedure is shown in "Doing Callbacks" on page 5-8.

## Doing Callbacks

An external procedure executing on the Oracle server can call the access function OCIExtProcGetEnv to obtain OCI environment and service handles. With the OCI, you can use callbacks to execute SQL statements and PL/SQL subprograms, fetch data, and manipulate LOBs. Moreover, callbacks and external procedures operate in the same user session and transaction context, so they have the same user privileges.

The following example is a version of c\_findmin that is simplified to illustrate callbacks. The complete listing is available on the disk that is included with this kit.

```
Static OCIEnv
               *envhp;
Static OCISvcCtx *svchp;
Static OCIError *errhp;
Int c_findmin (OCIExtProcContext *ctx, OCILobLocator *lobl) {
sword retval;
retval = OCIExtProcGetEnv (ctx, &envhp, &svchp, &errhp);
if ((retval != OCI_SUCCESS) && (retval != OCI_SUCCESS_WITH_INFO))
   exit(-1);
   /* Use lob1 to read the CLOB, compute the minimum, and store the value
      in retval. */
return retval;
```

#### **Restrictions on Callbacks**

With callbacks, the following SQL statements and OCI routines are not supported:

- Transaction control statements such as COMMIT
- Data definition statements such as CREATE
- Object-oriented OCI routines such as OCIRefClear
- Polling-mode OCI routines such as OCIGetPieceInfo
- All these OCI routines:

```
OCIEnvInit
OCIInitialize
OCIPasswordChange
OCIServerAttach
OCIServerDetach
OCISessionBegin
OCISessionEnd
OCISvcCtxToLda
OCITransCommit
OCITransDetach
OCITransRollback
OCITransStart
```

Also, with OCI routine OCIHandleAlloc, the following handle types are not supported:

```
OCI_HTYPE_SERVER
OCI_HTYPE_SESSION
OCI_HTYPE_SVCCTX
OCI_HTYPE_TRANS
```

#### **Common Potential Errors**

This section presents several kinds of errors you might make in running external procedures.

#### Calls to External Functions

```
Can't Find DLL
ORA-06520: PL/SQL: Error loading external library
ORA-06522: Unable to load DLL
ORA-06512: at "<name>", line <number>
ORA-06512: at "<name>", line <number>
ORA-06512: at line <number>
```

You may have specified the wrong path or wrong name for the DLL file, or you may have tried to use a DLL on a network mounted drive (a remote drive).

#### **RPC Time Out**

```
ORA-28576: lost RPC connection to external procedure agent
ORA-06512: at "<name>", line <number>
ORA-06512: at "<name>", line <number>
ORA-06512: at line <number>
```

This error might occur after you exit a debugger while debugging a shared library or DLL. Simply disconnect your client and reconnect to the database.

## **Debugging External Procedures**

Usually, when an external procedure fails, its C prototype is faulty. That is, the prototype does not match the one generated internally by PL/SQL. This can happen if you specify an incompatible C datatype. For example, to pass an OUT parameter of type REAL, you must specify float \*. Specifying float, double \*, or any other C datatype will result in a mismatch.

In such cases, you might get a lost RPC connection to external procedure agent error, which means that agent extproc terminated abnormally because the external procedure caused a core dump. To avoid errors when declaring C prototype parameters, refer to Table 5–2

## Using Package DEBUG\_EXTPROC

To help you debug external procedures, PL/SQL provides the utility package DEBUG\_ EXTPROC. To install the package, run the script dbgextp.sql, which you can find in the PL/SQL demo directory.

To use the package, follow the instructions in dbgextp.sql. Your Oracle account must have EXECUTE privileges on the package and CREATE LIBRARY privileges.

> **Note:** DEBUG\_EXTPROC works only on platforms with debuggers that can attach to a running process.

## Debugging C Code in DLLs on Windows NT Systems

If you are developing on a Windows NT system, you may perform the following additional actions to debug external procedures:

- 1. Invoke the Windows NT Task Manager (press Ctrl+Alt+Del.and select Task Manager).
- **2.** In the Processes display, select ExtProc.exe.
- Right click, and select Debug.
- **4.** Select OK in the message box.
  - At this point, if you have built your DLL in a debug fashion with Microsoft Visual C++, Visual C++ is activated.
- **5.** In the Visual C++ window, select Edit > Breakpoints.
- Use the breakpoint identified in dbgextp.sql in the PL/SQL demo directory.

## **Guidelines for Using External Procedures with Data Cartridges**

Make sure to write thread-safe external procedures. In particular, avoid using static variables, which can be shared by routines running in separate threads. Otherwise, you might get unexpected results.

**See Also:** For more information about multithreading, see the Oracle Database Heterogeneous Connectivity Administrator's Guide.

For help in creating a dynamic link library, look in the RDBMS subdirectory /public, where a template makefile can be found.

When calling external procedures, never write to IN parameters or overflow the capacity of OUT parameters. (PL/SQL does no runtime checks for these error conditions.) Likewise, never read an OUT parameter or a function result. Also, always assign a value to IN OUT and OUT parameters and to function results. Otherwise, your external procedure will not return successfully.

If you include the WITH CONTEXT and PARAMETERS clauses, you must specify the parameter CONTEXT, which shows the position of the context pointer in the parameter list. If you omit the PARAMETERS clause, the context pointer is the first parameter passed to the external procedure.

If you include the PARAMETERS clause and the external procedure is a function, you must specify the parameter RETURN (not RETURN property) in the last position.

For every formal parameter, there must be a corresponding parameter in the PARAMETERS clause. Also, make sure that the datatypes of parameters in the PARAMETERS clause are compatible with those in the C prototype because no implicit conversions are done.

A parameter for which you specify INDICATOR or LENGTH has the same parameter mode as the corresponding formal parameter. However, a parameter for which you specify MAXLEN, CHARSETID, or CHARSETFORM is always treated like an IN parameter, even if you also specify BY REFERENCE.

With a parameter of type CHAR, LONG RAW, RAW, or VARCHAR2, you must use the property LENGTH. Also, if that parameter is IN OUT or OUT and null, you must set the length of the corresponding C parameter to zero.

#### Java Methods

In order to utilize Java Data Cartridges, it is important that you know how to load Java class definitions, about how to call stored procedures, and about context management. Information on ODCI classes can also be found in Chapter 18 of this manual.

## **Working with Multimedia Datatypes**

This chapter contains these topics:

- Overview of Cartridges and Multimedia Datatypes
- DDL for LOBs
- **LOB Locators**
- EMPTY\_BLOB and EMPTY\_CLOB Functions
- Using the OCI to Manipulate LOBs
- Using DBMS\_LOB to Manipulate LOBs
- LOBs in External Procedures
- LOBs and Triggers
- Using Open/Close as Bracketing Operations for Efficient Performance

## Overview of Cartridges and Multimedia Datatypes

Some data cartridges need to handle large amounts of raw binary data, such as graphic images or sound waveforms, or character data, such as text or streams of numbers. Oracle supports large objects(LOBs) to handle these kinds of data.

Internal LOBs are stored in the database tablespaces in way that optimizes space and provides efficient access. Internal LOBs participate in the transactional model of the server.

Internal LOBs can store binary data (BLOBs), single-byte character data (CLOBs), or fixed-width single-byte or multibyte character data (NCLOBs). An NCLOB consists of character data that corresponds to the national character set defined for the Oracle database. Varying width character data is not supported in Oracle.

External LOBs are stored in operating system files outside the database tablespaces as BFILES, binary data. They cannot participate in transactions.

Together, internal LOBs and in BFILEs provide considerable flexibility in handling large amounts of data.

Data stored in a LOB is called the LOB's value. To the Oracle server, a LOB's value is unstructured and cannot be queried. You must unpack and interpret a LOB's value in cartridge-specific ways.

LOBS can be manipulated using the Oracle Call Interface (OCI) or the PL/SQL DBMS\_ LOB package. You can write functions (including methods on object types that can contain LOBs) to manipulate parts of LOBs. Details on LOBs can be found in the Oracle Database Application Developer's Guide - Large Objects.

#### DDL for LOBs

LOB definition can involve the CREATE TYPE and the CREATE TABLE statements. For example, the following statement specifies a CLOB within a datatype named *lob\_type*:

```
CREATE OR REPLACE TYPE lob_type AS OBJECT (
         id INTEGER,
         data CLOB );
```

The following statement creates an object table, lob\_table, in which each row is an instance of lob\_type data:

```
CREATE TABLE lob_table OF lob_type;
```

The following statement stores LOBs in a regular table, as opposed to an object table as in the preceding statement:

```
CREATE TABLE lob_table1 (
              id INTEGER,
              b_lob BLOB,
              c_lob CLOB,
              nc_lob NCLOB,
              b_file BFILE );
```

When creating LOBs in tables, you can set the LOB storage, buffering, and caching properties. See the Oracle Database SQL Reference manual and the Oracle Database Application Developer's Guide - Large Objects for information about using LOBs in the following DDL statements:

- CREATE TABLE and ALTER TABLE
  - LOB columns
  - LOB storage clause
  - NOCACHE and NOLOGGING options
- CREATE TYPE and ALTER TYPE
  - BLOB, CLOB and BFILE datatypes

#### LOB Locators

LOBs can be stored with other row data or separate from row data. Regardless of the storage location, each LOB has a locator, which can be viewed as a handle or pointer to the actual location. Selecting a LOB returns the LOB locator instead of the LOB value.

The following PL/SQL code selects the LOB locator for *b\_lob* and place it a PL/SQL local variable named image1:

```
DECLARE
      image1 BLOB;
      image_no INTEGER := 101;
BEGIN
     SELECT b_lob INTO image1 FROM lob_table
               WHERE key_value = image_no;
```

When you use an API function to manipulate the LOB value, you refer to the LOB using the locator. The PL/SQL DBMS\_LOB package contains useful routines to manipulate LOBs, such as PUT\_LINE and GETLENGTH:

```
BEGIN
    DBMS_OUTPUT.PUT_LINE('Size of the Image is: ',
                      DBMS_LOB.GETLENGTH(image1));
END;
```

In the OCI, LOB locators are mapped to LOBLocatorPointers, such as OCILobLocator \*.

The OCI LOB interface and the PL/SQL DBMS\_LOB package are described briefly in this chapter. The OCI is described in more detail in the Oracle Call Interface Programmer's Guide. The DBMS\_LOB API is described in the Oracle Database Application *Developer's Guide - Large Objects.* 

For a BFILE, the LOB column has its own distinct locator, which refers to the LOB's value that is stored in an external file in the server's file system. This implies that two rows in a table with a BFILE column may refer to the same file or two distinct files. A BFILE locator variable in a PL/SQL or OCI program behaves like any other automatic variable. With respect to file operations, it behaves like a file descriptor available as part of the standard I/O library of most conventional programming languages.

# **EMPTY BLOB and EMPTY CLOB Functions**

You can use the special functions EMPTY\_BLOB and EMPTY\_CLOB in INSERT or UPDATE statements of SQL DML to initialize a NULL or non-NULL internal LOB to empty. These are available as special functions in Oracle SQL DML, and are not part of the DBMS\_LOB package.

Before you can start writing data to an internal LOB using OCI or the DBMS\_LOB package, the LOB column must be made non-null, that is, it must contain a locator that points to an empty or populated LOB value. You can initialize a BLOB column's value to empty by using the function EMPTY\_BLOB in the VALUES clause of an INSERT statement. Similarly, a CLOB or NCLOB column's value can be initialized by using the function EMPTY\_CLOB.

#### **Syntax**

```
FUNCTION EMPTY BLOB() RETURN BLOB;
FUNCTION EMPTY_CLOB() RETURN CLOB;
```

**Note:** The parentheses are required syntax for both functions.

#### **Parameters**

None.

#### **Return Values**

EMPTY\_BLOB returns an empty locator of type BLOB and EMPTY\_CLOB returns an empty locator of type CLOB, which can also be used for NCLOBs.

#### Pragma

None.

#### Exceptions

An exception is raised if you use these functions anywhere but in the VALUES clause of a SQL INSERT statement or as the source of the SET clause in a SQL UPDATE statement.

#### **Examples**

The following example shows EMPTY\_BLOB used with SQL DML:

```
INSERT INTO lob_table VALUES (1001, EMPTY_BLOB(), 'abcde', NULL);
UPDATE lob_table SET c_lob = EMPTY_CLOB() WHERE key_value = 1001;
INSERT INTO lob_table VALUES (1002, NULL, NULL, NULL);
```

The following example shows the correct and erroneous usage of EMPTY\_BLOB and EMPTY\_CLOB in PL/SQL programs:

```
DECLARE
 loba
             BLOB;
 loba BLOB;
lobb CLOB;
 read_offset INTEGER;
 read_amount INTEGER;
 rawbuf RAW(20);
charbuf VARCHAR2(20);
BEGIN
 loba := EMPTY_BLOB();
 read_amount := 10; read_offset := 1;
  -- the following read will fail
 dbms_lob.read(loba, read_amount, read_offset, rawbuf);
  -- the following read will succeed;
 UPDATE lob_table SET c_lob = EMPTY_CLOB() WHERE key_value =
        1002 RETURNING c_lob INTO lobb;
dbms_lob.read(lobb, read_amount, read_offset, charbuf);
  dbms_output.put_line('lobb value: ' | charbuf);
```

# Using the OCI to Manipulate LOBs

The OCI includes functions that you can use to access data stored in BLOBS, CLOBS, NCLOBs, and BFILEs. These functions are mentioned briefly in Table 6–1. For detailed documentation, including parameters, parameter types, return values, and example code, see the Oracle Call Interface Programmer's Guide.

Table 6–1 OCI Functions for Manipulating LOBs

Function	Description
OCILobAppend()	Appends LOB value to another LOB.
OCILobAssign()	Assigns one LOB locator to another.
OCILobCharSetForm()	Returns the character set form of a LOB.
OCILobCharSetId()	Returns the character set ID of a LOB.
OCILobCopy()	Copies a portion of a LOB into another LOB.
OCILobDisableBuffering()	Disables the buffering subsystem use.
OCILobEnableBuffering()	Uses the ${\tt LOB}$ buffering subsystem for subsequent read and write operations of ${\tt LOB}$ data.
OCILobErase()	Erases part of a LOB, starting at a specified offset.
OCILobFileClose()	Closes an open BFILE.
OCILobFileCloseAll()	Closes all open BFILEs.
OCILobFileExists()	Tests to see if a BFILE exists.
OCILobFileGetName()	Returns the name of a BFILE.
OCILobFileIsOpen()	Tests to see if a BFILE is open.

Table 6–1 (Cont.) (Cont.) OCI Functions for Manipulating LOBs

Function	Description
OCILobFileOpen()	Opens a BFILE.
OCILobFileSetName()	Sets the name of a BFILE in a locator.
OCILobFlushBuffer()	Flushes changes made to the ${\tt LOB}$ buffering subsystem to the database (server)
OCILobGetLength()	Returns the length of a LOB or a BFILE.
OCILobIsEqual()	Tests to see if two LOB locators refer to the same LOB.
OCILobLoadFromFile()	Loads BFILE data into an internal LOB.
OCILobLocatorIsInit()	Tests to see if a LOB locator is initialized.
OCILobLocatorSize()	Returns the size of a LOB locator.
OCILobRead()	Reads a specified portion of a non-null LOB or a ${\tt BFILE}$ into a buffer.
OCILobTrim()	Truncates a LOB.
OCILobWrite()	Writes data from a buffer into a LOB, writing over existing data.

Table 6–2 compares the OCI and PL/SQL (DBMS\_LOB package) interfaces in terms of LOB access.

OCI and PL/SQL (DBMS\_LOB) Interfaces Compared Table 6–2

OCI (ociap.h)	PL/SQL DBMS_LOB (dbmslob.sql)
N/A	DBMS_LOB.COMPARE()
N/A	DBMS_LOB.INSTR()
N/A	DBMS_LOB.SUBSTR()
OCILobAppend	DBMS_LOB.APPEND()
OCILobAssign	N/A [use PL/SQL assign operator]
OCILobCharSetForm	N/A
OCILobCharSetId	N/A
OCILobCopy	DBMS_LOB.COPY()
OCILobDisableBuffering	N/A
OCILobEnableBuffering	N/A
OCILobErase	DBMS_LOB.ERASE()
OCILobFileClose	DBMS_LOB.FILECLOSE()
OCILobFileCloseAll	DBMS_LOB.FILECLOSEALL()
OCILobFileExists	DBMS_LOB.FILEEXISTS()
OCILobFileGetName	DBMS_LOB.FILEGETNAME()
OCILobFileIsOpen	DBMS_LOB.FILEISOPEN()
OCILobFileOpen	DBMS_LOB.FILEOPEN()
OCILobFileSetName	N/A (use BFILENAME operator)
OCILobFlushBuffer	N/A

Table 6–2 (Cont.) (Cont.) OCI and PL/SQL (DBMS\_LOB) Interfaces Compared

OCI (ociap.h)	PL/SQL DBMS_LOB (dbmslob.sql)
OCILobGetLength	DBMS_LOB.GETLENGTH()
OCILobIsEqual	N/A [use PL/SQL equal operator]
OCILobLoadFromFile	DBMS_LOB.LOADFROMFILE()
OCILobLocatorIsInit	N/A [always initialize]
OCILobRead	DBMS_LOB.READ()
OCILobTrim	DBMS_LOB.TRIM()
OCILobWrite	DBMS_LOB.WRITE()

The following example shows a LOB being selected from the database into a locator. This example assumes that the type lob\_type has two attributes (id of type INTEGER and data of type CLOB) and that a table (lob\_table) of this type (lob\_ type) has been created.

```
/* Select lob locators from a CLOB column */
/* We need the 'FOR UPDATE' clause because we need to write to the LOBs. */
static OCIEnv
                  *envhp;
static OCIServer *srvhp;
static OCISvcCtx *svchp;
static OCIError *errhp;
static OCISession *authp;
static OCIStmt *stmthp;
static OCIDefine *defnp1;
static OCIBind
                  *bndhp;
sb4 select_locator(int rowind)
 sword retval;
 boolean flag;
 int colc = rowind;
 OCILobLocator *clob;
 text *sqlstmt = (text *)"SELECT DATA FROM LOB_TABLE WHERE ID = :1 FOR
UPDATE";
  if (OCIStmtPrepare(stmthp, errhp, sqlstmt, (ub4) strlen((char *)sqlstmt),
                   (ub4) OCI_NTV_SYNTAX, (ub4) OCI_DEFAULT))
    (void) printf("FAILED: OCIStmtPrepare() sqlstmt\n");
   return OCI_ERROR;
  if (OCIStmtBindByPos(stmthp, bndhp, errhp, (ub4) 1,
                     (dvoid *) &colc, (sb4) sizeof(colc), SQLT_INT,
                     (dvoid *) 0, (ub2 *)0, (ub2 *)0,
                     (ub4) 0, (ub4 *) 0, (ub4) OCI_DEFAULT))
    (void) printf("FAILED: OCIStmtBindByPos()\n");
   return OCI_ERROR;
  if (OCIDefineByPos(stmthp, &defnp1, errhp, (ub4) 1,
```

```
(dvoid *) &clob, (sb4) -1, (ub2) SQLT_CLOB,
                 (dvoid *) 0, (ub2 *) 0, (ub2 *) 0, (ub4) OCI_DEFAULT))
    (void) printf("FAILED: OCIDefineByPos()\n");
   return OCI_ERROR;
 /* Execute the select and fetch one row */
 if (OCIStmtExecute(svchp, stmthp, errhp, (ub4) 1, (ub4) 0,
                   (CONST OCISnapshot*) 0, (OCISnapshot*) 0,
                    (ub4) OCI_DEFAULT))
   (void) printf("FAILED: OCIStmtExecute() sqlstmt\n");
   report_error();
   return OCI_ERROR;
 /* Now test to see if the LOB locator is initialized */
 retval = OCILobLocatorIsInit(envhp, errhp, clob, &flag);
 if ((retval != OCI_SUCCESS) && (retval != OCI_SUCCESS_WITH_INFO))
    (void) printf("Select_Locator --ERROR: OCILobLocatorIsInit(), retval =
%d\n", retval);
   report_error();
   checkerr(errhp, retval);
   return OCI_ERROR;
 }
 if (!flag)
  (void) printf("Select Locator --ERROR: LOB Locator is not initialized.\n");
   return OCI_ERROR;
 return OCI SUCCESS;
```

A sample program, populate.c, uses the OCI to populate a CLOB with the contents of a file is included on the disk.

# Using DBMS\_LOB to Manipulate LOBs

The DBMS\_LOB package can be used to manipulate LOBs from PL/SQL.

The routines that can modify BLOB, CLOB, and NCLOB values are:

- APPEND() -- append the contents of the source LOB to the destination LOB
- COPY() -- copy all or part of the source LOB to the destination LOB
- ERASE() -- erase all or part of a LOB
- LOADFROMFILE() -- load BFILE data into an internal LOB
- TRIM() -- trim the LOB value to the specified shorter length
- WRITE() -- write data to the LOB from a specified offset

The routines that read or examine LOB values are:

GETLENGTH() -- get the length of the LOB value

- INSTR() -- return the matching position of the *nth* occurrence of the pattern in the
- READ() -- read data from the LOB starting at the specified offset
- SUBSTR() -- return part of the LOB value starting at the specified offset

The read-only routines specific to BFILEs are:

- FILECLOSE() -- close the file
- FILECLOSEALL() -- close all previously opened files
- FILEEXISTS() -- test to see if the file exists on the server
- FILEGETNAME() -- get the directory alias and file name
- FILEISOPEN() -- test to see if the file was opened using the input BFILE locators
- FILEOPEN() -- open a file

The following example calls the TRIM procedure to trim a CLOB value to a smaller length is shown in the following example. This example assumes that the type 10b\_ type has two attributes (id of type INTEGER and data of type CLOB) and that a table (lob\_table) of this type (lob\_type) has been created.

```
PROCEDURE Trim_Clob IS
       clob_loc CLOB;
BEGIN
 -- get the LOB Locator
      SELECT data into clob_loc FROM lob_table
      WHERE id = 179 FOR UPDATE;
   -- call the TRIM Routine
      DBMS_LOB.TRIM(clob_loc, 834004);
      COMMIT;
END:
```

Because this example deals with CLOB data, the second argument (834004) to DBMS\_ LOB.TRIM specifies the number of characters. If the example dealt with BLOB data, this argument would be interpreted as the number of bytes.

# LOBs in External Procedures

LOB locators can be passed as arguments to an external procedure. The corresponding C routine gets an argument of type OCILobLocator \*. For example, a PL/SQL external procedure could be defined as:

```
FUNCTION DS_Findmin(data CLOB) RETURN PLS_INTEGER IS EXTERNAL
                   NAME "c_findmin" LIBRARY DS_Lib LANGUAGE C;
```

When this function is called, it invokes a routine (c\_findmin) with the signature:

```
c_findmin (OCILobLocator *)
```

This routine in a shared library associated with DS\_Lib. In order to use the pointer OCILobLocator \* to get data from the LOB (for example, using OCILobRead()), you must reconnect to the database by performing a callback.

# LOBs and Triggers

You cannot write to a LOB (:old or :new value) in any kind of trigger.

In regular triggers, you can read the :old value but you cannot read the :new value. In INSTEAD OF triggers, you can read the :old and the :new values.

You cannot specify LOB type columns in an OF clause, because BFILE types can be updated without updating the underlying table on which the trigger is defined.

Using OCI functions or the DBMS\_LOB package to update LOB values or LOB attributes of object columns will not fire triggers defined on the table containing the columns or the attributes.

# Using Open/Close as Bracketing Operations for Efficient Performance

The Open/Close functions let you indicate the beginning and end of a series of LOB operations so that large-scale operations, such updating indexes, can be performed once the Close function is called. This means that once the Open call is made, the index would not be updated each time the LOB is modified, and that such updating would not resume until the Close call.

You do not have to wrap all LOB operations inside the Open/Close operations, but this function can be very useful for cartridge developers.

For one thing, if the you do not wrap LOB operations inside an Open/Close call, then each modification to the LOB will implicitly open and close the LOB, thereby firing any triggers. But if do you wrap the LOB operations inside a pair of Open/Close operations, then the triggers will not be fired for each LOB modification. Instead, one trigger will be fired at the time the Close call is made. LIkewise, extensible indexes will not be updated until the user calls Close. This means that any extensible indexes on the LOB are not valid between the Open/Close calls.

You need to apply this technology carefully since state, reflecting the changes to the LOB, is not saved between the Open and the Close operations. Once you have called Open, Oracle no longer keeps track of what portions of the LOB value were modified, nor of the old and new values of the LOB that result from any modifications. The LOB value is still updated directly for each OCILob\* or DBMS\_LOB operation, and the usual read consistency mechanism is still in place. Moreover, you may want extensible indexes on the LOB to be updated as LOB modifications are made because in that case, the extensible LOB indexes are always valid and may be used at any time.

The API enables you to find out if the LOB is "open" or not. In all cases openness is associated with the LOB, not the locator. The locator does not save any information as to whether the LOB to which it refers is open.

# Errors and Restrictions Regarding Open/Close Operations

Note that it is an error to commit the transaction before closing all previously opened LOBs. At transaction rollback time, all LOBs that are still open will be discarded, which means that they will not be closed thereby firing the triggers).

Only 32 LOBs may be open at any one time. An error will be returned when the 33rd LOB is opened. Assigning an already opened locator to another locator does not incur a round trip to the server and does not count as opening a new LOB (both locators refer to the same LOB).

It is an error to Open/Close the same LOB twice either with different locators or with the same locator. It is an error to close a LOB that has not been opened.

Assume that loc1 is refers to an opened LOB and is assigned to loc2. If loc2 is subsequently used to modify the LOB value, the modification is grouped together with loc1's modifications (that is, there is only one entry in the LOB manager's state, not

one for each locator). Once the LOB is closed (through loc1 or loc2), the triggers are fired and all updates made to the LOB through any locator are committed. After the close of the LOB, if the user tries to use either locator to modify the LOB, the operation will be performed as Open/operation/Close. Note that consistent read is still maintained for each locator. This discussion is merely showing that the LOB, not the locator, is opened and closed. No matter how many copies of the locator are made, the triggers for the LOB are fired only once on the first Close call.

```
open (loc1);
loc2 := loc1;
write (loc1);
write (loc2);
open (loc2); /* error because the LOB is already open */
close (loc1); /* triggers are fired and all LOB updates made prior to this
                statement by any locator are incorporated in the extensible
                index */
write (loc2); /* implicit open, write, implicit close */
```

# Using Extensible Indexing

This chapter describes extensible indexing, which allows you to implement modes of indexing in addition to those that are built into Oracle. The discussion in this chapter provides conceptual background to help you decide when to build domain indexes, which are indexes created using the extensible indexing framework.

This chapter contains these topics:

- Overview of Extensible Indexing
- **Extensible Indexing**
- Example: A Text Indextype

# Overview of Extensible Indexing

This section defines some terms and describes some methods for building indexes. Much of this material is familiar to experienced developers of database applications. It is presented here to help those whose experience lies in other areas, and to establish a baseline with respect to terminology and methodology.

# Purpose of Indexes

With large amounts of data such as that in databases, indexes make locating and retrieving the data faster and more efficient. Whether they refer to records in a database or text in a technical manual, entries in an index indicate three things about the items they refer to:

- What the item is ("employee information on Mary Lee" or "the definition of extensible indexing")
- Where the item is ("record number 1000" or "page 100")
- How the item is stored ("in a consecutive series of records" or "as text on a page")

Most sets of data can be indexed in several different ways. To provide the most useful and efficient access to data, it is often critical to choose the right style of indexing. This is because no indexing method is optimal for every application.

Database applications normally retrieve data with queries, which often use indexes in selecting subsets of the available data. Queries can differ radically in the operators used to express them, and thus in the methods of indexing that provide the best access.

To learn which sales people work in the San Francisco office, you need an operator that checks for equality. Hash structures handle equality operators very efficiently.

To learn which sales people earn more than x but less than y, you need an operator that checks ranges. B-tree structures are better at handling range-oriented queries.

### Purpose of Extensible Indexing

Databases are constantly incorporating new types of information that are more complex and more specific to certain tasks, such as medical or multimedia applications. As a result, queries are becoming more complex, and the amount of data they need to scan continues to grow. Oracle provides the extensible indexing framework so you can tailor your indexing methods to your data and your applications, thus improving performance and ease of use.

With extensible indexing, your application

- Defines the structure of the index
- Stores the index data, either inside the Oracle database (for example, in the form of index-organized tables) or outside the Oracle database
- Manages, retrieves, and uses the index data to evaluate user queries

Thus, your application controls the structure and semantic content of the index. The database system cooperates with your application to build, maintain, and employ the domain index. As a result, you can create indexes to perform tasks that are specific to the domain in which you work, and your users compose normal-looking queries using operators you define.

### When to Use Extensible Indexing

Oracle's built-in indexing facilities are appropriate to a large number of situations. However, as data becomes more complex and applications are tailored to specific domains, situations arise that require other approaches. For example, extensible indexing can help you solve problems like these:

- Implementing new search operators using specialized index structures You can define operators to perform specialized searches using your index structures.
- Indexing unstructured data
  - The built-in facilities cannot index a column that contains LOB values.
- Indexing attributes of column objects
  - The built-in facilities cannot index column objects or the elements of a collection type.
- Indexing values derived from domain-specific operations
  - Oracle object types can be compared with map functions or order functions. If the object uses a map function, then you can define a function-based index for use in evaluating relational predicates. However, this only works for predicates with parameters of finite range; it must be possible to precompute function values for all rows. In addition, you cannot use order functions to construct an index.

### **Index Structures**

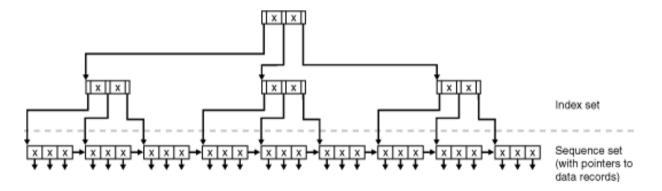
This section introduces some frequently-used index structures to illustrate the choices available to designers of domain indexes.

#### **B-tree**

No index structure can satisfy all needs, but the self-balancing B-tree index comes closest to optimizing the performance of searches on large sets of data. Each B-tree node holds multiple keys and pointers. The maximum number of keys in a node supported by a specific B-tree is the order of that tree. Each node has a potential of order+1 pointers to the level below it. For example, the order=2 B-tree illustrated in Figure 7–1 has tree pointers: to child nodes whose value is less than the first key, to the child nodes whose value is greater than the first key and less than the second key, and to the child nodes whose value is greater than the second key. Thus, the B-tree algorithm minimizes the number of reads and writes necessary to locate a record by passing through fewer nodes than in a binary tree algorithm, which has only one key and at most two children for each decision node. Here we describe the Knuth variation in which the index consists of two parts: a sequence set that provides fast sequential access to the data, and an index set that provides direct access to the sequence set.

Although the nodes of a B-tree generally do not contain the same number of data values, and they usually contain a certain amount of unused space, the B-tree algorithm ensures that the tree remains balanced and that the leaf nodes are at the same level.

Figure 7-1 B-tree Index Structure

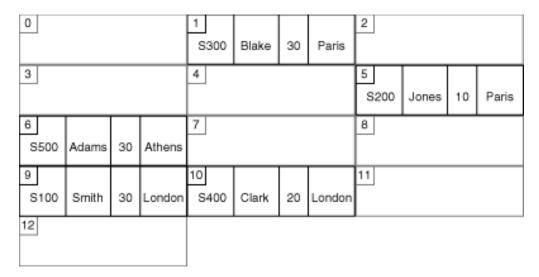


#### Hash

Hashing gives fast direct access to a specific stored record based on a given field value. Each record is placed at a location whose address is computed as some function of some field of that record. The same function is used to insert and retrieve.

The problem with hashing is that the physical ordering of records has little if any relation to their logical ordering. Also, there can be large unused areas on the disk.

Figure 7–2 Hash Index Structure

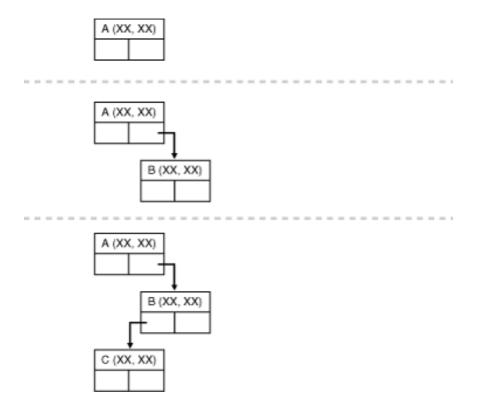


### k-d tree

Data that has two dimensions, such as latitude and longitude, can be stored and retrieved efficiently using a variation on the k-d tree known as the 2-d tree.

In this structure, each node is a datatype with fields for information, the two co-ordinates, and a left-link and right-link, which can point to two children.

Figure 7-3 2-d Index Structure

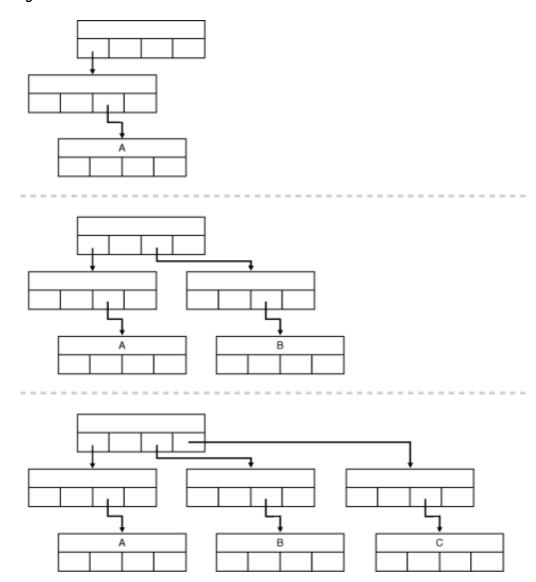


This structure is good at range queries. That is, if the user specifies a point (xx, xx) and a distance, the query returns the set of all points within the specified distance of the original point.

2-d trees are easy to implement. However, because a 2-d tree containing k nodes can have a height of *k*, insertion and querying can be complex.

### **Point Quadtree**

Figure 7-4 Point Quadtree Index Structure



The point quadtree is also used to represent point data in a two dimensional spaces, but these structures divide regions into four parts where 2-d trees divide regions into two. The fields of the record type for this node comprise an attribute for information, two co-ordinates, and four compass points (such as NW, SW, NE, SE) that can point to four children.

Like 2-d trees, point quadtrees are easy to implement. However, like 2-d trees, a point quadtree containing k nodes can have a height of k, so insertion and querying can be complex. Each comparison requires comparisons on at least two co-ordinates. In practice, though, the lengths from root to leaf tend to be shorter in point quadtrees.

# **Extensible Indexing**

The extensible indexing framework is a SQL-based interface that lets you define domain-specific operators and indexing schemes, and integrate these into the Oracle

The extensible indexing framework consists of the following components:

- **Indextypes**: An indextype schema object specifies the routines that manage definition, maintenance, and scan operations for application-specific indexes. An indextype tells the Oracle server how to establish a user-defined index on a column of a table or attribute of an object.
- **Domain Indexes**: An application-specific index created using an indextype is called a domain index because it indexes data in application-specific domains. A domain index is an instance of an index that is created, managed, and accessed by the routines specified by an indextype.
- Operators: Queries and data manipulation statements can use application-specific operators, such as the Overlaps operator in the spatial domain. User-defined operators are bound to functions. They can also be evaluated using indexes. For instance, the equality operator can be evaluated using a hash index. An indextype provides an index-based implementation for the operators it defines.

**See Also:** Chapter 9, "Defining Operators" for detailed information on user-defined operators

**Index-Organized Tables**: With index-organized tables, your application can define, build, maintain, and access indexes for complex objects using a table metaphor. To the application, an index is modeled as a table, where each row is an index entry. Index-organized tables handle duplicate index entries, which can be important with complex types of data.

**See Also:** Oracle Database Administrator's Guide for detailed information on index-organized tables

The extensible indexing framework lets you:

- Encapsulate application-specific index management routines as an indextype schema object
- Define a domain index on table columns
- Process application-specific operators efficiently

With the extensible indexing framework, you can build a domain index that operates much like any other Oracle index. Users write standard queries using operators you define. To create, drop, truncate, modify, and search a domain index, the Oracle server invokes the application code you specify as part of the indextype.

# **Example: A Text Indextype**

This section illustrates the extensible indexing framework with a skeletal example that

- Defines a new text indexing scheme using the Text indextype
- Uses the Text indextype to index and operate on textual data

### Defining the Indextype

The order in which you create the components of an indextype depends on whether or not you are creating an index-based functional implementation.

### **Non-Index-Based Functional Implementations**

To define the Text indextype, the indextype designer must:

1. Define and code the functional implementation for the supported operator

The Text indextype supports an operator called Contains, which accepts a text value and a key, and returns a number indicating whether the text contains the key. The functional implementation of this operator is a regular function defined as:

```
CREATE FUNCTION TextContains (Text IN VARCHAR2, Key IN VARCHAR2)
RETURN NUMBER AS
BEGIN
. . . . . . .
END TextContains;
```

**2.** Create the new operator and bind it to the functional implementation

```
CREATE OPERATOR Contains
BINDING (VARCHAR2, VARCHAR2) RETURN NUMBER USING TextContains;
```

**3.** Define a type that implements the index interface ODCIIndex

This involves implementing routines for index definition, index maintenance, and index scan operations. Oracle calls:

- The index definition routines (ODCIIndexCreate, ODCIIndexAlter, ODCIIndexDrop, ODCIIndexTruncate) to perform the appropriate operations when the index is created, altered, or dropped, or the base table is truncated
- The index maintenance routines (ODCIIndexInsert, ODCIIndexDelete, ODCIIndexUpdate) to maintain the text index when table rows are inserted, deleted, or updated
- The index scan routines (ODCIIndexStart, ODCIIndexFetch, ODCIIndexClose) to scan the text index and retrieve rows of the base table that satisfy the operator predicate

```
CREATE TYPE TextIndexMethods
STATIC FUNCTION ODCIIndexCreate(...)
);
CREATE TYPE BODY TextIndexMethods
(
. . .
);
```

4. Create the Text indextype schema object

The indextype definition specifies the operators supported by the new indextype and the type that implements the index interface.

```
CREATE INDEXTYPE TextIndexType
FOR Contains (VARCHAR2, VARCHAR2)
USING TextIndexMethods;
```

### Index-Based Functional Implementations

If you are creating an index-based functional implementation, you perform the same operations as for non-index-based functional implementations, but in a different order:

- Define the implementation type
- Define and code the functional implementation
- Create the operator
- Create the indextype

This order is required because definition of an index-based functional implementation requires the implementation type as a parameter.

### Using the Indextype

When the Text indextype presented in the previous section has been defined, users can define text indexes on text columns and use the Contains operator to query text

Suppose the Employees table is defined by the statement:

```
CREATE TABLE Employees
(name VARCHAR2(64), id INTEGER, resume VARCHAR2(2000));
```

To build a text domain index on the resume column, a user issues the following statement:

```
CREATE INDEX ResumeIndex ON Employees(resume) INDEXTYPE IS TextIndexType;
```

To query the text data in the resume column, users issue statements like:

```
SELECT * FROM Employees WHERE Contains(resume, 'Oracle') =1;
```

The query execution uses the text index on resume to evaluate the Contains predicate.

# **Building Domain Indexes**

Domain indexes are indexes whose behavior is specific to an industry, a business function, or some other special purpose. As the cartridge developer, you specify the details of this behavior, as described in this chapter.

This chapter contains these topics:

- Overview of Indextypes and Domain Indexes
- ODCIIndex Interface
- Creating, Dropping, and Commenting Indextypes
- **Domain Indexes**
- Object Dependencies, Drop Semantics, and Validation
- Indextype, Domain Index, and Operator Privileges
- Partitioned Domain Indexes

# Overview of Indextypes and Domain Indexes

A domain index is an index designed for a specialized domain, such as spatial or image processing. Users can build a domain index of a given type after the designer creates the indextype.

Indextypes encapsulate search and retrieval methods for complex domains such as text, spatial, and image processing. An indextype is similar to the indexes that are supplied with the Oracle server. The difference is that you provide the application software that implements the indextype.

An indextype has two major components:

- The methods that implement the behavior of the indextype, such as creating and scanning the index
- The operators that the indextype supports, such as Contains or Overlaps

To create an indextype:

- Define the supported operators and create the functions that implement them
- Create the methods that implement the ODCIIndex interface, and define the type that encapsulates them, called the **implementation type**
- Create the indextype, specifying the implementation type and listing the operators with their bindings

**See Also:** Chapter 9, "Defining Operators"

In this context:

- Interface means a logical set of documented method specifications (not a separate schema object)
- ODCIIndex interface means a set of index definition, maintenance, and scan routine specifications

### **ODCIIndex Interface**

The ODCIIndex interface specifies all the routines you must supply to implement an indextype. The routines must be implemented as type methods.

The ODCIIndex interface comprises the following method classes:

- Index definition methods
- Index maintenance methods
- Index scan methods
- Index metadata method

**See Also:** Chapter 20, "Extensible Indexing Interface" for method signatures and parameter descriptions

### **Index Definition Methods**

Your index definition methods are called when a user issues a CREATE, ALTER, DROP, or TRUNCATE statement on an index of your indextype.

#### **ODCIIndexCreate**

When a user issues a CREATE INDEX statement that references the indextype, Oracle calls your ODCIIndexCreate() method, passing it any parameters specified as part of the CREATE INDEX... PARAMETERS (...) statement, plus the description of the index.

Typically, this method creates the tables or files in which you plan to store index data. Unless the base table is empty, the method should also build the index.

### **ODCIIndexAlter**

When a user issues an ALTER INDEX statement referencing your indextype, Oracle calls your ODCIIndexAlter() method, passing it the description of the domain index to be altered along with any specified parameters. This method is also called to handle an ALTER INDEX with the REBUILD or RENAME options. What your method needs to do depends on the nature of your domain index, so the details are left to you as the designer of the indextype.

#### **ODCIIndexDrop**

When a user destroys an index of your indextype by issuing a DROP INDEX statement, Oracle calls your ODCIIndexDrop() method.

### **ODCIIndexTruncate**

When a user issues a TRUNCATE statement against a table that contains a column or object type attribute indexed by your indextype, Oracle calls your ODCIIndexTruncate() method. This method should leave the domain index empty.

### **Index Maintenance Methods**

Your index maintenance methods are called when users issue INSERT, UPDATE, and DELETE statements on tables with columns or object type attributes indexed by your indextype.

#### **ODCIIndexInsert**

When a user inserts a record, Oracle calls your ODCIIndexInsert() method, passing it the new values in the indexed columns and the corresponding row identifier.

#### **ODCIIndexDelete**

When a user deletes a record, Oracle calls your ODCIIndexDelete() method, passing it the old values in the indexed columns and the corresponding row identifier.

#### **ODCIIndexUpdate**

When a user updates a record, Oracle calls your ODCIIndexUpdate() method, passing it the old and new values in the indexed columns and the corresponding row identifier.

### Index Scan Methods

Your index scan methods specify the index-based implementation for evaluating predicates containing the operators supported by your indextype. Index scans involve methods for initialization, fetching rows or row identifiers, and cleaning up after all rows are returned.

There are two modes of evaluating the operator predicate and returning the resulting set of rows:

- **Precompute All:** Compute the entire result set in ODCIIndexStart(). Iterate over the results returning a batch of rows from each call to ODCIIndexFetch(). This mode is applicable to operators that must look at the entire result set to compute ranking, relevance, and so on for each candidate row. It is also possible to return one row at a time if your application requires that.
- Incremental Computation: Compute a batch of result rows in each call to ODCIIndexFetch(). This mode is applicable to operators that can determine the candidate rows one at a time without having to look at the entire result set. It is also possible to return one row at a time if your application requires that.

#### **ODCIIndexStart**

Oracle calls your ODCIIndexStart() method at the beginning of an index scan, passing it information on the index and the operator. Typically, this method:

- Initializes data structures used in the scan
- Parses and executes SQL statements that query the tables storing the index data
- Saves any state information required by the fetch and cleanup methods, and returns the state or a handle to it
- Sometimes generates a set of result rows to be returned at the first invocation of ODCIIndexFetch()

The information on the index and the operator is not passed to the fetch and cleanup methods. Thus, ODCIIndexStart() must save state data that needs to be shared among the index scan routines and return it through an output sctx parameter. To

share large amounts of state data, allocate cursor-duration memory and return a handle to the memory in the sctx parameter.

**See Also:** Oracle Call Interface Programmer's Guide for information on memory services and maintaining context

As member methods, ODCIIndexFetch() and ODCIIndexClose() are passed the built-in SELF parameter, through which they can access the state data.

#### **ODCIIndexFetch**

Oracle calls your ODCIIndexFetch() method to return the row identifiers of the next batch of rows that satisfies the operator predicate, passing it the state data returned by ODCIIndexStart() or the previous ODCIIndexFetch() call. The operator predicate is specified in terms of the operator expression (name and arguments) and a lower and upper bound on the operator return values. Thus, ODCIIndexFetch() must return the row identifiers of the rows for which the operator return value falls within the specified bounds. To indicate the end of index scan, return a NULL.

#### **ODCIIndexClose**

Oracle calls your ODCIIndexClose() method when the cursor is closed or reused, passing it the current state. ODCIIndexClose() should perform whatever cleanup or closure operations your indextype requires.

### Index Metadata Method

The ODCIIndexGetMetadata method is optional. If you implement it, the Export utility calls it to write implementation-specific metadata into the Export dump file. This metadata might be policy information, version information, individual user settings, and so on, which are not stored in the system catalogs. The metadata is written to the dump files as anonymous PL/SQL blocks that are executed at import time immediately prior to the creation of the associated index.

# Transaction Semantics during Index Method Execution

The index interface methods (with the exception of the index definition methods,  ${\tt ODCIIndexCreate(), ODCIIndexAlter(), ODCIIndexTruncate(), and}\\$ ODCIIndexDrop()) are invoked under the same transaction that triggered these actions. Thus, the changes made by these routines are atomic and are committed or aborted based on the parent transaction. To achieve this, there are certain restrictions on the nature of the actions that you can perform in the different indextype routines:

- Index definition routines have no restrictions.
- Index maintenance routines can only execute Data Manipulation Language statements. These DML statements cannot update the base table on which the domain index is created.
- Index scan routines can only execute SQL query statements.

For example, if an INSERT statement caused the ODCIIndexInsert() routine to be invoked, ODCIIndexInsert () runs under the same transaction as INSERT. The ODCIIndexInsert() routine can execute any number of DML statements (for example, insert into index-organized tables). If the original transaction aborts, all the changes made by the indextype routines are rolled back.

However, if the indextype routines cause changes external to the database (like writing to external files), transaction semantics are not assured.

### Transaction Semantics for Index Definition Routines

The index definition routines do not have any restrictions on the nature of actions within them. Consider ODCIIndexCreate() to understand this difference. A typical set of actions to be performed in ODCIIndexCreate() could be:

- Create an index-organized table
- Insert data into the index-organized table
- Create a secondary index on a column of the index-organized table

To allow ODCIIndexCreate() to execute an arbitrary sequence of DDL and DML statements, each statement is considered to be an independent operation. Consequently, the changes made by ODCIIndexCreate() are not guaranteed to be atomic. The same is true for other index-definition routines.

### Consistency Semantics during Index Method Execution

The index maintenance (and scan routines) execute with the same snapshot as the top level SQL statement performing the DML (or query) operation. This keeps the index data processed by the index method consistent with the data in the base tables.

### Privileges During Index Method Execution

Indextype routines always execute as the owner of the index. To support this, the index access driver dynamically changes user mode to index owner before invoking the indextype routines.

For certain operations, indextype routines might need to store information in tables owned by the indextype designer. The indextype implementation must perform those actions in a separate routine, which is executed using the definer's privileges.

**See Also:** Oracle Database SQL Reference for details on CREATE TYPE

# Creating, Dropping, and Commenting Indextypes

This section describes the SQL statements that manipulate indextypes.

**See Also:** Oracle Database SQL Reference for complete descriptions of these SQL statements

# Creating Indextypes

When you have implemented the ODCIIndex interface and defined the implementation type, you can create a new indextype by specifying the list of operators supported by the indextype and referring to the type that implements the index interface.

Using the information retrieval example, the DDL statement for defining the new indextype TextIndexType, which supports the Contains operator and whose implementation is provided by the type TextIndexMethods, is:

CREATE INDEXTYPE TextIndexType FOR Contains (VARCHAR2, VARCHAR2) USING TextIndexMethods;

In addition to the ODCIIndex interface routines, the implementation type must implement the ODCIGetInterfaces routine. This routine returns the list of names of

the interface routines implemented by the type and tells the server the version of these routines. Oracle invokes the ODCIGetInterfaces routine when CREATE INDEXTYPE is executed. If the indextype implements the Oracle9i or later version of the routines, ODCIGetInterfaces must specify SYS.ODCIINDEX2 in the OUT parameter. If the indextype implements the Oracle8i version of the routines, ODCIGetInterfaces must specify SYS.ODCIINDEX1 in the OUT parameter. (The Oracle8i routines lack the ODCIEnv parameter added to many of the routines in Oracle9i.)

### **Dropping Indextypes**

To remove the definition of an indextype, use the DROP statement. For example:

```
DROP INDEXTYPE TextIndexType;
```

The default DROP behavior is DROP RESTRICT semantics, that is, if one or more domain indexes exist that uses the indextype then the DROP operation is disallowed. Users can override the default behavior with the FORCE option, which drops the indextype and marks any dependent domain indexes invalid.

**See Also:** "Object Dependencies, Drop Semantics, and Validation" on page 8-10 for details on object dependencies and drop semantics

### Commenting Indextypes

Use the COMMENT statement to supply information about an indextype or operator. For example:

```
COMMENT ON INDEXTYPE
Ordsys.TextIndexType IS 'implemented by the type TextIndexMethods to support the
Contains operator';
```

Comments on indextypes can be viewed in these data dictionary views:

- USER INDEXTYPE COMMENTS
- ALL INDEXTYPE COMMENTS
- DBA\_INDEXTYPE\_COMMENTS

To place a comment on an indextype, the indextype must be in your own schema or you must have the COMMENT ANY INDEXTYPE privilege.

### **Domain Indexes**

This section describes the domain index operations and how metadata associated with the domain index can be obtained.

# **Domain Index Operations**

#### **Creating a Domain Index**

A domain index can be created on a column of a table just like a B-tree index. However, an indextype must be explicitly specified. For example:

```
CREATE INDEX ResumeTextIndex ON Employees(resume)
INDEXTYPE IS TextIndexType
PARAMETERS (':Language English :Ignore the a an');
```

The INDEXTYPE clause specifies the indextype to be used. The PARAMETERS clause identifies any parameters for the domain index, specified as a string. This string is passed uninterpreted to the ODCIIndexCreate routine for creating the domain index. In the preceding example, the parameters string identifies the language of the text document (thus identifying the lexical analyzer to use) and the list of stop words which are to be ignored while creating the text index.

#### Altering a Domain Index

A domain index can be altered using the ALTER INDEX statement. For example:

```
ALTER INDEX ResumeTextIndex PARAMETERS (':Ignore on');
```

The parameter string is passed uninterpreted to ODCIIndexAlter() routine, which takes appropriate actions to alter the domain index. This example specifies an additional stop word to ignore in the text index.

The ALTER statement can be used to rename a domain index.

```
ALTER INDEX ResumeTextIndex RENAME TO ResumeTidx;
```

A statement of this form causes Oracle to invoke the ODCIIndexAlter() method, which takes appropriate actions to rename the domain index.

In addition, the ALTER statement can be used to rebuild a domain index.

```
ALTER INDEX ResumeTextIndex REBUILD PARAMETERS (':Ignore of');
```

The same ODCIIndexAlter() routine is called as before, but with additional information about the ALTER option.

When the end user executes an ALTER INDEX domain\_index UPDATE BLOCK REFERENCES for a domain index on an index-organized table (IOT), ODCIIndexAlter() is called with the AlterIndexUpdBlockRefs bit set. This gives you the opportunity to update guesses as to the block locations of rows that are stored in the domain index in logical rowids.

### **Truncating a Domain Index**

There is no explicit statement for truncating a domain index. However, when the corresponding table is truncated, your indextype's truncate method is invoked. For example:

```
TRUNCATE TABLE Employees;
```

truncates ResumeTextIndex by calling your ODCIIndexTruncate() method.

#### **Dropping a Domain Index**

To drop an instance of a domain index, use the DROP INDEX statement. For example:

```
DROP INDEX ResumeTextIndex;
```

This results in Oracle calling the ODCIIndexDrop() method, passing it information about the index.

# **Domain Indexes on Index-Organized Tables**

This section discusses some issues you must consider if your indextype creates domain indexes on index-organized tables. You can use the IndexOnIOT bit of IndexInfoFlags in the ODCIIndexInfo structure to determine if the base table is an IOT.

### Storing Rowids in a UROWID Column

When the base table of a domain index is an index-organized table, and you want to store rowids for the base table in a table of your own, you should store the rowids in a UROWID (universal rowid) column if you will be testing rowids for equality.

If the rowids are stored in a VARCHAR column instead, comparisons for textual equality of a rowid from the base table and a rowid from your own table fail in some cases where the rowids pick out the same row. This is because index-organized tables use logical instead of physical rowids, and, unlike physical rowids, logical rowids for the same row can have different textual representations. (Two logical rowids are equivalent when they have the same primary key, regardless of the guess data block addresses (DBAs) stored with them.)

A UROWID column can contain both physical and logical rowids. Storing rowids for an IOT in a UROWID column ensures that the equality operator succeeds on two logical rowids that have the same primary key information but different guess DBAs.

If you create an index storage table with a rowid column by performing a CREATE TABLE AS SELECT from the IOT base table, then a UROWID column of the correct size is created for you in your index table. If you create a table with a rowid column, then you must explicitly declare your rowid column to be of type UROWID(x), where x is the size of the UROWID column. The size chosen should be large enough to hold any rowid from the base table; thus, it should be a function of the primary key from the base table. Use the following query to determine a suitable size for the UROWID column:

```
SELECT (SUM(column_length + 3) + 7)
FROM user_ind_columns ic, user_indexes i
WHERE ic.index_name = i.index_name
AND i.index_type = 'IOT - TOP'
AND ic.table_ name = <base_table>;
```

Doing an ALTER INDEX REBUILD on index storage tables raises the same issues as doing a CREATE TABLE if you drop your storage tables and re-create them. If, on the other hand, you reuse your storage tables, no additional work should be necessary if your base table is an IOT.

### DML on Index Storage Tables

If you maintain a UROWID column in the index storage table, then you may need to change the type of the rowid bind variable in DML INSERT, UPDATE, and DELETE statements so that it will work for all kinds of rowids. Converting the rowid argument passed in to a text string and then binding it as a text string works well for both physical and universal rowids. This strategy may help you to code your indextype to work with both regular tables and IOTs.

### Start, Fetch, and Close Operations on Index Storage Tables

If you use an index scan-context structure to pass context between Start, Fetch, and Close, you need to alter this structure. In particular, if you store the rowid define variable for the query in a buffer in this structure, then you need to allocate the maximum size for a UROWID in this buffer (3800 bytes for universal rowids in byte format, 5072 for universal rowids in character format) unless you know the size of the primary key of the base table in advance or wish to determine it at runtime. You also need to store a bit in the context to indicate if the base table is an IOT, since ODCIIndexInfo is not available in Fetch.

As with DML operations, setting up the define variable as a text string works well for both physical and universal rowids. When physical rowids are fetched from the index table, you can be sure that their length is 18 characters. Universal rowids, however, may be up to 5072 characters long, so a string length function must be used to determine the actual length of a fetched universal rowid.

### Indexes on Non-Unique Columns

All values of a primary key column must be unique, so a domain index defined upon a non-unique column of a table cannot use this column as the primary key of an underlying IOT used to store the index. To work around this, you can add a column in the IOT, holding the index data, to hold a unique sequence number. When a column value is inserted in the table, generate a unique sequence number to go with it; you can then use the indexed column together with the sequence number as the primary key of the IOT. (Note that the sequence-number column cannot be a UROWID because UROWID columns cannot be part of a primary key for an IOT.) This approach also preserves the fast access to primary key column values that is a major benefit of IOTs.

#### Domain Index Metadata

For B-tree indexes, users can query the USER\_INDEXES view to get index information. To provide similar support for domain indexes, you can provide domain-specific metadata in the following manner:

- Define one or more tables that will contain this meta information. The key column of this table must be a unique identifier for the index. This unique key could be the index name (schema.index). The remainder of the columns can contain your metadata.
- Create views that join the system-defined metadata tables with the index meta tables to provide a comprehensive set of information for each instance of a domain index. It is your responsibility as the indextype designer to provide the view definitions.

# Moving Domain Indexes Using Export/Import

Like B-tree and bitmap indexes, domain indexes are exported and subsequently imported when their base tables are exported. However, domain indexes can have implementation-specific metadata associated with them that is not stored in the system catalogs. For example, a text domain index can have associated policy information, a list of irrelevant words, and so on. Export/Import provides a mechanism to move this metadata from the source platform to the target platform.

To move the domain index metadata, the indextype must implement the ODCIIndexGetMetadata() interface method. When a domain index is being exported, this method is invoked and passed the domain index information. It can return any number of anonymous PL/SQL blocks that are written into the dump file and executed on import. If present, these anonymous PL/SQL blocks are executed immediately before the creation of the associated domain index.

Note that the ODCIIndexGetMetadata() is an optional interface routine. You only need it if the domain index has extra metadata to be moved.

**See Also:** Oracle Database Utilities for information about using Export/Import

### Moving Domain Indexes Using Transportable Tablespaces

The transportable tablespaces feature lets you move tablespaces from one Oracle database into another. You can use transportable tablespaces to move domain index data as an alternative to exporting and importing it.

Moving data using transportable tablespaces can be much faster than performing either an export/import or unload/load of the data because transporting a tablespace only requires copying datafiles and integrating tablespace structural information. Also, you do not need to rebuild the index afterward as you do when loading or importing. You can check for the presence of the TransTblspc flag in ODCIIndexInfo to determine whether the ODCIIndexCreate() call is the result of an imported domain index.

In order to use transportable tablespace for the secondary tables for a domain index, you need to provide two additional ODCI interfaces, ODCIIndexUtilGetTableNames and ODCIIndexUtilCleanup, in the implementation type.

**See Also:** Oracle Database Administrator's Guide for information about using transportable tablespaces

# Object Dependencies, Drop Semantics, and Validation

This section discusses issues that affect objects used in domain indexes.

### Object Dependencies

The dependencies among various objects are as follows:

- Functions, Packages, and Object Types: referenced by operators and indextypes
- **Operators:** referenced by indextypes, DML, and query SQL Statements
- **Indextypes:** referenced by domain indexes
- **Domain Indexes:** referenced (used implicitly) by DML and guery SQL statements

Thus, the order in which these objects must be created, or their definitions exported for future import, is:

- Functions, packages, and object types
- Operators
- 3. Indextypes

# **Object Drop Semantics**

The drop behavior for an object is as follows:

- **RESTRICT** semantics: if there are any dependent objects the drop operation is disallowed.
- **FORCE semantics:** the object is dropped even in the presence of dependent objects; any dependent objects are recursively marked invalid.

Table 8–1 shows the default and explicit drop options supported for operators and indextypes. The other schema objects are included for completeness and context.

Table 6 1 Belaute and Expired Brop Options for Operators and mack Types		
Schema Object	Default Drop Behavior	<b>Explicit Options Supported</b>
Function	FORCE	None
Package	FORCE	None
Object Types	RESTRICT	FORCE
Operator	RESTRICT	FORCE
Indextype	RESTRICT	FORCE

Default and Explicit Drop Options for Operators and Index Types Table 8–1

### Object Validation

Invalid objects are automatically revalidated, if possible, the next time they are referenced.

# Indextype, Domain Index, and Operator Privileges

- To create an operator and its bindings, you must have EXECUTE privilege on the function, operator, package, or the type referenced in addition to CREATE OPERATOR or CREATE ANY OPERATOR privilege.
- To create an indextype, you must have EXECUTE privilege on the type that implements the indextype in addition to CREATE INDEXTYPE or CREATE ANY INDEXTYPE privilege. Also, you must have EXECUTE privileges on the operators that the indextype supports.
- To alter an indextype in your own schema, you must have CREATE INDEXTYPE system privilege.
- To alter an indextype or operator in another user's schema, you must have the ALTER ANY INDEXTYPE or ALTER ANY OPERATOR system privilege.
- To create a domain index, you must have EXECUTE privilege on the indextype in addition to CREATE INDEX or CREATE ANY INDEX privileges.
- To alter a domain index, you must have EXECUTE privilege on the indextype.
- To use the operators in queries or DML statements, you must have EXECUTE privilege on the operator and the associated function, package, and type.
- To change the implementation type, you must have EXECUTE privilege on the new implementation type.

### **Partitioned Domain Indexes**

A domain index can be built to have discrete index partitions that correspond to the partitions of a range-partitioned table. Such an index is called a **local domain index**, as opposed to a global domain index, which has no index partitions. Local domain index refers to a partitioned index as a whole, not to the partitions that compose a local domain index.

A local domain index is equipartitioned with the underlying table: all keys in a local domain index refer to rows stored in its corresponding table partition; none refer to rows in other partitions.

Local domain indexes can be created only for range-partitioned heap-organized tables. Local domain indexes cannot be built for hash-partitioned tables or IOTs.

A local domain index can index only a single column; it cannot index an expression.

You provide for using local domain indexes in the indextype, with the CREATE INDEXTYPE statement. For example:

```
CREATE INDEXTYPE TextIndexType
 FOR Contains (VARCHAR2, VARCHAR2)
 USING TextIndexMethods
 WITH LOCAL RANGE PARTITION;
```

This statement specifies that the implementation type <code>TextIndexMethods</code> is capable of creating and maintaining local domain indexes. The clause WITH LOCAL RANGE PARTITION specifies the partitioning method for the base table.

The CREATE INDEX statement creates and partitions the index:

```
CREATE INDEX [schema.]index
 ON [schema.]table [t.alias] (indexed_column)
 INDEXTYPE IS indextype
  [LOCAL [PARTITION [partition [PARAMETERS ('string')]]] [...]]
  [PARALLEL parallel_degree]
  [PARAMETERS ('string')];
```

The LOCAL [PARTITION] clause indicates that the index is a local index on a partitioned table. You can specify partition names or allow Oracle to generate them.

The PARALLEL clause specifies that the index partitions are to be created in parallel. The ODCIIndexCreate routines that correspond to index partition creation are called in parallel.

In the PARAMETERS clause, specify the parameter string that is passed uninterpreted to the appropriate ODCI indextype routine. The maximum length of the parameter string is 1000 characters.

When you specify this clause at the top level of the syntax, the parameters become the default parameters for the index partitions. If you specify this clause as part of the LOCAL [PARTITION] clause, you override any default parameters with parameters for the individual partition. The LOCAL [PARTITION] clause can specify multiple partitions.

Once the domain index is created, Oracle invokes the appropriate ODCI routine. If the routine does not return successfully, the domain index is marked FAILED. The only operations supported on an failed domain index are DROP INDEX and (for non-local indexes) REBUILD INDEX.

The following example creates a local domain index ResumeIndex:

```
CREATE INDEX ResumeIndex ON Employees (Resume)
  INDEXTYPE IS TextIndexType LOCAL;
```

There are restrictions on creating a local domain index:

- The index can only be defined on a single column.
- You cannot specify a bitmap or unique domain index.

# **Dropping a Local Domain Index**

A specified index partition cannot be dropped explicitly. To drop a local index partition, you must drop the entire local domain index:

```
DROP INDEX ResumeIndex:
```

### Altering a Local Domain Index

Use the ALTER INDEX statement to perform the following operations on a local domain index:

- Rename the top level index
- Modify the default parameter string for all the index objects
- Modify the parameter string associated with a specific partition
- Rename an index partition
- Rebuild an index partition

The ALTER INDEXTYPE statement lets you change properties and the implementation type of an indextype without having to drop and re-create the indextype, then rebuild all dependent indexes.

**See Also:** Oracle Database SQL Reference for complete syntax of the SQL statements mentioned in this section

### Summary of Index States

Like a domain index, a partition of a local domain index can be in one or more of several states, listed in Table 8–2.

Table 8–2 Summary of Index States

State	Description
IN_PROGRESS	The index or the index partition is in this state before and during the execution of the ODCIndex DDL interface routines. The state is generally transitional and temporary. However, if the routine ends prematurely, the index could remain marked IN_PROGRESS.
FAILED	If the ODCIIndex interface routine doing DDL operations on the index returns an error, the index or index partition is marked FAILED.
UNUSABLE	Same as for regular indexes: An index on a partitioned table is marked UNUSABLE as a result of certain partition maintenance operations. Note that, for partitioned indexes, UNUSABLE is associated only with an index partition, not with the index as a whole.
INVALID or VALID	An index is marked INVALID if an object that the index directly or indirectly depends upon is dropped or invalidated. This property is associated only with an index, never with an index partition.

# DML Operations with Local Domain Indexes

DML operations cannot be performed on the underlying table if an index partition of a local domain index is in any of these states: IN\_PROGRESS, FAILED, or UNUSABLE.

# Table Operations That Affect Indexes

The tables in this section list operations that can be performed on the underlying table of an index and describe the effect, if any, on the index.

Table 8–3 Summary of Table Operations

Table Operation	Description
DROP table	Drops the table. Drops all the indexes and their corresponding partitions
TRUNCATE table	Truncates the table. Truncates all the indexes and the index partitions

Table 8–4 lists ALTER TABLE operations that do not involve partition maintenance.

Table 8-4 Summary of ALTER TABLE Operations without Partition Maintenance

ALTER TABLE Operation	Description
Modify Partition Unusable local indexes	Marks the local index partition associated with the table partition as UNUSABLE
Modify Partition Rebuild Unusable local indexes	Rebuilds the local index partitions that are marked UNUSABLE and are associated with this table partition
Add Partition	Adds a new table partition. Also adds a new local index partition.
Drop Partition	Drops a range table partition. Also drops the associated local index partition
Truncate Partition	Truncate the table partition. Also truncates the associated local index partition

Table 8–5 lists ALTER TABLE operations that involve partition maintenance.

Table 8–5 Summary of ALTER TABLE Operations with Partition Maintenance

	•
ALTER TABLE Operation	Description
Move Partition	Moves the base table partition to another tablespace. Corresponding local index partitions are marked UNUSABLE.
Split Partition	Splits a table partition into two partitions. Corresponding local index partition is also split. If the resulting partitions are non-empty, the index partitions are marked UNUSABLE.
Merge Partition	Merges two table partitions into one partition. Corresponding local index partitions should also merge. If the resulting partition contains data, the index partition is marked UNUSABLE.
Exchange Partition Excluding Indexes	Exchanges a table partition with a non-partitioned table. Local index partitions and global indexes are marked UNUSABLE.
Exchange Partition Including Indexes	Exchanges a table partition with a non-partitioned table. Local index partition is exchanged with global index on the non-partitioned table. Index partitions remain USABLE.

# **ODCIIndex Interfaces for Partitioning Domain Indexes**

To support local domain indexes, you must implement the standard ODCIIndex methods, plus three additional methods that are specific to local domain indexes:

- ODCIIndexExchangePartition()
- ODCIIndexMergePartition()
- ODCIIndexSplitPartition()

### **Domain Indexes and SQL\*Loader**

SQL\*Loader conventional path loads and direct path loads are supported for tables on which domain indexes are defined, with two limitations:

- the table must be heap-organized
- the domain index cannot be defined on a LOB column

To do a direct path load on a domain index defined on an IOT or on a LOB column:

- Drop the domain index
- Do the direct path load in SQL\*Loader
- Re-create the domain indexes

# **Defining Operators**

This chapter contains these topics:

- **User-Defined Operators**
- Operators and Indextypes

# **User-Defined Operators**

A user-defined operator is a top-level schema object. In many ways, user-defined operators act like the built-in operators such as <, >, and =; for instance, they can be invoked in all the same situations. They contribute to ease of use by simplifying SQL statements, making them shorter and more readable.

User-defined operators are:

- Identified by names, which are in the same namespace as tables, views, types, and standalone functions
- Bound to functions, which define operator behavior in specified contexts
- Controlled by privileges, which indicate the circumstances in which each operator can be used
- Often associated with indextypes, which can be used to define indexes that are not built into the database

**See Also:** Oracle Database SQL Reference for detailed information on syntax and privileges

## Operator Bindings

An operator binding associates the operator with the signature of a function that implements the operator. A signature consists of a list of the datatypes of the arguments of the function, in order of occurrence, and the function's return type. Operator bindings tell Oracle which function to execute when the operator is invoked. An operator can be bound to more than one function if each function has a different signature. To be considered different, functions must have different argument lists. Functions whose argument lists match, but whose return datatypes do not match, are not considered different and cannot be bound to the same operator.

Operators can be bound to:

- Standalone functions
- Package functions
- User-defined type member methods

Operators can be bound to functions and methods in any accessible schema. Each operator must have at least one binding when you create it. If you attempt to specify non-unique operator bindings, the Oracle server raises an error.

### Operator Privileges

To create an operator and its bindings, you must have:

- CREATE OPERATOR or CREATE ANY OPERATOR privilege
- EXECUTE privilege on the function, operator, package, or type referenced

To drop a user-defined operator, you must own the operator or have the DROP ANY OPERATOR privilege.

To invoke a user-defined operator in an expression, you must own the operator or have EXECUTE privilege on it.

### Creating Operators

To create an operator, specify its name and its bindings with the CREATE OPERATOR statement. For example, the following statement creates the operator Contains in the Ordsys schema, binding it to functions that provide implementations in the Text and Spatial domains.

```
CREATE OPERATOR Ordsys.Contains
BINDING
(VARCHAR2, VARCHAR2) RETURN NUMBER USING text.contains,
(Spatial.Geo, Spatial.Geo) RETURN NUMBER USING Spatial.contains;
```

## **Dropping Operators**

To drop an operator and all its bindings, specify its name with the DROP OPERATOR statement. For example, the following statement drops the operator Contains:

```
DROP OPERATOR Contains;
```

The default DROP behavior is DROP RESTRICT: if there are dependent indextypes or ancillary operators for any of the operator bindings, then the DROP operation is disallowed.

To override the default behavior, use the FORCE option. For example, the following statement drops the operator and all its bindings and marks any dependent indextype objects and dependent ancillary operators invalid:

```
DROP OPERATOR Contains FORCE;
```

# Altering Operators

You can add bindings to or drop bindings from an existing operator with the ALTER OPERATOR statement. For example, the following statement adds a binding to the operator CONTAINS:

```
ALTER OPERATOR Ordsys.Contains
 ADD BINDING (music.artist, music.artist) RETURN NUMBER
 USING music.contains;
```

You need certain privileges to perform alteration operations:

To alter an operator, the operator must be in your own schema, or you must have the ALTER ANY OPERATOR privilege.

You must have EXECUTE privileges on the operators and functions referenced.

The following restrictions apply to the ALTER OPERATOR statement:

- You can only issue ALTER OPERATOR statements that relate to existing operators.
- You can only add or drop one binding in each ALTER OPERATOR statement.
- You cannot drop an operator's only binding with ALTER OPERATOR; use the DROP OPERATOR statement to drop the operator. An operator cannot exist without any bindings.
- If you add a binding to an operator associated with an indextype, the binding is not associated to the indextype unless you also issue the ALTER INDEXTYPE ADD **OPERATOR** statement

### Commenting Operators

To add comment text to an operator, specify the name and text with the COMMENT statement. For example, the following statement supplies information about the Contains operator:

```
COMMENT ON OPERATOR
Ordsys.Contains IS 'a number indicating whether the text contains the key';
```

Comments on operators are available in the data dictionary through these views:

- USER OPERATOR COMMENTS
- ALL OPERATOR COMMENTS
- DBA\_OPERATOR\_COMMENTS

You can only comment operators in your own schema unless you have the COMMENT ANY OPERATOR privilege.

# Invoking Operators

Like built-in operators, user-defined operators can be invoked wherever expressions can occur. For example, user-defined operators can be used in:

- the select list of a SELECT command
- the condition of a WHERE clause
- the ORDER BY and GROUP BY clauses

When an operator is invoked, Oracle evaluates the operator by executing a function bound to it. When more than one function is bound to the operator, Oracle executes the function whose argument datatypes match those of the invocation (after any implicit type conversions). Invoking an operator with an argument list that does not match the signature of any function bound to that operator causes an error to be raised. Because user-defined operators can have multiple bindings, they can be used as overloaded functions.

#### **Examples**

Assume that the operator Contains was created with the following statement:

```
CREATE OPERATOR Ordsys.Contains
BINDING
(VARCHAR2, VARCHAR2) RETURN NUMBER
USING text.contains,
(spatial.geo, spatial.geo) RETURN NUMBER
```

```
USING spatial.contains;
```

Consider the use of Contains in the following SQL statement:

```
SELECT * FROM Employee
WHERE Contains(resume, 'Oracle')=1 AND Contains(location, :bay_area)=1;
```

The operator invocation Contains (resume, 'Oracle') causes Oracle to execute the function text.contains (resume, 'Oracle'), because the signature of the function matches the datatypes of the operator arguments. Similarly, the operator invocation Contains (location, :bay\_area) causes execution of the function spatial.contains(location,:bay\_area).

Executing the following statement raises an error because none of the operator bindings satisfy the argument datatypes:

```
Select * FROM Employee
WHERE Contains (address, employee_addr_type('123 Main Street', 'Anytown', 'CA',
'90001'))=1;
```

# Operators and Indextypes

Operators are often defined in connection with indextypes. After creating the operators with their functional implementations, you can create an indextype that supports evaluations of these operators using an index scan.

Operators that occur outside WHERE clauses are essentially stand-ins for the functions that implement them; the meaning of such an operator is determined by its functional implementation. Operators that occur in WHERE clauses are sometimes evaluated using functional implementations; at other times they are evaluated by index scans. This section describes the various situations and the methods of evaluation.

# Operators in the WHERE Clause

Operators appearing in the WHERE clause can be evaluated efficiently by an index scan using the scan methods provided by the indextype. This involves:

- creating an indextype that supports the evaluation of the operator
- recognizing operator predicates of a certain form
- selecting a domain index
- setting up an appropriate index scan
- executing the index scan methods

The following sections describe each of these steps in detail.

### **Operator Predicates**

An indextype supports efficient evaluation of operator predicates that can be represented by a range of lower and upper bounds on the operator return values. Specifically, predicates of the form

```
op(...) relop <value expression>, where relop in \{<, <=, =, >=, >\}
op(...) LIKE <value_expression>
```

are candidates for index scan-based evaluation.

Operator predicates that Oracle can convert internally into one of the preceding forms can also make use of the index scan-based evaluation.

Using the operators in expressions, such as

```
op(...) + 2 = 3
```

precludes index scan-based evaluation.

Predicates of the form

```
op() is NULL
```

are evaluated using the functional implementation.

### **Operator Resolution**

An index scan-based evaluation of an operator is only possible if the operator operates on a column or object attribute indexed by an indextype. The optimizer makes the final decision between the indexed implementation and the functional implementation, taking into account the selectivity and cost while generating the query execution plan.

For example, consider the query

```
SELECT * FROM Employees WHERE Contains(resume, 'Oracle') = 1;
```

The optimizer can choose to use a domain index in evaluating the Contains operator

- The resume column has an index defined on it
- The index is of type TextIndexType
- TextIndexType supports the appropriate Contains() operator

If any of these conditions do not hold, Oracle performs a complete scan of the Employees table and applies the functional implementation of Contains as a post-filter. However, if all these conditions are met, the optimizer uses selectivity and cost functions to compare the cost of index-based evaluation with the full table scan and generates the appropriate execution plan.

Consider a slightly different query:

```
SELECT * FROM Employees WHERE Contains(resume, 'Oracle') =1 AND id =100;
```

In this query, the Employees table can be accessed through an index on the id column, one on the resume column, or a bitmap merge of the two. The optimizer estimates the costs of the three plans and picks the cheapest one, which could be to use the index on id and apply the Contains operator on the resulting rows. In that case, Oracle would use the functional implementation of Contains() rather than the domain index.

### **Index Scan Setup**

If a domain index is selected for the evaluation of an operator predicate, an index scan is set up. The index scan is performed by the scan methods (ODCIIndexStart(), ODCIIndexFetch(), ODCIIndexClose()) specified as part of the corresponding indextype implementation. The ODCIIndexStart() method is invoked with the operator-related information, including name and arguments and the lower and upper bounds describing the predicate. After the ODCIIndexStart() call, a series of fetches are performed to obtain row identifiers of rows satisfying the predicate, and finally the ODCIIndexClose() is called when the SQL cursor is destroyed.

#### **Execution Model for Index Scan Methods**

To implement the index scan routines, you must understand how they are invoked and how multiple sets of invocations can be interleaved.

As an example, consider the following query:

```
SELECT * FROM Emp1, Emp2 WHERE
Contains(Emp1.resume, 'Oracle') =1 AND Contains(Emp2.resume, 'Unix') =1
AND Emp1.id = Emp2.id;
```

If the optimizer decides to use the domain indexes on the resume columns of both tables, the indextype routines might be invoked in the following sequence:

```
start(ctx1, ...); /* corr. to Contains(Emp1.resume, 'Oracle') */
start(ctx2, ...); /* corr. to Contains(Emp2.resume, 'Unix');
fetch(ctx1, ...);
fetch(ctx2, ...);
fetch(ctx1, ...);
close(ctx1);
close(ctx2);
```

In this example, a single indextype routine is invoked several times for different instances of the operator. It is possible that many operators are being evaluated concurrently through the same indextype routines. A routine that gets all the information it needs through its parameters (such as the create routine) does not need to maintain any state across calls, so evaluating multiple operators concurrently is not a problem. However, routines that need to maintain state across calls (like the fetch routine, which needs to know which row to return next) should maintain state information in the SELF parameter that is passed in to each call. The SELF parameter (which is an instance of the implementation type) can be used to store either the entire state, if it is not too big, or a handle to the cursor-duration memory that stores the state.

# Operators Outside the WHERE Clause

Operators occurring outside the WHERE clause are evaluated using the functional implementation. For example, to execute the statement

```
SELECT Contains (resume, 'Oracle') FROM Employee;
```

Oracle scans the Employee table and invokes the functional implementation for Contains on each instance of resume, passing it the actual value of the resume (text data) in the current row. Note that this function would not make use of any domain indexes built on the resume column.

However, functional implementations can make use of domain indexes. The following sections discuss how to write functions that use domain indexes and how they are invoked by the system.

#### **Creating Index-based Functional Implementations**

For many domain-specific operators, such as Contains, the functional implementation has two options:

If the operator is operating on a column or OBJECT attribute that has a domain index, the function can evaluate the operator by looking at the index data rather than the actual argument value.

For example, when Contains (resume, 'Oracle') is invoked on a particular row of the Employee table, it is easier for the function to look up the text domain index defined on the resume column and evaluate the operator based on the row identifier for the row containing the resume than to work on the resume text data argument.

If the operator is operating on a column that does not have an appropriate domain index defined on it or if the operator is invoked with literal values (non-columns), the functional implementation evaluates the operator based on the argument values. This is the default behavior for all operator bindings.

To make your operator handle both options, provide a functional implementation that has three arguments in addition to the original arguments to the operator:

- Index context: domain index information and the row identifier of the row on which the operator is being evaluated
- Scan context: a context value to share state with subsequent invocations of the same operator operating on other rows of the table
- Scan flag: indicates whether the current call is the last invocation during which all cleanup operations should be performed

For example, the following function provides the index-based functional implementation for the Contains operator:

```
CREATE FUNCTION TextContains (Text IN VARCHAR2, Key IN VARCHAR2,
indexctx IN ODCIIndexCtx, scanctx IN OUT TextIndexMethods, scanflg IN NUMBER)
RETURN NUMBER AS
BEGIN
END TextContains;
```

The Contains operator is bound to the functional implementation as follows:

```
CREATE OPERATOR Contains
BINDING (VARCHAR2, VARCHAR2) RETURN NUMBER
WITH INDEX CONTEXT, SCAN CONTEXT TextIndexMethods
USING TextContains;
```

The WITH INDEX CONTEXT clause specifies that the functional implementation can make use of any applicable domain indexes. The SCAN CONTEXT specifies the datatype of the scan context argument, which must be the same as the implementation type of the indextype that supports this operator.

#### Operator Resolution

Oracle invokes the functional implementation for the operator if the operator appears outside the WHERE clause. If the functional implementation is index-based (that is, defined to use an indextype), the additional index information is passed in as arguments only if the operator's first argument is a column or object attribute with a domain index of the appropriate indextype defined on it.

For example, in the query

```
SELECT Contains (resume, 'Oracle & Unix') FROM Employees;
```

Oracle evaluates the operator Contains using the index-based functional implementation, passing it the index information about the domain index on the resume column instead of the resume data.

### **Operator Execution**

To execute the index-based functional implementation, Oracle sets up the arguments in the following manner:

- The initial set of arguments is the same as those specified by the user for the operator.
- If the first argument is not a column, the ODCIIndexCtx attributes are set to
- If the first argument is a column, the ODCIIndexCtx attributes are set up as follows.
  - If there is an applicable domain index, the ODCIIndexInfo attribute contains information about it; otherwise the attribute is set to NULL.
  - The rowid attribute holds the row identifier of the row being operated on.
- The scan context is set to NULL on the first invocation of the operator. Because it is an IN/OUT parameter, the return value from the first invocation is passed in to the second invocation and so on.
- The scan flag is set to RegularCall for all normal invocations of the operator. After the last invocation, the functional implementation is invoked once more, at which time any cleanup actions can be performed. During this call, the scan flag is set to CleanupCall and all other arguments except the scan context are set to NULL.

When index information is passed in, the implementation can compute the operator value with a domain index lookup using the row identifier as key. The index metadata is used to identify the index structures associated with the domain index. The scan context is typically used to share state with the subsequent invocations of the same operator.

If there is no indextype that supports the operator, or if there is no domain index on the column passed to the operator as its first argument, then the index context argument is null. However, the scan context argument is still available and can be used as described in this section. Thus, the operator can maintain state between invocations even if no index is used by the query.

# Operators that Return Ancillary Data

In addition to filtering rows, operators in WHERE clauses sometimes need to return ancillary data. Ancillary data is modeled as one or more operators, each of which has

- a single literal number argument, which ties it to the corresponding primary operator
- a functional implementation with access to state generated by the index scan-based implementation of the primary operator

For example, in the following query

```
SELECT Score(1) FROM Employees
WHERE Contains(resume, 'OCI & UNIX', 1) =1;
```

The primary operator, Contains, can be evaluated using an index scan that determines which rows satisfy the predicate and computes a score value for each row. The functional implementation for the Score operator accesses the state generated by the index scan to obtain the score for a given row identified by its row identifier. The literal argument 1 associates the ancillary operator Score to the primary operator Contains, which generates the ancillary data.

The functional implementation of an ancillary operator can use either the domain index or the state generated by the primary operator. When invoked, the functional implementation is passed three extra arguments:

- the index context, which contains the domain index information
- the scan context, which provides access to the state generated by the primary operator
- a scan flag to indicate whether the functional implementation is being invoked for the last time

The following sections discuss how operators modeling ancillary data are defined and invoked.

### Operator Bindings That Compute Ancillary Data

An operator binding that computes ancillary data is called a primary binding. For example, the following statement defines a primary binding for the operator Contains:

```
CREATE OPERATOR Contains
BINDING (VARCHAR2, VARCHAR2) RETURN NUMBER
WITH INDEX CONTEXT, SCAN CONTEXT TextIndexMethods COMPUTE ANCILLARY DATA
USING TextContains;
```

This definition registers two bindings for Contains:

- CONTAINS (VARCHAR2, VARCHAR2), used when ancillary data is not required
- CONTAINS (VARCHAR2, VARCHAR2, NUMBER), used when ancillary data is required (the NUMBER argument associates this binding with the ancillary operator binding)

The two bindings have a single functional implementation:

```
TextContains(VARCHAR2, VARCHAR2, ODCIIndexCtx, TextIndexMethods, NUMBER).
```

#### **Operator Bindings That Model Ancillary Data**

An operator binding that models ancillary data is called an **ancillary binding**. Functional implementations for ancillary data operators are similar to index-based functional implementations. When you have defined the function, you bind it to the operator with an additional ANCILLARY TO attribute, indicating that the functional implementation needs to share state with the primary operator binding.

Note that the functional implementation for the ancillary operator binding must have the same signature as the functional implementation for the primary operator binding.

For example, you might define a TextScore() function to evaluate the Score ancillary operator with a statement like this:

```
CREATE FUNCTION TextScore (Text IN VARCHAR2, Key IN VARCHAR2,
indexctx IN ODCIIndexCtx, scanctx IN OUT TextIndexMethods, scanflg IN NUMBER)
RETURN NUMBER AS
BEGIN
END TextScore;
```

With TextScore defined, you could create an ancillary binding with the following statement:

```
CREATE OPERATOR Score
```

```
BINDING (NUMBER) RETURN NUMBER
ANCILLARY TO Contains (VARCHAR2, VARCHAR2)
USING TextScore;
```

The ANCILLARY TO clause specifies that Score shares state with the primary operator binding CONTAINS (VARCHAR2, VARCHAR2).

The ancillary operator binding is invoked with a single literal number argument, such as Score(1), Score(2), and so on.

### **Operator Resolution**

The operators corresponding to ancillary data are invoked by the user with a single number argument.

**Note:** The number argument must be a literal in both the ancillary operation and the primary operator invocation, so that the operator association can be done at query compilation time.

To determine the corresponding primary operator, Oracle matches the number passed to the ancillary operator with the number passed as the last argument to the primary operator. It is an error to find zero or more than one matching primary operator invocation. After the matching primary operator invocation is found:

- The arguments to the primary operator are made operands to the ancillary operator as well
- The ancillary and primary operator executions are passed the same scan context

For example, consider the query

```
SELECT Score(1) FROM Employees
WHERE Contains (resume, 'Oracle & Unix', 1) =1;
```

The invocation of Score is determined to be ancillary to Contains based on the number argument 1, and the functional implementation for Score gets the following operands: (resume, 'Oracle&Unix', indexctx, scanctx, scanflg), where scanctx is shared with the invocation of Contains.

### **Operator Execution**

The execution involves using an index scan to process the Contains operator. For each of the rows returned by the fetch() call of the index scan, the functional implementation of Score is invoked by passing it the ODCIIndexCtx argument, which contains the index information, row identifier, and a handle to the index scan state. The functional implementation can use the handle to the index scan state to compute the score.

# **Extensible Optimizer Interface**

This chapter describes the functions and procedures that comprise the interface to the extensible optimizer.

This chapter contains these topics:

- The Extensible Optimizer Interface
- **User-Defined ODCIStats Functions**

# The Extensible Optimizer Interface

This section discusses the components of the Extensible Optimizer interface.

The extensible optimizer interfaces support working with partitioned tables and domain indexes. This is accomplished in two ways:

- Additional attributes have been added to some of the system-defined object types that are parameters to the ODCIStats interface methods. For example, the ODCIColinfo type is enhanced to add information about the column's partition.
- Arguments or semantics of the arguments have changed for some ODCIStats methods. For example, the ODCIStatsDelete interface is changed to add an OUT argument to contain updated aggregate statistics.

If your application is developed for the Oracle8i database, you have two options:

- If you don't want to use the new functionality, you do not need to change your code. You must, however, recompile your files and reload the shared library on the server machine, and you must not attempt to use the additional information being passed in any newly added system-type attributes.
- If you want to use the new functionality, you must update your code for the new attributes added to the various system-defined types, and you must code for the new arguments added to various ODCIStats functions. You must also return 'SYS.ODCISTATS2' in the OUT argument in the ODCIGetInterfaces routine. This tells the server to invoke the version of the ODCIStats methods that uses the new arguments.

You must update your code for ODCIStats2 version of the ODCIStats interfaces to use your statistics type with an indextype that implements the ODCIIndex2 version of the extensible indexing interfaces.

#### Example 10–1 Using Statistics Functions in the Extensible Optimizer Interface

Consider an example of how the statistics functions might be used. Suppose, in the schema SCOTT, we define the following:

```
CREATE OPERATOR Contains binding (VARCHAR2 (4000), VARCHAR2 (30))
  RETURN NUMBER USING Contains_fn;
CREATE TYPE stat1 (
   STATIC FUNCTION ODCIStatsSelectivity(pred ODCIPredInfo, sel OUT NUMBER,
      args ODCIArgDescList, start NUMBER, stop NUMBER, doc VARCHAR2(4000),
      key VARCHAR2(30)) return NUMBER,
   STACTIC FUNCTION ODCIStatsFunctionCost(func ODCIFuncInfo, cost OUT
      ODCICost, args ODCIArgDescList, doc VARCHAR2(4000), key VARCHAR2(30))
      return NUMBER,
   STATIC FUNCTION ODCIStatsIndexCost(ia ODCIIndexInfo, sel NUMBER,
      cost OUT ODCICost, qi ODCIQueryInfo, pred ODCIPredInfo,
      args ODCIArgDescList, start NUMBER, stop NUMBER,
     key VARCHAR2(30)) return NUMBER,
):
CREATE TABLE T (resume VARCHAR2(4000));
CREATE INDEX T_resume on T(resume) INDEXTYPE IS indtype;
ASSOCIATE STATISTICS WITH FUNCTIONS Contains_fn USING stat1;
ASSOCIATE STATISTICS WITH INDEXES T_resume USING stat1;
When the optimizer encounters the query
```

```
SELECT * FROM T WHERE Contains(resume, 'ORACLE') = 1,
```

it will compute the selectivity of the predicate by invoking the user-defined selectivity function for the functional implementation of the Contains operator. In this case, the selectivity function is stat1.ODCIStatsSelectivity. It will be called as follows:

```
stat1.0DCIStatsSelectivity (
  ODCIPredInfo('SCOTT', 'Contains_fn', NULL, 29),
  ODCIArgDescList(
     ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL),
     ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL),
     ODCIArgDesc(ODCIConst.ArgCol, 'T', 'SCOTT', '"resume"'),
     ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL)),
      1,
     1.
     NULL,
      'ORACLE')
```

Suppose the selectivity function returns a selectivity of 3 (percent). When the domain index is being evaluated, then the optimizer will call the user-defined index cost function as follows:

```
stat1.ODCIStatsIndexCost (
  ODCIIndexInfo('SCOTT', 'T_resume',
     ODCIColInfoList(ODCIColInfo('SCOTT', 'T', '"resume"', NULL, NULL))),
     3,
     cost,
     NULL,
     ODCIPredInfo('SCOTT', 'Contains', NULL, 13),
     ODCIArgDescList( ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL),
                       ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL),
                       ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL)),
```

```
1,
1,
'ORACLE')
```

Suppose that the optimizer decides not to use the domain index because it is too expensive. Then it will call the user-defined cost function for the functional implementation of the operator as follows:

```
stat1.ODCIStatsFunctionCost (
  ODCIFuncInfo('SCOTT', 'Contains_fn', NULL, 1),
  ODCIArgDescList( ODCIArgDesc(ODCIConst.ArgCol, 'T', 'SCOTT', '"resume"'),
                    ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL)),
  NULL.
   'ORACLE')
```

The following sections describe each statistics type function in greater detail.

### **EXPLAIN PLAN**

EXPLAIN PLAN has been enhanced to show the user-defined CPU and I/O costs for domain indexes in the CPU\_COST and IO\_COST columns of PLAN\_TABLE. For example, suppose we have a table Emp\_tab and a user-defined operator Contains. Further, suppose that there is a domain index EmpResume indx on the Resume col column of Emp\_tab, and that the indextype of EmpResume\_indx supports the operator Contains. Then, the query

```
SELECT * FROM Emp_tab WHERE Contains(Resume_col, 'Oracle') = 1
```

might have the following plan:

OPERATION	OPTIONS	OBJECT_NAME	CPU_COST	IO_COST
SELECT STATEMENT				
TABLE ACCESS	BY ROWID	EMP_TAB		
DOMAIN INDEX		EMPRESUME_INDX	300	4

### INDEX Hint

The index hint will apply to domain indexes. In other words, the index hint will force the optimizer to use the hinted index for a user-defined operator, if possible.

### ORDERED\_PREDICATES Hint

The hint ORDERED\_PREDICATES forces the optimizer to preserve the order of predicate evaluation (except predicates used for index keys) as specified in the WHERE clause of a SQL DML statement.

### **User-Defined ODCIStats Functions**

User-defined ODCIStats functions are used for table columns, functions, package, type, indextype or domain indexes. These functions are summarized in Table 10–1.

Table 10–1 Summary of User-Defined ODCIStats Functions

Function	Description
ODCIGetInterfaces() on page 10-4	Indicates which version of the ODCIStats interfaces is implemented by the user.
ODCIStatsCollect() on page 10-5	Collects user-defined statistics on a table or a partition of a table.
ODCIStatsCollect() on page 10-6	Collects user-defined statistics on an index or a partition of an index.
ODCIStatsDelete() on page 10-7	Deletes user-defined statistics on a table or a partition of a table.
ODCIStatsDelete() on page 10-8	Deletes user-defined statistics on an index or a partition of an index.
ODCIStatsFunctionCost() on page 10-9	Computes the cost of a function.
ODCIStatsIndexCost() on page 10-9	Calculates the cost of a domain index scan.
ODCIStatsSelectivity() on page 10-11	Specifies the selectivity of a predicate.
ODCIStatsTableFunction() on page 10-13	Provides cardinality statistics for table functions and input cursor expressions.

### **ODCIGetInterfaces()**

ODCIGetInterfaces is invoked by the server to discover which version of the ODCIStats interface the user has implemented in the methods of the user-defined statistics type.

### **Syntax**

ODCIGetInterfaces( ifclist OUT ODCIObjectList) RETURN NUMBER

> **Note:** To continue to use existing Oracle8*i* code that does not support partitioning, have this function specify SYS.ODCISTATS1 in the ODCIObjectList, instead of SYS.ODCISTATS2 for the current Oracle Database version.

Table 10-2 ODCIGetInterfaces Parameters

Parameter	Description			
ifclist (OUT)	The version of the ODCIStats interfaces implemented by the statistics type. This value should be SYS.ODCISTATS2 unless working with Oracle8i version.			

#### Returns

ODCIConst.Success on success, ODCIConst.Error otherwise.

### **Usage Notes**

Different versions of ODCIStats functions are used by Oracle8*i* and subsequent versions of Oracle Database. More recent versions adds parameters to some functions to support working with statistics on partitions of a table or domain index. ODCIGetInterfaces must return the string 'SYS.ODCISTATS2' in the ODCIObjectList parameter, which indicates that the statistics type uses the current form of the ODCIStats interface.

### ODCIStatsCollect()

Called by the DBMS\_STATS package to collect user-defined statistics on a table or a partition of a table.

### **Syntax**

FUNCTION ODCIStatsCollect( col ODCIColInfo, options ODCIStatsOptions, statistics OUT RAW) return NUMBER

Table 10–3 ODCIStatsCollect Parameters

Parameter	Description			
col	column for which statistics are being collected			
options	options passed to DBMS_STATS			
statistics	user-defined statistics collected			

#### **Returns**

The function returns ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

### **Usage Notes**

- This function should be implemented as a static type method.
- If statistics are being collected for only one partition, the TablePartition field in the ODCIColinfo type is filled in with the name of the partition. Otherwise (if statistics need to be collected for all the partitions or for the entire table), the TablePartition field is null.
- If the DBMS\_STATS package methods are executed to collect user-defined statistics on a partitioned table, then n+1 ODCIStatsCollect calls are made, where n is the number of partitions in the table. The first n calls are made with the TablePartition attribute in ODCIColInfo filled in with the partition name and the ODCIStatsOptions.CallProperty set to IntermediateCall. The last call is made with ODCIEnv. Call Property flag set to Final Call to allow you to collect aggregate statistics for the entire table. The OUT statistics in the first call are ignored by the server. The OUT statistics in the subsequent n calls are inserted into the USTATS\$ table corresponding to the partitions. The OUT statistics in the last call are the aggregate statistics for the table. The ODCIColInfo.Partition field is NULL in the first and last calls.
- If user-defined statistics are being collected for only one partition of the table, two ODCIStatsCollect calls are made. In the first, you should collect statistics for the partition. For this call, the TablePartition attribute of the ODCIColInfo structure is filled in and the ODCIEnv.CallProperty is set to FirstCall. The statistics in the OUT arguments in the ODCIStatsCollect call are inserted into the USTATS\$ table corresponding to the partition.

- In the second call you can update the aggregate statistics of the table based upon the new statistics collected for the partition. In this call, the ODCIEnv.CallPropertyflag is set to FinalCall to indicate that it is the second call. If you do not want to modify the aggregate statistics, read the aggregate statistics of the table from the catalog and pass that back in the statistics field as the OUT argument. Whatever value is present in the statistics argument is written in the USTATS\$ by the server. The ODCIColInfo. TablePartition is filled in with the partition name in both the calls.
- Return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call to indicate that you are using a post Oracle8*i* version of the ODCISTATS interface that supports partitioning.

### ODCIStatsCollect()

ODCIStatsCollect is called by the to collect user-defined statistics on an index or a partition of an index.

### **Syntax**

FUNCTION ODCIStatsCollect( ia ODCIIndexInfo, options ODCIStatsOptions, statistics OUT RAW) return NUMBER

Table 10-4 ODCIStatsCollect Parameters

Parameter	Description
ia	domain index for which statistics are being collected
options	options passed to DBMS_STATS
statistics	user-defined statistics collected

#### Returns

The function returns ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

### **Usage Notes**

This function should be implemented as a static type method.

If statistics are being collected for the entire partitioned index, the IndexPartition field is null, and n+2 calls are made to the ODCIStatsCollect function. This scenario is similar to that described for the column version of ODCIStatsCollect on page 10-5.

If the statistics are being collected for a single partition of the index, the IndexPartition field contains the name of the partition, and two calls are made to the ODCIStatsCollect function. The first call is made to obtain the statistics for the index partition, and the second call is made to obtain the aggregate statistics for the domain index.

To collect statistics on a non-partitioned domain index only a single call is made to the ODCIStatsCollect function.

Return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call to indicate that you are using a post-Oracle8i version of the ODCISTATS interface that supports partitioning.

### ODCIStatsDelete()

### **Syntax**

FUNCTION ODCIStatsDelete( col ODCIColInfo, statistics OUT RAW, env ODCIEnv) return NUMBER

### **Purpose**

ODCIStatsDelete is called to delete user-defined statistics on a table or a partition of a table.

Table 10-5 ODCIStatsDelete Parameters

Parameter	Description
col	Column for which statistics are being deleted
statistics OUT	Contains table-level aggregate statistics for a partitioned table
env	Contains information about how many times the function has been called by the server

#### Returns

 ${\tt ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.}$ 

### **Usage Notes**

This function should be implemented as a static method.

When the function is called for a non-partitioned table, the statistics argument in the ODCIStatsDelete interface is ignored.

If the statistics are being deleted for a partitioned table, the ODCIStatsDelete is called n+1 times. The first n calls are with the partition name filled in the ODCIColInfo structure and the ODCIEnv. CallProperty set to IntermediateCall. The last call is made with the ODCIEnv. CallProperty set to FinalCall.

The order of operations that you must perform for a delete are the inverse of what you do to collect statistics: In the first call, delete the table-level statistics from your statistics tables; in the intermediate n calls, delete the statistics for the specific partitions; and in the last call drop or clean up any structures created for holding statistics for the deleted table. The ODCIColInfo. TablePartition is set to null in the first and last calls. In the intermediate n calls, the TablePartition field is filled

If statistics are being deleted for only one partition and the minimal stats aggregation parameter is set to FALSE, two ODCIStatsDelete calls are made. In each call, ODCIColInfo. TablePartition is filled in with the partition name. On the first call, delete any user-defined statistics collected for that partition. On the second call, update the aggregate statistics for the table and return these aggregate statistics as an OUT argument.

If statistics are being deleted for one partition and \_minimal\_stats\_aggregation is set to TRUE, ODCIStatsDelete is only called one to delete any user-defined statistics collected for that partition.

The initial value of \_minimal\_stats\_aggregation is TRUE.

Return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call to indicate that you are using a post-Oracle8*i* version of the ODCISTATS interface that supports partitioning.

### ODCIStatsDelete()

### **Syntax**

FUNCTION ODCIStatsDelete( ia ODCIIndexInfo, statistics OUT RAW, env ODCIEnv) return NUMBER

### **Purpose**

ODCIStatsDelete is called to delete user-defined statistics on an index or a partition of an index.

Table 10–6 ODCIStatsDelete Parameters

Parameter	Description
ia	Domain index for which statistics are being deleted
statistics OUT	Contains aggregate statistics for a partitioned index
env	Contains information about how many times the function has been called by the server

#### Returns

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

### Usage Notes

- This function should be implemented as a static method.
- When the function is called for a non-partitioned index, the statistics argument in the ODCIStatsDelete interface is ignored.
- If statistics are being deleted for a partitioned index, ODCIStatsDelete is called *n*+2 times. The first and the last call are made with the ODCIEnv.CallProperty set to FirstCall and FinalCall respectively and do not have the partition name set in the ODCIIndexInfo type. The intermediate n calls are made with the partition name filled in the ODCIIndexInfo structure and the ODCIEnv.CallProperty set to IntermediateCall.
- The order of operations that you must perform to delete statistics are the inverse of what you do to collect statistics: In the first call, delete the index-level statistics from your statistics tables; in the intermediate n calls, delete the statistics for the specific partitions; and in the last call drop or clean up any structures created for holding the deleted statistics. The ODCIIndexInfo.IndexPartition is set to null in the first and last calls. In the intermediate *n* calls, the IndexPartition field is filled in.
- If statistics are being deleted for only one partition and the parameter \_minimal\_ stats\_aggregation is set to FALSE, two ODCIStatsDelete calls are made. In each call, ODCIIndexInfo. IndexPartition is filled in with the partition name. On the first call, delete any user-defined statistics collected for that partition. On the second call, update the aggregate statistics for the index and return these aggregate statistics as an OUT argument.

- If statistics are being deleted for one partition and \_minimal\_stats\_ aggregation is set to TRUE, ODCIStatsDelete is only called one to delete any user-defined statistics collected for that index.
- The initial value of \_minimal\_stats\_aggregation is TRUE.
- Return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call to indicate that you are using a version of the ODCISTATS interface that supports partitioning.

# ODCIStatsFunctionCost()

Computes the cost of a function.

### Syntax

```
FUNCTION ODCIStatsFunctionCost(
  func ODCIFuncInfo,
   cost OUT ODCICost,
   args ODCIArgDescList,
   . . . )
return NUMBER
```

Table 10–7 ODCIStatsFunctionCost Parameters

Parameter	Description
func	Function or type method for which the cost is being computed
cost	Computed cost (must be positive whole numbers)
args	Descriptor of actual arguments with which the function or type method was called. If the function has $n$ arguments, the args array will contain $n$ elements, each describing the actual arguments of the function or type method
•••	List of actual parameters to the function or type method; the number, position, and type of each argument must be the same as in the function or type method

### **Returns**

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

### **Usage Notes**

This function should be implemented as a static type method.

# ODCIStatsIndexCost()

Calculates the cost of a domain index scan, either a scan of the entire index or a scan of one or more index partitions if a local domain index has been built.

### Syntax 1 4 1

```
FUNCTION ODCIStatsIndexCost(
  ia ODCIIndexInfo,
  sel NUMBER,
  cost OUT ODCICost,
  qi ODCIQueryInfo,
  pred ODCIPredInfo,
  args ODCIArgDescList,
  start <operator_return_type>,
  stop <operator_return_type>,
  <list of operator arguments>,
  env ODCIEnv)
return NUMBER
```

ODCIStatsIndexCost Parameters Table 10–8

Parameter	Description
ia	domain index for which statistics are being collected
sel	the user-computed selectivity of the predicate
cost	computed cost (must be positive whole numbers)
qi	Information about the query
pred	Information about the predicate
args	Descriptor of start, stop, and actual value arguments with which the operator was called. If the operator has $n$ arguments, the args array will contain $n+1$ elements, the first element describing the start value, the second element describing the stop value, and the remaining $n-1$ elements describing the actual value arguments of the operator (that is, the arguments after the first)
start	Lower bound of the operator (for example, 2 for a predicate $fn() > 2$ )
stop	Upper bound of the operator (for example, 5 for a predicate $fn() < 5$ )
<pre><list arguments="" function="" of=""></list></pre>	List of actual parameters to the operator (excluding the first); the number, position, and type of each argument must be the same as in the operator
env	Contains general information about the environment in which the routine is executing

#### Returns

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning

### **Usage Notes**

- For each table in the query, the optimizer uses partition pruning to determine the range of partitions that may be accessed. These partitions are called **interesting** partitions. The set of interesting partitions for a table is also the set of interesting partitions for all domain indexes on that table. The cost of a domain index can depend on the set of interesting partitions, so the optimizer passes a list of interesting index partitions to ODCIStatsIndexCost in the args argument (the type of this argument, ODCIArgDescList, is a list of ODCIArgDesc argument descriptor types) for those arguments that are columns. For non-partitioned domain indexes or for cases where no partition pruning is possible, no partition list is passed to ODCIStatsIndexCost, and you should assume that the entire index will be accessed.
- The domain index key can contain multiple column arguments (for example, the indexed column and column arguments from other tables appearing earlier in a join order). For each column appearing in the index key, the args argument contains the list of interesting partitions for the table. For example, for an index key

```
op(T1.c1, T2.c2) = 1
```

the optimizer passes a list of interesting partitions for tables T1 and T2 if they are partitioned and there is partition pruning for them.

- This function should be implemented as a static type method.
- Only a single call is made to the ODCIIndexCost function for queries on partitioned or non-partitioned tables. For queries on partitioned tables, additional information is passed in the ODCIIndexCost function. Note that some partitions

- in the list passed to ODCIStatsIndexCost may not actually be accessed by the query. The list of interesting partitions chiefly serves to exclude partitions that definitely will not be accessed.
- When the ODCIIndexCost function is invoked, users can fill in a string in the IndexCostInfo field of the cost attribute to supply any additional information that might be helpful. The string (255 characters maximum) is displayed in the OPTIONS column in the EXPLAIN PLAN output when an execution plan chooses a domain index scan.
- Users implementing this function must return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call.

### ODCIStatsSelectivity()

Specifies the selectivity of a predicate. The selectivity of a predicate involving columns from a single table is the fraction of rows of that table that satisfy the predicate. For predicates involving columns from multiple tables (for example, join predicates), the selectivity should be computed as a fraction of rows in the Cartesian product of those tables.

### Syntax 1 4 1

```
FUNCTION ODCIStatsSelectivity(
  pred ODCIPredInfo,
  sel OUT NUMBER,
  args ODCIArgDescList,
  start <function_return_type>,
  stop <function_return_type>,
  <list of function arguments>,
  env ODCIEnv)
return NUMBER
```

Table 10-9 ODCIStatsSelectivity Parameters

Parameter	Description
pred	Predicate for which the selectivity is being computed
sel	The computed selectivity, expressed as a number between (and including) 0 and 100, representing a percentage.
args	Descriptor of start, stop, and actual arguments with which the function, type method, or operator was called. If the function has $n$ arguments, the args array will contain $n+2$ elements, the first element describing the start value, the second element describing the stop value, and the remaining $n$ elements describing the actual arguments of the function, method, or operator
start	Lower bound of the function (for example, 2 for a predicate $fn() > 2$ )
stop	Upper bound of the function (for example, 5 for a predicate $fn() < 5$ )
<pre><list arguments="" function="" of=""></list></pre>	List of actual parameters to the function or type method; the number, position, and type of each argument must be the same as in the function, type method, or operator
env	Contains general information about the environment in which the routine is executing

### Returns

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning

### **Usage Notes**

- As in ODCIStatsIndexCost, the args argument contains a list of *interesting* partitions for the tables whose columns are referenced in the predicate for which the selectivity has to be computed. These interesting partitions are partitions that cannot be eliminated by partition pruning as possible candidates to be accessed. The set of interesting partitions is passed to the function only if partition pruning has occurred (in other words, the interesting partitions are a strict subset of all the partitions).
- For example, when ODCIStatsSelectivity is called to compute the selectivity of the predicate:

```
f(T1.c1, T2.c2) > 4
```

the optimizer passes the list of interesting partitions for the table T1 (in the argument descriptor for column T1.c1) if partition pruning is possible; similarly for the table T2.

If a predicate contains columns from more than one table, this information is indicated by the flag bit PredMultiTable, set in the Flags attribute of the pred argument.

- This function should be implemented as a static type method.
- Users implementing this interface must return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call.
- The selectivity of a predicate involving columns from a single table is the fraction of rows of that table that satisfy the predicate. For predicates involving columns from multiple tables (for example, join predicates), the selectivity should be computed as a fraction of rows in the Cartesian product of those tables. For tables with partition pruning, the selectivity should be expressed relative to the cardinalities of the interesting partitions of the tables involved.

The selectivity of predicates involving columns on partitioned tables is computed relative to the rows in the interesting partitions. Thus, the selectivity of the predicate

```
g(T1.c1) < 5
```

is the percentage of rows in the set of interesting partitions (or all partitions if no partition pruning is possible) that satisfies this predicate. For predicates with columns from multiple tables, the selectivity must be relative to the number of rows in the cartesian product of the tables.

For example, consider the predicate:

```
f(T1.c1, T2.c2) > 4
```

Suppose that the number of rows in the interesting partitions is 1000 for T1 and 5000 for T2. The selectivity of this predicate must be expressed as the percentage of the 5,000,000 rows in the Cartesian product of T1 and T2 that satisfy the predicate.

- If a predicate contains columns from more than one table, this information is indicated by the flag bit PredMultiTable set in the Flags attribute of the pred argument.
- A selectivity expressed relative to the base cardinalities of the tables involved may be only an approximation of the true selectivity if cardinalities (and other statistics) of the tables have been reduced based on single-table predicates or other

- joins earlier in the join order. However, this approximation to the true selectivity should be acceptable to most applications.
- Only one call is made to the ODCIStatsSelectivity function for queries on partitioned or non-partitioned tables. In the case of queries on partitioned tables, additional information is passed while calling the ODCIStatsSelectivity function.

### ODCIStatsTableFunction()

This function provides cardinality statistics for table functions and input cursor expressions.

### **Syntax**

STATIC FUNCTION ODCIStatsTableFunction( func IN SYS.ODCIFuncInfo, outStats OUT SYS.ODCITabFuncStats, argDesc IN SYS.ODCIArgDescList, <list of function arguments> RETURN NUMBER

Table 10-10 ODCIStatsTableFunction Parameters

Parameter	Description
func	Table function name
outStats	Number of rows expected to be returned
argDesc	Description of the arguments to the table function
<pre><list arguments="" function="" of=""></list></pre>	The arguments' compile-time values. Expressions that only have values at run time are represented by nulls.

### **Returns**

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

# **User-Defined Aggregate Functions**

Oracle provides a number of pre-defined aggregate functions such as MAX, MIN, and SUM for performing operations on a set of rows. These pre-defined aggregate functions can be used only with scalar data, not with complex data types such as multimedia data stored using object types, opaque types, and LOBs. You can, however, define custom implementations of these functions for complex data types. You can also define entirely new aggregate functions to use with complex data. User-defined aggregate functions can be used in SQL DML statements just like Oracle's built-in aggregates. Once functions are registered with the server, Oracle simply invokes the user-defined aggregation routines supplied by you instead of the native routines. User-defined aggregates can also be used with scalar data, such as complex statistical data necessary for scientific applications.

User-defined aggregates are a feature of the Extensibility Framework, and you can implement them using ODCIAggregate interface routines.

This chapter contains these topics:

- "Overview of User-Defined Aggregate Functions"
- "Creating a User-Defined Aggregate"
- "Using a User-Defined Aggregate"
- "Evaluating User-Defined Aggregates in Parallel"
- "Handling Large Aggregation Contexts"
- "Using Materialized Views with User-Defined Aggregates"
- "Creating and Using a User-Defined Aggregate Function; an Example"

**See Also:** Chapter 22, "User-Defined Aggregate Functions Interface" for a detailed description of the ODCIAggregate interface.

# **Overview of User-Defined Aggregate Functions**

You create a user-defined aggregate function by implementing a set of routines collectively known as the **ODCIAggregate routines**. You can implement these routines as methods within an object type, so the implementation can be in any language that Oracle supports, PL/SQL, C, C++ or Java. Once the object type is defined and the routines are implemented in the type body, use the **CREATE FUNCTION** statement to create the aggregate function.

Each user-defined aggregate function uses up to four ODCIAggregate routines, or steps, to define internal operations that any aggregate function performs, namely: initialization, iteration, merging, and termination.

- Initialization is accomplished by the **ODCIAggregateInitialize** routine, which is invoked by Oracle to initialize the computation of the user-defined aggregate. The initialized aggregation context is passed back to Oracle as an object type instance.
- Iteration is performed through the **ODCIAggregateIterate** routine, which is repeatedly invoked by Oracle. On each invocation, a new value or a set of new values and the current aggregation context are passed in. The routine processes the new values and returns the updated aggregation context. This routine is invoked for every non-NULL value in the underlying group. NULL values are ignored during aggregation and are not passed to the routine.
- Merging is performed by ODCIAggregateMerge, a routine invoked by Oracle to combine two aggregation contexts. This routine takes the two contexts as inputs, combines them, and returns a single aggregation context.
- Termination takes place when the ODCIAggregateTerminate routine is invoked by Oracle as the final step of aggregation. The routine takes the aggregation context as input and returns the resulting aggregate value.

The process is illustrated in Example 11–1.

### Example 11-1 How User-Defined Aggregate Functions Work

Consider the aggregate function AVG() in the following statement:

```
SELECT AVG(T.Sales)
FROM AnnualSales T
GROUP BY T.State;
```

To perform this computation, the aggregate function AVG() goes through steps like these:

**Initializes** the computation by initializing the aggregation context—the rows over which aggregation is performed:

```
runningSum = 0; runningCount = 0;
```

**2. Iteratively** processes each successive input value and updates the context:

```
runningSum += inputval; runningCount++;
```

3. [Optional] Merge by combining the two aggregation contexts and return a single context. This operation combines the results of aggregation over subsets in order to obtain the aggregate over the entire set. This extra step can be required during either serial or parallel evaluation of an aggregate. If needed, it is performed before the *Terminate* step:

```
runningSum = runningSum1 + runningSum2;
runningCount = runningCount1 + runningCount2
```

This step is described in greater detail in section "Evaluating User-Defined Aggregates in Parallel" on page 11-4.

**4. Terminates** by computing the result; uses the context to return the resultant aggregate value:

```
return (runningSum/runningCount);
```

If AVG() were a user-defined function, the object type that embodies it would implement a method for a corresponding ODCIAggregate routine for each of these steps. The variables runningSum and runningCount, which determine the state of the aggregation in the example, would be attributes of that object type.

# **Creating a User-Defined Aggregate**

The process of creating a user-defined aggregate function has two steps, illustrated in Example 11–2 and Example 11–3. Both examples use the SpatialUnion() aggregate function defined by the spatial cartridge. The function computes the bounding geometry over a set of input geometries.

### Example 11–2 How to Implement the ODCIAggregate Interface

The ODCIAggregate routines are implemented as methods within an object type SpatialUnionRoutines. The actual implementation could be in any Oracle-supported language for type methods, such as PL/SQL, C, C++ or Java.

```
CREATE TYPE SpatialUnionRoutines(
  STATIC FUNCTION ODCIAggregateInitialize( ... ) ...,
  MEMBER FUNCTION ODCIAggregateIterate(...) ... ,
  MEMBER FUNCTION ODCIAggregateMerge(...) ...,
  MEMBER FUNCTION ODCIAggregateTerminate(...)
);
CREATE TYPE BODY SpatialUnionRoutines IS
END;
```

### Example 11–3 How to Define a User-Defined Aggregate Function

This function definition creates the SpatialUnion() aggregate function by specifying its signature and the object type that implements the ODCIAggregate interface:

```
CREATE FUNCTION SpatialUnion(x Geometry) RETURN Geometry
AGGREGATE USING SpatialUnionRoutines;
```

# Using a User-Defined Aggregate

User-defined aggregates can be used just like built-in aggregate functions in SQL DML and query statements. They can appear in the SELECT list, ORDER BY clause, or as part of the predicate in the HAVING clause. The following Example 11–4, Example 11–5 and Example 11–6 illustrate some of these options.

### Example 11-4 How to Use SELECT Statement with User-Defined Aggregate Functions

The following query can be used to compute state boundaries by aggregating the geometries of all counties belonging to the same state:

```
SELECT SpatialUnion(geometry)
FROM counties
GROUP BY state
```

#### Example 11–5 How to Use HAVING Clause with User-Defined Aggregate Functions

User-defined aggregates can be used in the HAVING clause to eliminate groups from the output based on the results of the aggregate function. Here, MyUDAG() is a user-defined aggregate:

```
SELECT groupcol, MyUDAG(col)
FROM tab
```

```
GROUP BY groupcol
HAVING MyUDAG(col) > 100
ORDER BY MyUDAG(col);
```

### Example 11–6 How to Use other Query Options with User-Defined Aggregate Functions

User-defined aggregates can take DISTINCT or ALL (default) options on the input parameter. DISTINCT causes duplicate values to be ignored while computing an aggregate. The SELECT statement that contains a user-defined aggregate can also include GROUP BY extensions such as ROLLUP, CUBE and grouping sets:

```
SELECT ..., MyUDAG(col)
FROM tab
GROUP BY ROLLUP(gcol1, gcol2);
```

The ODCIAggregateMerge interface is invoked to compute super aggregate values in such rollup operations.

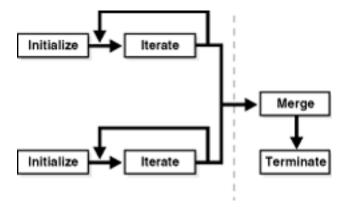
**See Also:** Oracle Data Warehousing Guide for information about GROUP BY extensions such as ROLLUP, CUBE and grouping sets

# **Evaluating User-Defined Aggregates in Parallel**

Like built-in aggregate functions, user-defined aggregates can be evaluated in parallel.

The aggregation contexts generated by aggregating subsets of the rows within the parallel slaves are sent back to the next parallel step, either the query coordinator or the next slave set. It then merges the aggregation contexts, and then invokes the Terminate routine to obtain the aggregate value. This behavious is illustrated in Figure 11–1.

Figure 11-1 Sequence of Calls for Parallel Evaluation of User-Defined Aggregates



You should note that the aggregate function must be declared to be parallel-enabled, as shown in Example 11–7:

### Example 11–7 How to Parallel-Enable a User-Defined Aggregate Function

```
CREATE FUNCTION MyUDAG(...) RETURN ...
PARALLEL_ENABLE AGGREGATE USING MyAggrRoutines;
```

# **Handling Large Aggregation Contexts**

When the implementation type methods are implemented in an external language, such as C++ or Java, the aggregation context must be passed back and forth between the Oracle server process and the external function's language environment each time an implementation type method is called. This can have an adverse effect on performance as the size of the aggregation context increases.

To enhance performance, you can store the aggregation context in external memory, allocated in the external function's execution environment. You can then pass the reference or key between the Oracle server and the external function. The key iself should be stored in the implementation type instance, the self. This approach keeps the implementation type instance small so that it can be transferred quickly. Another advantage of this strategy is that the memory used to hold the aggregation context is allocated in the function's execution environment, such as extproc, and not in the Oracle server.

Usually you should use ODCIAggregateInitialize to allocate the memory to hold the aggregation context and store the reference to it in the implementation type instance. In subsequent calls, the external memory and the aggregation context that it contains can be accessed using the reference. The external memory should usually be freed in ODCIAggregateTerminate. ODCIAggregateMerge should free the external memory used to store the merged context (the second argument of ODCIAggregateMerge) after the merge is finished.

### External Context and Parallel Aggregation

With parallel execution of queries with user-defined aggregates, the entire aggregation context, which comprises all partial aggregates computed by slave processes, must sometimes be transmitted to another slave or to the master process. You can implement the optional routine ODCIAggregateWrapContext to collect all the partial aggregates. If a user-defined aggregate is being evaluated in parallel, and ODCIAggregateWrapContext is defined, Oracle invokes the routine to copy all external context references into the implementation type instance and then frees the external memory. To support ODCIAggregateWrapContext, the implementation type must contain attributes to hold the aggregation context and another attribute to hold the key that identifies the external memory.

When the aggregation context is stored externally, the key attribute of the implementation type should contain the reference identifying the external memory, and the remaining attributes of the implementation type should be NULL. After a ODCIAggregateWrapContext call runs successfully, the key attribute should be NULL, and the other attributes should hold the actual aggregation context.

### Example 11–8 How to Use External Memory to Store Aggregate Context

This example shows how an aggregation context type that contains references to external memory can also store the entire context, when needed.

The 4 byte key parameter is used to look up the external context. When NULL, it implies that the entire context value is held by the rest of the attributes in the object. The other attributes, such as GeometrySet, correspond to the actual aggregation context. If the key value is not NULL, these attributes must have a NULL value. However, when the context object is self-contained, as after a call to ODCIAggregateWrapContext, these attributes hold the current context values.

```
CREATE TYPE MyAggrRoutines AS OBJECT
key RAW(4),
```

```
ctxval GeometrySet,
ctxval2 ...
);
```

Each of the implementation type's member methods should begin by checking whether the context is **inline** (contained in the implementation type instance) or in external memory. If the context is inline, as it would be if it was sent from another parallel slave, it should be copied to external memory so that it can be passed by reference.

Implementation of the ODCIAggregateWrapContext routine is optional. It should be used only when external memory holds the aggregation context, and the user-defined aggregate is evaluated in parallel. If the user-defined aggregate is never evaluated in parallel, ODCIAggregateWrapContext is not needed. If the ODCIAggregateWrapContext method is not defined, Oracle assumes that the aggregation context is not stored externally and does not try to call the method.

### **User-Defined Aggregates and Analytic Functions**

**Analytic functions** (formerly called **window**, or windowing functions) enable you to compute various cumulative, moving, and centered aggregates over a set of rows called a window. For each row in a table, analytic functions return a value computed on the other rows contained in the given row's window. These functions provide access to more than one row of a table without a self-join. User-defined aggregates can be used as analytic functions.

### Example 11–9 How to Use User-Defined Aggrefates as Analytic Functions

```
SELECT Account_number, Trans_date, Trans_amount,
  MyAVG (Trans_amount) OVER
     PARTITION BY Account_number ORDER BY Trans_date
     RANGE INTERVAL '7' DAY PRECEDING) AS mavg_7day
FROM Ledger;
```

# Reusing the Aggregation Context for Analytic Functions

When a user-defined aggregate is used as an analytic function, the aggregate is calculated for each row's corresponding window. Generally, each successive window contains largely the same set of rows, such that the new aggregation context, the new window, differs by only a few rows from the old aggregation context, the previous window. To reuse the aggregation context, any new rows that were not in the old context must be iterated over to add them, and any rows from the old context that do not belong in the new context must be removed. If the aggregation context cannot be reused, all the rows it contains must be reiterated to rebuild it.

You can implement an optional routine, ODCIAggregateDelete, to allow Oracle to reuse the aggregation context more efficiently. ODCIAggregateDelete removes from the aggregation context rows from the previous context that are not in the new (current) window. Oracle calls this routine for each row that must be removed. For each row that must be added, Oracle calls ODCIAggregateIterate.

If the new aggregation context is a superset of the old one, then it contains all the rows from the old context and no rows must be deleted. Oracle then reuses the old context even if ODCIAggregateDelete is not implemented.

#### See Also:

Oracle Data Warehousing Guide for information about analytic functions

### External Context and User-Defined Analytic Functions

When user-defined aggregates are used as analytic functions, the aggregation context can be reused from one window to the next. In these cases, the flag argument of the ODCIAggregateTerminate function has its ODCI\_AGGREGATE\_REUSE\_CTX bit set to indicate that the external memory holding the aggregation context should not be freed. Also, the ODCIAggregateInitialize method is passed the implementation type instance of the previous window, so instead of having to allocate memory again, you can access and re-initialize the external memory previously allocated. To support external contex for user-defined analytic functions, you should follow these steps:

- 1. ODCIAggregateInitialize If the implementation type instance passed is not NULL, use the previously allocated external memory instead of allocating new external memory, and reinitialize the aggregation context.
- 2. ODCIAggregateTerminate Free external memory only if the bit ODCI\_ AGGREGATE\_REUSE\_CTX of the flag argument is not set.
- 3. ODCIAggregateMerge Free external memory associated with the merged aggregation context.
- ODCIAggregateWrapContext Copy the aggregation context from the external memory into the implementation type instance, and free the external memory.
- All member methods First determine if the context is stored externally or inline. If the context is inline, allocate external memory and copy the context there.

# Using Materialized Views with User-Defined Aggregates

A materialized view definition can contain user-defined aggregates and built-in aggregate operators:

```
CREATE MATERIALIZED VIEW MyMV AS
SELECT gcols, MyUDAG(c1) FROM tab GROUP BY (gcols);
```

To enable the materialized view for query rewrite, the user-defined aggregates in the materialized view must be declared as DETERMINISTIC:

```
CREATE FUNCTION MyUDAG(x NUMBER) RETURN NUMBER
DETERMINISTIC
AGGREGATE USING MyImplType;
CREATE MATERIALIZED VIEW MVMV
ENABLE QUERY REWRITE AS
SELECT gcols, MyUDAG(c1) FROM tab GROUP BY (gcols);
```

When a user-defined aggregate is dropped or re-created, all of its dependent materialized views are marked invalid.

> **See Also:** Oracle Data Warehousing Guide for information about materialized views

# Creating and Using a User-Defined Aggregate Function; an Example

The following example illustrates how to create and use a simple user-defined aggregate function, SecondMax().

### Example 11–10 How to Create and Use a User-Defined Aggregate Function

SecondMax() returns the second-largest value in a set of numbers.

1. Implement the type SecondMaxImpl to contain the ODCIAggregate routines:

```
create type SecondMaxImpl as object
 max NUMBER, -- highest value seen so far
 secmax NUMBER, -- second highest value seen so far
 static function ODCIAggregateInitialize(sctx IN OUT SecondMaxImpl)
   return number,
 member function ODCIAggregateIterate(self IN OUT SecondMaxImpl,
   value IN number) return number,
 member function ODCIAggregateTerminate(self IN SecondMaxImpl,
   returnValue OUT number, flags IN number) return number,
 member function ODCIAggregateMerge(self IN OUT SecondMaxImpl,
   ctx2 IN SecondMaxImpl) return number
);
```

**2.** Implement the type body for SecondMaxImpl:

```
create or replace type body SecondMaxImpl is
static function ODCIAggregateInitialize(sctx IN OUT SecondMaxImpl)
return number is
 sctx := SecondMaxImpl(0, 0);
 return ODCIConst.Success;
member function ODCIAggregateIterate(self IN OUT SecondMaxImpl, value IN
number) return number is
begin
 if value > self.max then
   self.secmax := self.max;
   self.max := value;
 elsif value > self.secmax then
   self.secmax := value;
 end if:
 return ODCIConst.Success;
member function ODCIAggregateTerminate(self IN SecondMaxImpl,
   returnValue OUT number, flags IN number) return number is
 returnValue := self.secmax;
 return ODCIConst.Success;
end;
member function ODCIAggregateMerge(self IN OUT SecondMaxImpl, ctx2 IN
SecondMaxImpl) return number is
begin
 if ctx2.max > self.max then
   if ctx2.secmax > self.secmax then
     self.secmax := ctx2.secmax;
```

```
else
     self.secmax := self.max;
   end if;
   self.max := ctx2.max;
 elsif ctx2.max > self.secmax then
   self.secmax := ctx2.max;
 end if;
 return ODCIConst.Success;
end;
end;
```

**3.** Create the user-defined aggregate:

```
CREATE FUNCTION SecondMax (input NUMBER) RETURN NUMBER
PARALLEL_ENABLE AGGREGATE USING SecondMaxImpl;
```

**4.** Use SecondMax():

```
SELECT SecondMax(salary), department_id
  FROM employees
  GROUP BY department_id
  HAVING SecondMax(salary) > 9000;
```

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# **Using Cartridge Services**

This chapter describes how to use cartridge services.

This chapter contains these topics:

- Introduction to Cartridge Services
- Cartridge Handle
- **Memory Services**
- Memory Services
- Maintaining Context
- Globalization Support
- Parameter Manager Interface
- File I/O
- String Formatting

# Introduction to Cartridge Services

This chapter describes a set of services that will help you create data cartridges in the Oracle Extensibility framework.

Using Oracle Cartridge Services offers you these advantages:

### **Portability**

Oracle Cartridge Services offers you the flexibility to work across different machine architectures

### Flexibility Within Oracle Environments

Another type of flexibility is offered to you in terms of the fact that all cartridge services will work with your Oracle Database irrespective of the configuration of operations that has been purchased by your client.

### Language Independence

The use of the Globalization Support services lets you internationalize your cartridge. Language independence means that you can have different instances of your cartridge operating in different language environments.

### Tight Integration with the Server

Various cartridge services have been designed to facilitate access with Oracle ORDBMS. This offers far superior performance to client -side programs attempting to perform the same operations.

### **Guaranteed Compatibility**

Oracle is a rapidly evolving technology and it is likely that your clients might be operating with different releases of Oracle. The cartridge services will operate with all versions of Oracle database.

### Integration of Different Cartridges

The integration of cartridge services lets you produce a uniform integration of different data cartridges.

The following sections provide a brief introduction to the set of services that you can use as part of your data cartridge. The APIs that describe these interfaces are in Chapter 18, "Cartridge Services Using C, C++ and Java"

# Cartridge Handle

Cartridge services require various handles that are encapsulated inside two types of OCI handles:

**Environment handle** (OCIEnv or OCI\_HTYPE\_ENV).

Various cartridge services are required at the process level when no session is available. The OCIInitialize() should use the OCI\_OBJECT option for cartridge service.

User Session handle (OCISession or OCI\_HTYPE\_SESSION).

In a callout, the services can be used when the handle is allocated even without opening a connection back to the database.

All cartridge service calls take a void \* OCI handle as one of the arguments that may be either an environment or a session handle. While most service calls are allowed with either of the handles, certain calls may not be valid with one of the handles. For example, it may be an error to allocate OCI\_DURATION\_SESSION with an environment handle. An error will typically be returned in an error handle.

# Client Side Usage

Most of the cartridge service can also be used on the client side code. Refer to individual services for restrictions. To use cartridge service on the client side, the OCI environment has to be initialized with OCI\_OBJECT option. This is automatically effected in a cartridge.

# Cartridge Side Usage

Most of the services listed in this document can be used in developing a database cartridge, but please refer to documentation of each individual service for restrictions. New service calls are available to obtain the session handle in a callout. The session handle is available without opening a connection back to the server.

### Service Calls

Before using any service, the OCI environment handle must be initialized. All the services take an OCI environment (or user\_session) handle as an argument. Errors are returned in an OCI error handle. The sub handles required for various service calls are not allocated along with the OCI environment handle. Services which need to initialize an environment provide methods to initialize it.

The following example demonstrates the initialization of these handles:

```
OCIEnv *envhp;
OCIError *errhp;
(void) OCIInitialize(OCI_OBJECT, (dvoid *)0, 0, 0, 0);
(void) OCIEnvInit(&envhp, OCI_OBJECT, (size_t)0, (dvoid **)0);
(void) OCIHandleAlloc((dvoid *)envhp, (dvoid **)errhp,
                                                        OCI_HTYPE_ERROR, (size_
t)0, (dvoid **)0);
/* ... use the handles ... */
(void) OCIHandleFree((dvoid *)errhp, OCI_HTYPE_ERROR);
```

### Error Handling

Routines that return errors will generally return OCI\_SUCCESS or OCI\_ERROR. Some routines may return OCI\_SUCCESS\_WITH\_INFO, OCI\_INVALID\_HANDLE, or OCI\_ NO\_DATA. If OCI\_ERROR or OCI\_SUCCESS\_WITH\_INFO is returned, then an error code, an error facility, and possibly an error message can be retrieved by calling OCIErrorGet:

```
OCIError *errhp;
ub4 errcode:
text buffer[512];
(void) OCIErrorGet((dvoid *)errhp, 1, (text *)NULL, &errcode, buffer,
                   sizeof(buffer), OCI_HTYPE_ERROR);
```

# **Memory Services**

Memory management is one of the services that is required by cartridge developers.

The memory service allows the client to allocate or free memory chunks. Each memory chunk is associated with a duration. This allows clients to automatically free all memory associated with a duration (at the end of the duration). The duration determines the heap that is used to allocate the memory. The memory service predefines three kinds of durations: call (OCI\_DURATION\_CALL), statement (OCI\_ DURATION\_STATEMENT) and session (OCI\_DURATION\_SESSION).

The client can also create a user duration. The client has to explicitly start and terminate a user duration. Thus, the client can control the length of a user duration. Like the predefined durations, a user duration can be used to specify the allocation duration (for example, memory chunks are freed at the end of the user duration).

Each user duration has a parent duration. A user duration terminates implicitly when its parent duration terminates. A parent duration can be call, statement, transaction, session or any other user duration. Memory allocated in the user duration comes from the heap of its parent duration.

The Oracle RDBMS memory manager already supports a variety of memory models. Currently callouts support memory for the duration of that callout. With the extension of row sources to support external indexing, there is a need for memory of durations greater than a callout.

The following functionality is supported:

- Allocate (permanent and friable) memory of following durations
  - call to agent process
  - statement
  - session
  - shared attributes (metadata) for cartridges
- Ability to re-allocate memory
- Ability to create a subduration memory, a sub heap which gets freed up when the parent heap gets freed up. Memory for this sub heap can be allocated and freed.
- Ability to specify zeroed memory
- Ability to allocate large contiguous memory

# **Maintaining Context**

Context management allows the clients to store values across calls. Cartridge services provide a mechanism for saving and restoring context.

Most operating systems which support threads have the concept of thread context. Threads can store thread specific data in this context (or state) and retrieve it at any point. This provides a notion of thread global variable. Typically a pointer which points to the root of a structure is stored in the context.

When the row source mechanism is externalized, you will need a mechanism to maintain state between multiple calls to the same row source.

There is a need to maintain session, statement and process states. Session state includes information about multiple statements that are open, message files based on sessions' Globalization Support settings, and so on. Process state includes shared metadata (including systemwide metadata), message files, and so on. Depending on whether the cartridge application is truly multi threaded, information sharing can be at a process level or system level.

Since a user can be using multiple cartridges at any time, the state must be maintained for each cartridge. This is done by requiring the user to supply a key for each duration.

### **Durations**

There are various predefined types of durations supported on memory and context management calls. An additional parameter in all these calls is a context.

- OCI\_DURATION\_CALL. The duration of this operation is that of a callout.
- OCI\_DURATION\_STATEMENT. The duration of this operation is the external row source.
- OCI\_DURATION\_SESSION. The duration of this operation is the user session.
- OCI\_DURATION\_PROCESS. The duration of this is agent process.

### Globalization Support

To support multilingual application, Globalization Support functionality is required for cartridges and callouts. NLSRTL is a multiplatform, multilingual library current used in RDBMS and provides consistent Globalization Support behavior to all Oracle products.

Globalization Support basic services will provide the following language and cultural sensitive functionality:

- Locale information retrieval.
- String manipulation in the format of multibyte and wide-char.
- Character set conversion including Unicode support.
- Messaging mechanism.

### Globalization Support Language Information Retrieval

An Oracle locale consists of language, territory and character set definitions. The locale determines conventions such as native day and month names; and date, time, number, and currency formats. An internationalized application will obey a user's locale setting and cultural convention. For example, in a German locale setting, users will expect to see day and month names in German spelling. The following interface provides a simple way to retrieve local sensitive information.

### String Manipulation

Two types of data structure are supported for string manipulation: multibyte string and wide char string. Multibyte string is in native Oracle character set encoding, and functions operated on it take the string as a whole unit. Wide char string function provides more flexibility in string manipulation and supports character-based and string-based operations.

The wide char data type we use here is Oracle-specific and not to be confused with the wchar\_t defined by the ANSI/ISO C standard. The Oracle wide char is always 4 bytes in all the platforms, while wchar\_t is dependent on the implementation and platform. The idea of Oracle wide char is to normalize multibyte characters to have a fixed-width for easy processing. Round-trip conversion between Oracle wide char and native character set is guaranteed.

The string manipulation can be classified into the following categories:

- Conversion of string between multibyte and wide char.
- Character classifications.
- Case conversion.
- Display length calculation.
- General string manipulation, such as compare, concatenation and searching.

### **Parameter Manager Interface**

The parameter manager provides a set of routines to process parameters from a file or a string. Routines are provided to process the input and to obtain key and value pairs. These key and value pairs are stored in memory and routines are provided which can access the values of the stored parameters.

The input processing routines match the contents of the file or the string against an existing grammar and compare the key names found in the input against the list of known keys that the user has registered. The behavior of the input processing routines can be configured depending on the bits that are set in the flag argument.

The parameters can be retrieved either one at a time or all at once by calling a function that iterates over the stored parameters.

### Input Processing

Parameters consist of a key, or parameter name, type, and a value and must be specified in the following format:

```
key = value
```

Parameters can optionally accept lists of values which may be surrounded by parentheses. The following two formats are acceptable for specifying a value list:

```
key = (value1 value2 ... valuen)
key = value1 value2 ... valuen
```

A value can be a string, integer, OCINumber, or Boolean. A boolean value starting with 'y' or 't' maps to TRUE and a boolean value starting with 'n' or 'f' maps to FALSE. The matching for boolean values is case insensitive.

The parameter manager views certain characters as "special characters" which are not parsed literally. The special characters and their meanings are indicated in Table 12–1.

Character	Meaning  Comment (only for files)		
#			
(	Start a list of values		
)	End a list of values		
п	Start or end of quoted string		
1	Start or end of quoted string		
=	Separator of keyword and value		
\	Escape character		

If a special character must be treated literally, then it must either be prefaced by the escape character or the entire string must be surrounded by single or double quotes.

A key string can contain alphanumeric characters only. A value can contain any characters. However, the value cannot contain special characters unless they are quoted or escaped.

### Parameter Manager Behavior Flag

The routines to process a file or a string use a behavior flag that alters default characteristics of the parameter manager. These bits can be set in the flag:

- OCI\_EXTRACT\_CASE\_SENSITIVE. All comparisons are case sensitive. The default is to use case insensitive comparisons.
- OCI\_EXTRACT\_UNIQUE\_ABBREVS. Unique abbreviations are allowed for keys. The default is that unique abbreviations are not allowed.

OCI\_EXTRACT\_APPEND\_VALUES. If a value or values are already stored for a particular key, then any new values for this key should be appended. The default is to return an error.

### **Key Registration**

Before invoking the input processing routines (OCIExtractFromFile or OCIExtractFromString), all of the keys must be registered by calling OCIExtractSetNumKeys followed by OCIExtractSetKey, which requires:

- Name of the key
- Type of the key (integer, string, boolean, OCINumber)
- OCI\_EXTRACT\_MULTIPLE is set for the flag value if multiple values are allowed (default: only one value allowed)
- Default value to be used for the key (may be NULL)
- Range of allowable integer values specified by starting and ending values, inclusive (may be NULL)
- List of allowable string values (may be NULL)

### Parameter Storage and Retrieval

The results of processing the input into a set of keys and values are stored. The validity of the parameters is checked before storing the parameters in memory. The values are checked to see if they are of the proper type. In addition, if you wish, the values can be checked to see if they fall within a certain range of integer values or are members of a list of enumerated string values. Also, if you do not specify that a key can accept multiple values, then an error will be returned if a key is specified more than once in a particular input source. Also, an error will be returned if the key is unknown. Values of keys can be retrieved once processing is completed, using specific routines for retrieving string, integer, OCINumber, or boolean values.

It is possible to retrieve all parameters at once. The function OCIExtractToList must first be called to generate a list of parameters that is created from the parameter structures stored in memory. OCIExtractToList will return the number of unique keys stored in memory, and then OCIExtractFromList can be called to return the list of values associated with each key.

### Parameter Manager Context

The parameter manager maintains its own context within the OCI environment handle. This context stores all the processed parameter information and some internal information. It must be initialized with a call to OCIExtractInit and cleaned up with a call to OCIExtractTerm.

### File I/O

The OCI file I/O package is designed to make it easier for you to write portable code that interacts with the file system by providing a consistent view of file I/O across multiple platforms.

You need to be aware of two issues when using this package in a data cartridge environment. The first issue is that this package does not provide any security when opening files for writing or when creating new files in a directory other than the security provided by the operating system protections on the file and directory. The

second issue is that this package will not support the use of file descriptors across calls in a multithreaded server environment.

## **String Formatting**

The OCI string formatting package facilitates writing portable code that handles string manipulation by means of the OCIFormatString routine. This is an improved and portable version of sprintf that incorporates additional functionality and error checking that the standard sprintf does not. This additional functionality includes:

- Arbitrary argument selection.
- Variable width and precision specification.
- Length checking of the buffer.
- Oracle Globalization Support for internationalization.

# **Using Pipelined and Parallel Table Functions**

This chapter describes table functions. It also explains the generic datatypes ANYTYPE, ANYDATA, and ANYDATASET, which are likely to be used with table functions.

This chapter contains these topics:

- Overview of Table Functions
- **Table Function Concepts**
- Pipelined Table Functions
- **Parallel Table Functions**
- Input Data Streaming for Table Functions
- Parallelizing Creation of a Domain Index
- Transient and Generic Types

### Overview of Table Functions

Table functions are functions that produce a collection of rows (either a nested table or a varray) that can be gueried like a physical database table. You use a table function like the name of a database table, in the FROM clause of a query.

A table function can take a collection of rows as input. An input collection parameter can be either a collection type or a REF CURSOR.

Execution of a table function can be parallelized, and returned rows can be streamed directly to the next process without intermediate staging. Rows from a collection returned by a table function can also be **pipelined**—that is, iteratively returned as they are produced instead of in a batch after all processing of the table function's input is completed.

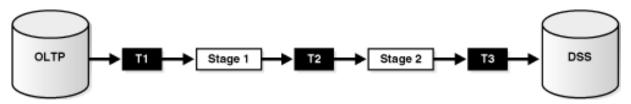
Streaming, pipelining, and parallel execution of table functions can improve performance:

- By enabling multithreaded, concurrent execution of table functions
- By eliminating intermediate staging between processes
- By improving query response time: With non-pipelined table functions, the entire collection returned by a table function must be constructed and returned to the server before the query can return a single result row. Pipelining enables rows to be returned iteratively, as they are produced. This also reduces the memory that a table function requires, as the object cache does not need to materialize the entire collection.

By iteratively providing result rows from the collection returned by a table function as the rows are produced instead of waiting until the entire collection is staged in tables or memory and then returning the entire collection

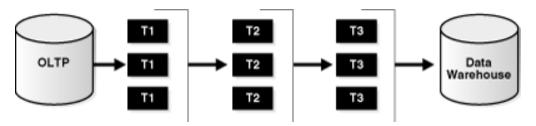
Figure 13–1 shows a typical data-processing scenario in which data goes through several (in this case, three) transformations, implemented by table functions, before finally being loaded into a database. In this scenario, the table functions are not parallelized, and the entire result collection must be staged after each transformation.

Figure 13–1 Typical Data Processing with Unparallelized, Unpipelined Table Functions



By contrast, Figure 13–2 shows how streaming and parallel execution can streamline the same scenario.

Figure 13–2 Data Processing Using Pipelining and Parallel Execution



### **Table Function Concepts**

This section describes table functions and introduces some concepts related to pipelining and parallel execution of table functions.

#### **Table Functions**

**Table functions** return a collection type instance and can be queried like a table by calling the function in the FROM clause of a query. Table functions use the TABLE keyword.

The following example shows a table function GetBooks that takes a CLOB as input and returns an instance of the collection type BookSet t. The CLOB column stores a catalog listing of books in some format (either proprietary or following a standard such as XML). The table function returns all the catalogs and their corresponding book listings.

The collection type BookSet\_t is defined as:

```
CREATE TYPE Book_t AS OBJECT
( name VARCHAR2(100),
 author VARCHAR2(30),
 abstract VARCHAR2(1000));
```

```
CREATE TYPE BookSet_t AS TABLE OF Book_t;
```

#### The CLOBs are stored in a table Catalogs:

```
CREATE TABLE Catalogs
( name VARCHAR2(30),
 cat CLOB);
```

#### Function GetBooks is defined as follows:

```
CREATE FUNCTION GetBooks(a CLOB) RETURN BookSet_t;
```

The following query returns all the catalogs and their corresponding book listings.

```
SELECT c.name, Book.name, Book.author, Book.abstract
 FROM Catalogs c, TABLE(GetBooks(c.cat)) Book;
```

### **Pipelined Table Functions**

Data is said to be **pipelined** if it is consumed by a consumer (transformation) as soon as the producer (transformation) produces it, without being staged in tables or a cache before being input to the next transformation.

Pipelining enables a table function to return rows faster and can reduce the memory required to cache a table function's results.

A pipelined table function can return the table function's result collection in subsets. The returned collection behaves like a stream that can be fetched from on demand. This makes it possible to use a table function like a virtual table.

Pipelined table functions can be implemented in two ways:

- Native PL/SQL approach: The consumer and producers can run on separate execution threads (either in the same or different process context) and communicate through a pipe or queuing mechanism. This approach is similar to co-routine execution.
- **Interface approach:** The consumer and producers run on the same execution thread. Producer explicitly returns the control back to the consumer after producing a set of results. In addition, the producer caches the current state so that it can resume where it left off when the consumer invokes it again.

The interface approach requires you to implement a set of well-defined interfaces in a procedural language.

The co-routine execution model provides a simpler, native PL/SQL mechanism for implementing pipelined table functions, but this model cannot be used for table functions written in C or Java. The interface approach, on the other hand, can. The interface approach requires the producer to save the current state information in a "context" object before returning so that this state can be restored on the next invocation.

In the rest of this chapter, the term *table function* is used to refer to a *pipelined* table function— a table function that returns a collection in an iterative, pipelined way.

### Pipelined Table Functions with REF CURSOR Arguments

A pipelined table function can accept any argument that regular functions accept. A table function that accepts a REF CURSOR as an argument can serve as a transformation function. That is, it can use the REF CURSOR to fetch the input rows, perform some transformation on them, and then pipeline the results out (using either the interface approach or the native PL/SQL approach).

For example, the following code sketches the declarations that define a StockPivot function. This function converts a row of the type (Ticker, OpenPrice, ClosePrice) into two rows of the form (Ticker, PriceType, Price). Calling StockPivot for the row ("ORCL", 41, 42) generates two rows: ("ORCL", "O", 41) and ("ORCL", "C", 42).

Input data for the table function might come from a source such as table StockTable:

```
CREATE TABLE StockTable (
  ticker VARCHAR(4),
  openprice NUMBER,
 closeprice NUMBER
);
```

Here are the declarations. See Chapter 17, "Pipelined Table Functions: Interface Approach Example" for a complete implementation of this table function using the interface approach, in both C and Java.

```
-- Create the types for the table function's output collection
-- and collection elements
CREATE TYPE TickerType AS OBJECT
 ticker VARCHAR2(4),
 PriceType VARCHAR2(1),
 price NUMBER
CREATE TYPE TickerTypeSet AS TABLE OF TickerType;
-- Define the ref cursor type
CREATE PACKAGE refcur_pkg IS
 TYPE refcur_t IS REF CURSOR RETURN StockTable%ROWTYPE;
END refcur_pkg;
-- Create the table function
CREATE FUNCTION StockPivot(p refcur_pkg.refcur_t) RETURN TickerTypeSet
PIPELINED ...;
```

Here is an example of a query that uses the StockPivot table function:

```
SELECT * FROM TABLE(StockPivot(CURSOR(SELECT * FROM StockTable)));
```

In the preceding query, the pipelined table function StockPivot fetches rows from the CURSOR subquery SELECT \* FROM StockTable, performs the transformation, and pipelines the results back to the user as a table. The function produces two output rows (collection elements) for each input row.

Note that when a CURSOR subquery is passed from SQL to a REF CURSOR function argument as in the preceding example, the referenced cursor is already open when the function begins executing.

#### **Errors and Restrictions**

The following cursor operations are not allowed for REF CURSOR variables based on table functions:

- SELECT FOR UPDATE
- WHERE CURRENT OF

#### Parallel Execution of Table Functions

With parallel execution of a function that appears in the SELECT list, execution of the function is pushed down to and conducted by multiple slave scan processes. These each execute the function on a segment of the function's input data.

For example, the query

```
SELECT f(col1) FROM tab;
```

is parallelized if f is a pure function. The SQL executed by a slave scan process is similar to:

```
SELECT f(col1) FROM tab WHERE ROWID BETWEEN :b1 AND :b2;
```

Each slave scan operates on a range of rowids and applies function f to each contained row. Function f is then executed by the scan processes; it does not run independently of them.

Unlike a function that appears in the SELECT list, a table function is called in the FROM clause and returns a collection. This affects the way that table function input data is partitioned among slave scans because the partitioning approach must be appropriate for the operation that the table function performs. (For example, an ORDER BY operation requires input to be range-partitioned, whereas a GROUP BY operation requires input to be hash partitioned.)

A table function itself specifies in its declaration the partitioning approach that is appropriate for it, as described in "Input Data Partitioning" on page 13-16. The function is then executed in a two-stage operation. First, one set of slave processes partitions the data as directed in the function's declaration; then a second set of slave scans executes the table function in parallel on the partitioned data. The table function in the following query has a REF CURSOR parameter:

```
SELECT * FROM TABLE(f(CURSOR(SELECT * FROM tab)));
```

The scan is performed by one set of slave processes, which redistributes the rows (based on the partitioning method specified in the function declaration) to a second set of slave processes that actually executes function f in parallel.

### **Pipelined Table Functions**

This section discusses issues involved in implementing pipelined table functions.

### Implementation Choices for Pipelined Table Functions

As noted previously, two approaches are supported for implementing pipelined table functions: the interface approach and the PL/SQL approach.

The interface approach requires the user to supply a type that implements a predefined Oracle interface consisting of start, fetch, and close operations. The type is associated with the table function when the table function is created. During query execution, the fetch method is invoked repeatedly to iteratively retrieve the results. With the interface approach, the methods of the implementation type associated with the table function can be implemented in any of the supported internal or external languages (including PL/SQL, C/C++, and Java).

With the PL/SQL approach, a single PL/SQL function includes a special instruction to pipeline results (single elements of the collection) out of the function instead of returning the whole collection as a single value. The native PL/SQL approach is simpler to implement because it requires writing only one PL/SQL function.

The approach used to implement pipelined table functions does not affect the way they are used. Pipelined table functions are used in SQL statements in exactly the same way regardless of the approach used to implement them.

### **Declarations of Pipelined Table Functions**

You declare a pipelined table function by specifying the PIPELINED keyword. This keyword indicates that the function will return rows iteratively. The return type of the pipelined table function must be a collection type (a nested table or a varray).

The following example shows declarations of pipelined table functions implemented using the interface approach. The interface routines for functions GetBooks and StockPivot have been implemented in the types BookMethods and StockPivotImpl, respectively.

```
CREATE FUNCTION GetBooks(cat CLOB) RETURN BookSet_t PIPELINED USING BookMethods;
CREATE FUNCTION StockPivot(p refcur_pkg.refcur_t)
 RETURN TickerTypeSet PIPELINED USING StockPivotImpl;
```

The following examples show declarations of the same table functions implemented using the native PL/SQL approach:

```
CREATE FUNCTION GetBooks(cat CLOB) RETURN BookSet_t PIPELINED IS ...;
CREATE FUNCTION StockPivot(p refcur_pkg.refcur_t) RETURN TickerTypeSet
PIPELINED IS...;
```

### Implementing the Native PL/SQL Approach

In PL/SQL, the PIPE ROW statement causes a table function to pipe a row and continue processing. The statement enables a PL/SQL table function to return rows as soon as they are produced. (For performance, the PL/SQL runtime system provides the rows to the consumer in batches.) For example:

```
CREATE FUNCTION StockPivot(p refcur_pkg.refcur_t) RETURN TickerTypeSet
PIPELINED IS
 out_rec TickerType := TickerType(NULL,NULL,NULL);
 in_rec p%ROWTYPE;
BEGIN
 LOOP
   FETCH p INTO in_rec;
    EXIT WHEN p%NOTFOUND;
    -- first row
    out_rec.ticker := in_rec.Ticker;
    out_rec.PriceType := '0';
   out_rec.price := in_rec.OpenPrice;
   PIPE ROW(out_rec);
    -- second row
   out_rec.PriceType := 'C';
   out_rec.Price := in_rec.ClosePrice;
   PIPE ROW(out_rec);
  END LOOP;
  CLOSE p;
  RETURN;
```

```
END:
```

In the example, the PIPE ROW(out\_rec) statement pipelines data out of the PL/SQL table function.

The PIPE ROW statement may be used only in the body of pipelined table functions; an error is raised if it is used anywhere else. The PIPE ROW statement can be omitted for a pipelined table function that returns no rows.

A pipelined table function must have a RETURN statement that does not return a value. The RETURN statement transfers the control back to the consumer and ensures that the next fetch gets a NO\_DATA\_FOUND exception.

### Pipelining Between PL/SQL Table Functions

With serial execution, results are pipelined from one PL/SQL table function to another using an approach similar to co-routine execution. For example, the following statement pipelines results from function g to function f:

```
SELECT * FROM TABLE(f(CURSOR(SELECT * FROM TABLE(q()))));
```

Parallel execution works similarly except that each function executes in a different process (or set of processes).

### Combining PIPE ROW with AUTONOMOUS TRANSACTION

A restriction on combining table functions and PRAGMA AUTONOMOUS TRANSACTION has been introduced in the 10g (10.1) release because table functions pass control back and forth to a calling routine as rows are produced. If a table function is part of an autonomous transaction, it must COMMIT or ROLLBACK before each PIPE ROW statement to avoid an error in the calling subprogram.

### Implementing the Interface Approach

To use the interface approach, you must define an **implementation type** that implements the ODCITable interface. This interface consists of start, fetch, and close routines whose signatures are specified by Oracle and which you implement as methods of the type.

Oracle invokes the methods to perform the following steps in the execution of a query containing a table function:

- Start: Initialize the scan context parameter. This is then used during the second phase.
- **Fetch**: Produce a subset of the rows in the result collection. This routine is invoked as many times as necessary to return the entire collection.
- **3.** Close: Clean up (for example, release memory) after the last fetch.

The ODCITable interface also defines two optional routines, prepare and describe, that are invoked at compilation time:

- Describe: Determine the structure of the data type the table function returns, in situations where this cannot be defined in a static manner.
- Prepare: Initialize the scan context parameter. If this method is implemented, the scan context it prepares is passed to the start routine, and the context is maintained between restarts of the table function. It also provides projection information and support for returning transient anonymous types.

#### Scan Context

For the fetch method to produce the *next* set of rows, a table function needs to be able to maintain context between successive invocations of the interface routines to fetch another set of rows. This context, called the scan context, is defined by the attributes of the implementation type. A table function preserves the scan context by modeling it in an object instance of the implementation type.

#### Start Routine

The start routine ODCITableStart is the first routine that is invoked to begin retrieving rows from a table function. This routine typically performs the setup needed for the scan, creating the scan context (as an object instance sctx) and returning it to Oracle. However, if the prepare routine ODCITablePrepare is implemented, it creates the scan context, which is then passed to the start routine. The signature of the method is:

```
STATIC FUNCTION ODCITableStart(sctx IN OUT <imptype>, <args>)
RETURN NUMBER;
```

The arguments to the table function, specified by the user in the SELECT statement, are passed in as parameters to this routine.

Note that any REF CURSOR arguments of a table function must be declared as SYS REFCURSOR type in the declaration of the ODCITableStart method: ordinary REF CURSOR types cannot be used as formal argument types in ODCITableStart. Ordinary REF CURSOR types can only be declared in a package, and types defined in a package cannot be used as formal argument types in a type method. To use a REF CURSOR type in ODCITableStart, you must use the system-defined SYS\_ REFCURSOR type.

#### **Fetch Routine**

The fetch routine ODCITableFetch is invoked one or more times by Oracle to retrieve all the rows in the table function's result set. The scan context is passed in as a parameter. This routine returns the next subset of one or more rows.

The fetch routine is called by Oracle repeatedly until all the rows have been returned by the table function. Returning more rows in each invocation of fetch () reduces the number of fetch calls that need to be made and thus improves performance. The table function should return a null collection to indicate that all rows have been returned. The signature of the fetch routine is:

```
MEMBER FUNCTION ODCITableFetch(self IN OUT <imptype>, nrows IN NUMBER,
   rws OUT <coll-type>) RETURN NUMBER;
```

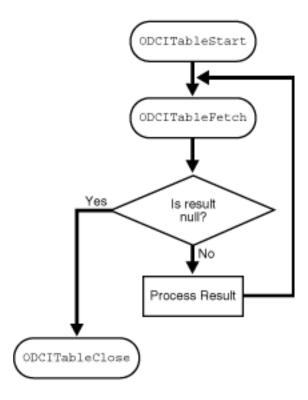
The nrows parameter indicates the number of rows that are required to satisfy the current OCI call. For example, if the current OCI call is an OCIStmtFetch that requested 100 rows, and 20 rows have aready been returned, then the nrows parameter will be equal to 80. The fetch function is allowed to return a different number of rows. The main purpose of this parameter is to prevent ODCITableFetch from returning more rows than actually required. If ODCITableFetch returns more rows than the value of this parameter, the rows are cached and returned in subsequent OCIStmtFetch calls, or they are discarded if the OCI statement handle is closed before they are all fetched.

#### **Close Routine**

The close routine ODCITableClose is invoked by Oracle after the last fetch invocation. The scan context is passed in as a parameter. This routine performs the necessary cleanup operations. The signature of the close routine is:

MEMBER FUNCTION ODCITableClose(self IN <imptype>) RETURN NUMBER;

Figure 13–3 Flowchart of Table Function Row Source Execution



#### **Example: Pipelined Table Functions: Interface Approach**

Two complete implementations of the StockPivot table function are included in Chapter 17, "Pipelined Table Functions: Interface Approach Example". Both use the interface approach. One implements the ODCITable interface in C and one in Java.

#### **Describe Method**

Sometimes it is not possible to define the structure of the return type from the table function statically. For example, the shape of the rows may be different in different queries and may depend on the actual arguments with which the table function is invoked. Such table functions can be declared to return AnyDataSet. AnyDataSet is a generic collection type. It can be used to model any collection (of any element type) and has an associated set of APIs (both PL/SQL and C) that enable you to construct AnyDataSet instances and access the elements.

The following example shows a table function declared to return an AnyDataSet collection whose structure is not fixed at function creation time:

CREATE FUNCTION AnyDocuments (VARCHAR2) RETURN ANYDATASET PIPELINED USING DocumentMethods;

You can implement a describe interface to find out the format of the elements in the result collection when the format depends on the actual parameters to the table function. The routine, ODCITableDescribe, is invoked by Oracle at query compilation time to retrieve the specific type information. Typically, the routine uses the user arguments to determine the shape of the return rows. The format of elements in the returned collection is conveyed to Oracle by returning an instance of AnyType.

The AnyType instance specifies the actual structure of the returned rows in the context of the specific query. Like AnyDataSet, AnyType has an associated set of PL/SQL and C interfaces with which to construct and access the metadata information.

**See Also:** "Transient and Generic Types" on page 13-23 for information on AnyDataSet and AnyType

The signature of the describe routine is as follows:

```
STATIC FUNCTION ODCITableDescribe(rtype OUT ANYTYPE, <args>)
               RETURN NUMBER;
```

For example, suppose that the following query of the AnyDocuments function could return information on either books or magazines.

```
SELECT * FROM
 TABLE(AnyDocuments('http://.../documents.xml')) x
 WHERE x.Abstract like '%internet%';
```

The following sample implementation of the ODCITableDescribe method consults the DTD of the XML documents at the specified location to return the appropriate AnyType value (book or magazine). The AnyType instance is constructed by invoking the constructor APIs with the field name and datatype information.

```
CREATE TYPE Mag_t AS OBJECT
( name VARCHAR2(100),
    publisher VARCHAR2(30),
    abstract VARCHAR2(1000)
);
STATIC FUNCTION ODCITableDescribe(rtype OUT ANYTYPE,
                                      url VARCHAR2)
IS BEGIN
    Contact specified web server and retrieve document...
    Check XML doc schema to determine if books or mags...
    IF books THEN
       rtype=AnyType.AnyTypeGetPersistent('SYS','BOOK_T');
       rtype=AnyType.AnyTypeGetPersistent('SYS','MAG_T');
    END IF;
END:
```

When Oracle invokes the describe method, it uses the type information (returned in the AnyType OUT argument) to resolve references in the command line, such as the reference to the x. Abstract attribute in the preceding query. This functionality is applicable only when the returned type is a named type (and therefore has named attributes).

Another feature of ODCITableDescribe is its ability to describe SELECT list parameters (for example, using OCI interfaces) when executing a SELECT \* query. The information retrieved reflects one SELECT list item for each top-level attribute of the type returned by ODCITableDescribe.

Because the ODCITableDescribe method is called at compile time, the table function should have at least one argument which has a value at compile time (for example, a constant). By using the table function with different arguments, you can get different return types from the function. For example:

```
-- Issue a query for books
SELECT x.Name, x.Author
FROM TABLE (AnyDocuments ('Books.xml')) x;
-- Issue a query for magazines
SELECT x.Name, x.Publisher
FROM TABLE (AnyDocuments ('Magazines.xml')) x;
```

The describe functionality is available only if the table function is implemented using the interface approach. A native PL/SQL implementation of a table function that returns ANYDATASET will return rows whose structure is opaque to the server.

#### **Prepare Method**

The prepare method is invoked at query compilation time. It generates and saves information to decrease the execution time of the query. The signature of this method is:

```
STATIC FUNCTION ODCITablePrepare(sctx OUT <imptype>, tf_info SYS.ODCITabFuncInfo,
<args>);
```

If you do not implement the prepare method, the start method initializes the context each time it is called. However, if you do implement the prepare method, it initializes the scan context, which is passed to the start method when the query is executed, thus reducing startup time. In addition, when the prepare method is implemented, the close method is called only once during the query, rather than once each time the table function is restarted. This has two benefits:

- It decreases execution time by reducing the number of calls to the close method.
- It allows the scan context to be maintained between table function restarts.

The prepare method also provides projection information to the table function. If you do not implement the prepare method for table functions that return collections of user-defined types (UDTs), your table function must set every attribute of the UDT of each element, because it has no way of knowing which attributes will be used. In contrast, selecting from a regular table fetches only the required columns, which is naturally faster in many cases. However, if you do implement the prepare function, it can build an array of attribute positions, record the return type information in an argument of type ODCITabFuncInfo, and save this information in the scan context. This type has the following structure:

```
CREATE TYPE SYS.ODCITabFuncInto AS OBJECT (
 Attrs SYS.ODCINumberList,
 RetType SYS.AnyType
);
```

Implementing the prepare method also allows your table function to return transient anonymous types. The prepare method is called at the end of query compilation, so it can be passed the table descriptor object (TDO) built by the describe method. The describe method can build and return a transient anonymous TDO. Oracle transforms this TDO so that it can be used during query execution, and passes the transformed TDO to the prepare method in the RetType attribute. If the describe method returns a TDO for a type that is not anonymous, that TDO is identical to the transformed TDO. Thus, if a table function returns:

- A named collection type, the RetType attribute contains the TDO of this type
- AnyDataSet, and the describe method returns a named type, the RetType attribute contains the TDO of the named type
- AnyDataSet, and the describe method returns an anonymous type, Oracle transforms this type, and RetType contains the transformed TDO.

### Querying Table Functions

Pipelined table functions are used in the FROM clause of SELECT statements in the same way regardless of whether they are implemented using the native PL/SQL or the interface approach. The result rows are retrieved by Oracle iteratively from the table function implementation. For example:

```
SELECT x.Ticker, x.Price
FROM TABLE(StockPivot(CURSOR(SELECT * FROM StockTable))) x
WHERE x.PriceType='C';
```

#### Multiple Calls to Table Functions

Multiple invocations of a table function, either within the same query or in separate queries result in multiple executions of the underlying implementation. That is, in general, there is no buffering or reuse of rows.

For example,

```
SELECT * FROM TABLE(f(...)) t1, TABLE(f(...)) t2
 WHERE t1.id = t2.id;
SELECT * FROM TABLE(f());
SELECT * FROM TABLE(f());
```

However, if the output of a table function is determined solely by the values passed into it as arguments, such that the function always produces exactly the same result value for each respective combination of values passed in, you can declare the function DETERMINISTIC, and Oracle will automatically buffer rows for it. Note, though, that the database has no way of knowing whether a function marked DETERMINISTIC really *is* DETERMINISTIC, and if one is not, results will be unpredictable.

#### PL/SQL

PL/SQL REF CURSOR variables can be defined for queries over table functions. For example:

```
OPEN c FOR SELECT * FROM TABLE(f(...));
```

Cursors over table functions have the same fetch semantics as ordinary cursors. REF CURSOR assignments based on table functions do not have a special semantics.

However, the SQL optimizer will not optimize across PL/SQL statements. For example:

```
BEGIN
    OPEN r FOR SELECT * FROM TABLE(f(CURSOR(SELECT * FROM tab)));
    SELECT * BULK COLLECT INTO rec_tab FROM TABLE(q(r));
END;
will not execute as well as:
SELECT * FROM TABLE (g (CURSOR (SELECT * FROM
```

```
TABLE(f(CURSOR(SELECT * FROM tab)))));
```

This is so even ignoring the overhead associated with executing two SQL statements and assuming that the results can be pipelined between the two statements.

### Performing DML Operations Inside Table Functions

A table function must be declared with the autonomous transaction pragma in order for the function to execute DML statements. This pragma causes the function to execute in an autonomous transaction not shared by other processes.

Use the following syntax to declare a table function with the autonomous transaction pragma:

```
CREATE FUNCTION f(p SYS_REFCURSOR) return CollType PIPELINED IS
   PRAGMA AUTONOMOUS_TRANSACTION;
BEGIN ... END;
```

During parallel execution, each instance of the table function creates an independent transaction.

### Performing DML Operations on Table Functions

Table functions cannot be the target table in UPDATE, INSERT, or DELETE statements. For example, the following statements will raise an error:

```
UPDATE F(CURSOR(SELECT * FROM tab)) SET col = value;
INSERT INTO f(...) VALUES ('any', 'thing');
```

However, you can create a view over a table function and use INSTEAD OF triggers to update it. For example:

```
CREATE VIEW BookTable AS
 SELECT x.Name, x.Author
 FROM TABLE(GetBooks('data.txt')) x;
```

The following INSTEAD OF trigger is fired when the user inserts a row into the BookTable view:

```
CREATE TRIGGER BookTable_insert
INSTEAD OF INSERT ON BookTable
REFERENCING NEW AS n
FOR EACH ROW
BEGIN
INSERT INTO BookTable VALUES (...);
```

INSTEAD OF triggers can be defined for all DML operations on a view built on a table function.

### Handling Exceptions in Table Functions

Exception handling in table functions works just as it does with ordinary user-defined functions.

Some languages, such as C and Java, provide a mechanism for user-supplied exception handling. If an exception raised within a table function is handled, the table function executes the exception handler and continues processing. Exiting the exception

handler takes control to the enclosing scope. If the exception is cleared, execution proceeds normally.

An unhandled exception in a table function causes the parent transaction to roll back.

### **Parallel Table Functions**

For a table function to be executed in parallel, it must have a partitioned input parameter. Parallelism is turned on for a table function if, and only if, both the following conditions are met:

- The function has a PARALLEL ENABLE clause in its declaration
- Exactly one REF CURSOR is specified with a PARTITION BY clause

If the PARTITION BY clause is not specified for any input REF CURSOR as part of the PARALLEL\_ENABLE clause, the SQL compiler cannot determine how to partition the data correctly.

### Inputting Data with Cursor Variables

You can pass a set of rows to a PL/SQL function in a REF CURSOR parameter. For example:

```
FUNCTION f(p1 IN SYS_REFCURSOR) RETURN ...;
```

Results of a subquery can be passed to a function directly:

```
SELECT * FROM TABLE(f(CURSOR(SELECT empno FROM tab)));
```

In the preceding example, the CURSOR keyword is required to indicate that the results of a subquery should be passed as a REF CURSOR parameter.

#### Using Multiple REF CURSOR Input Variables

PL/SQL functions can accept multiple REF CURSOR input variables:

```
CREATE FUNCTION g(p1 pkg.refcur_t1, p2 pkg.refcur_t2) RETURN...
  PIPELINED ...;
```

Function g can be invoked as follows:

```
SELECT * FROM TABLE(g(CURSOR(SELECT empno FROM tab),
 CURSOR(SELECT * FROM emp));
```

You can pass table function return values to other table functions by creating a REF CURSOR that iterates over the returned data:

```
SELECT * FROM TABLE(f(CURSOR(SELECT * FROM TABLE(g(...)))));
```

#### Explicitly Opening a REF CURSOR for a Query

You can explicitly open a REF CURSOR for a query and pass it as a parameter to a table function:

```
BEGIN
 OPEN r FOR SELECT * FROM TABLE(f(...));
 -- Must return a single row result set.
 SELECT * INTO rec FROM TABLE(q(r));
END;
```

#### PL/SQL REF CURSOR Arguments to Java and C/C++ Functions

Parallel and pipelined table functions can be written in C/C++ and Java as well as PL/SQL. Unlike PL/SQL, C/C++ and Java do not support the REF CURSOR type, but you can still pass a REF CURSOR argument to C/C++ and Java functions.

If a table function is implemented as a C callout, then an IN REF CURSOR argument passed to the callout is automatically available as an executed OCI statement handle. You can use this handle like any other executed statement handle.

A REF CURSOR argument to a callout passed as an IN OUT parameter is converted to an executed statement handle on the way in to the callout, and the statement handle is converted back to a REF CURSOR on the way out. (The inbound and outbound statement handles may be different.)

If a REF CURSOR type is used as an OUT argument or a return type to a callout, then the callout must return the statement handle, which will be converted to a REF CURSOR for the caller.

The following code shows a sample callout.

```
CREATE OR replace PACKAGE p1 AS
 TYPE rc IS REF cursor;
 END:
CREATE OR REPLACE LIBRARY MYLIB AS 'mylib.so';
CREATE OR REPLACE FUNCTION MyCallout (stmthp pl.rc)
 RETURN binary_integer AS LANGUAGE C LIBRARY MYLIB
 WITH CONTEXT
 PARAMETERS (context, stmthp ocirefcursor, RETURN sb4);
sb4 MyCallout (OCIExtProcContext *ctx, OCIStmt ** stmthp)
 OCIEnv *envhp; /* env. handle */
 OCISvcCtx *svchp; /* service handle */
OCIError *errhp; /* error handle */
OCISession *usrhp; /* user handle */
 OCIDefine *defn1p = (OCIDefine *) 0;
 OCINumber *val=(OCINumber *)0;
 OCINumber *rval = (OCINumber *)0;
 sword status = 0;
 double num=0:
 val = (OCINumber*) OCIExtProcAllocCallMemory(ctx, sizeof(OCINumber));
  /* Get OCI handles */
 if (GetHandles(ctx, &envhp, &svchp, &errhp, &usrhp,&rval))
   return -1:
  /* Define the fetch buffer */
 psdro_checkerr(NULL, errhp, OCIDefineByPos(*stmthp, &defn1p, errhp, (ub4) 1,
                                           (dvoid *) &num, (sb4) sizeof(num),
                                           SQLT_FLT, (dvoid *) 0, (ub2 *)0,
                                           (ub2 *)0, (ub4) OCI_DEFAULT));
  /* Fetch loop */
 while ((status = OCIStmtFetch(*stmthp, errhp, (ub4) 1, (ub4) OCI_FETCH_NEXT,
                               (ub4) OCI_DEFAULT)) == OCI_SUCCESS |
        status == OCI_SUCCESS_WITH_INFO)
```

```
printf("val=%lf\n", num);
return 0;
```

If the function is written as a Java callout, the IN REF CURSOR argument is automatically converted to an instance of the Java ResultSet class.

For a callout implemented in Java, IN REF CURSOR to ResultSet mapping is available only if you use a FAT IDBC driver based on OCI. This mapping is not available for a thin JDBC driver. As with an executed statement handle in a C callout, when a REF CURSOR is either an IN OUT argument, an OUT argument, or a return type for the function, a Java ResultSet is converted back to a PL/SQL REF CURSOR on its way out to the caller.

A predefined weak REF CURSOR type SYS\_REFCURSOR is also supported. With SYS\_ REFCURSOR, you do not need to first create a REF CURSOR type in a package before you can use it. This weak REF CURSOR type can be used in the ODCITableStart method, which, as a type method, cannot accept a package type.

To use a strong REF CURSOR type, you still must create a PL/SQL package and declare a strong REF CURSOR type in it. Also, if you are using a strong REF CURSOR type as an argument to a table function, then the actual type of the REF CURSOR argument must match the column type, or an error is generated.

To partion a weak REF CURSOR argument, you must partition by ANY: a weak REF CURSOR argument cannot be partitioned by RANGE or HASH). Oracle recommends that you not use weak REF CURSOR arguments to table functions.

### Input Data Partitioning

The table function declaration can specify data partitioning for exactly one REF CURSOR parameter. The syntax to do this is as follows:

```
CREATE FUNCTION f(p <ref cursor type>) RETURN rec_tab_type PIPELINED
 PARALLEL_ENABLE (PARTITION p BY [{HASH | RANGE} (<column list>) | ANY ]) IS
BEGIN ... END;
```

The PARTITION...BY phrase in the PARALLEL\_ENABLE clause specifies which one of the input cursors to partition and what columns to use for partitioning.

When explicit column names are specified in the column list, the partitioning method can be RANGE or HASH. The input rows will be hash- or range-partitioned on the columns specified.

The ANY keyword enables you to indicate that the function behavior is independent of the partitioning of the input data. When this keyword is used, the runtime system randomly partitions the data among the slaves. This keyword is appropriate for use with functions that take in one row, manipulate its columns, and generate output row(s) based on the columns of this row only.

For example, the pivot-like function StockPivot shown takes as input a row of the type:

```
(Ticker varchar(4), OpenPrice number, ClosePrice number)
and generates rows of the type:
(Ticker varchar(4), PriceType varchar(1), Price number).
```

```
So the row ("ORCL", 41, 42) generates two rows ("ORCL", "O", 41) and
("ORCL", "C", 42).
CREATE FUNCTION StockPivot(p refcur_pkg.refcur_t) RETURN rec_tab_type PIPELINED
   PARALLEL_ENABLE (PARTITION p BY ANY) IS
 ret_rec rec_type;
BEGIN
 FOR rec IN p LOOP
   ret_rec.Ticker := rec.Ticker;
   ret_rec.PriceType := "0";
   ret_rec.Price := rec.OpenPrice;
   PIPE ROW(ret_rec);
   ret_rec.Ticker := rec.Ticker; -- Redundant; not required
   ret_rec.PriceType := "C";
   ret_rec.Price := rec.ClosePrice;
   push ret_rec;
 END LOOP;
 RETURN;
```

The function f can be used to generate another table from Stocks table in the following manner:

```
INSERT INTO AlternateStockTable
 SELECT * FROM
 TABLE(StockPivot(CURSOR(SELECT * FROM StockTable)));
```

END:

If the StockTable is scanned in parallel and partitioned on OpenPrice, then the function StockPivot is combined with the data-flow operator doing the scan of StockTable and thus sees the same partitioning.

If, on the other hand, the StockTable is not partitioned, and the scan on it does not execute in parallel, the insert into AlternateStockTable also runs sequentially. Here is a slightly more complex example:

```
INSERT INTO AlternateStockTable
 SELECT *
   FROM TABLE (f (CURSOR (SELECT * FROM Stocks))),
        TABLE(g(CURSOR( ... )))
    WHERE <join condition>;
where g is defined to be:
```

```
CREATE FUNCTION g(p refcur_pkg.refcur_t) RETURN ... PIPELINED
 PARALLEL_ENABLE (PARTITION p BY ANY)
BEGIN ... END;
```

If function g runs in parallel and is partitioned by ANY, then the parallel insert can belong in the same data-flow operator as g.

Whenever the ANY keyword is specified, the data is partitioned randomly among the slaves. This effectively means that the function is executed in the same slave set which does the scan associated with the input parameter.

No redistribution or repartitioning of the data is required here. In the case when the cursor p itself is not parallelized, the incoming data is randomly partitioned on the columns in the column list. The round-robin table queue is used for this partitioning.

#### Parallel Execution of Leaf-level Table Functions

To use parallel execution with a leaf-level table function—that is, a function to perform a unitary operation that does not involve a REF CURSOR—arrange things so as to create a need for a REF CURSOR.

For example, suppose that you want a function to read a set of external files in parallel and return the records they contain. To provide work for a REF CURSOR, you might first create a table and populate it with the filenames. A REF CURSOR over this table can then be passed as a parameter to the table function (readfiles). The following code shows how this might be done:

```
CREATE TABLE filetab(filename VARCHAR(20));
INSERT INTO filetab VALUES('file0');
INSERT INTO filetab VALUES('file1');
INSERT INTO filetab VALUES('fileN');
SELECT * FROM
    TABLE(readfiles(CURSOR(SELECT filename FROM filetab)));
CREATE FUNCTION readfiles(p pkg.rc_t) RETURN coll_type
 PARALLEL_ENABLE (PARTITION p BY ANY) IS
 ret_rec rec_type;
BEGIN
 FOR rec IN p LOOP
   done := FALSE;
    WHILE (done = FALSE) LOOP
        done := readfilerecord(rec.filename, ret_rec);
        PIPE ROW(ret_rec);
   END LOOP;
  END LOOP;
 RETURN;
END;
```

### Input Data Streaming for Table Functions

The way in which a table function orders or clusters rows that it fetches from cursor arguments is called data streaming. A function can stream its input data in any of the following ways:

- Place no restriction on the ordering of the incoming rows
- Order them on a particular key column or columns
- Cluster them on a particular key

Clustering causes rows that have the same key values to appear together but does not otherwise do any ordering of rows.

You control the behavior of the input stream using the following syntax:

```
FUNCTION f(p <ref cursor type>) RETURN tab_rec_type [PIPELINED]
         {[ORDER | CLUSTER] BY <column list>}
         PARALLEL_ENABLE({PARTITION p BY
          [ANY | (HASH | RANGE) <column list>]})
IS
BEGIN ... END;
```

Input streaming may be specified for either sequential or parallel execution of a

If an ORDER BY or CLUSTER BY clause is not specified, rows are input in a (random) order.

**Note:** The semantics of ORDER BY are different for parallel execution from the semantics of the ORDER BY clause in a SQL statement. In a SQL statement, the ORDER BY clause globally orders the entire data set. In a table function, the ORDER BY clause orders the respective rows local to each instance of the table function running on a slave.

The following example illustrates the syntax for ordering the input stream. In the example, function f takes in rows of the kind (Region, Sales) and returns rows of the form (Region, AvgSales), showing average sales for each region.

```
CREATE FUNCTION f(p <ref cursor type>) RETURN tab_rec_type PIPELINED
 CLUSTER BY Region
 PARALLEL_ENABLE (PARTITION p BY Region) IS
 ret_rec rec_type;
 cnt number:
 sum number:
BEGIN
 FOR rec IN p LOOP
   IF (first rec in the group) THEN
       cnt := 1;
       sum := rec.Sales:
   ELSIF (last rec in the group) THEN
      IF (cnt <> 0) THEN
       ret_rec.Region := rec.Region;
         ret_rec.AvgSales := sum/cnt;
         PIPE ROW(ret_rec);
       END IF;
   ELSE
      cnt := cnt + 1;
      sum := sum + rec.Sales;
   END IF:
 END LOOP;
 RETURN:
END:
```

### Parallel Execution: Partitioning and Clustering

Partitioning and clustering are easily confused, but they do different things. For example, sometimes partitioning can be sufficient without clustering in parallel execution.

Consider a function SmallAggr that performs in-memory aggregation of salary for each department\_id, where department\_id can be either 1, 2, or 3. The input rows to the function can be partitioned by HASH on department\_id such that all rows with department\_id equal to 1 go to one slave, all rows with department\_id equal to 2 go to another slave, and so on.

The input rows do not need to be clustered on department\_id to perform the aggregation in the function. Each slave could have a 1x3 array SmallSum[1..3] in which the aggregate sum for each department\_id is added in memory into SmallSum[department\_id]. On the other hand, if the number of unique values of department\_id were very large, you would want to use clustering to compute department aggregates and write them to disk one department\_id at a time.

### Input Data Partitioning

The table function declaration can specify data partitioning for exactly one REF CURSOR parameter. The syntax to do this is as follows:

```
CREATE FUNCTION f(p <ref cursor type>) RETURN rec_tab_type PIPELINED
 PARALLEL_ENABLE (PARTITION p BY [{HASH | RANGE} (<column list>) | ANY ]) IS
BEGIN ... END;
```

The PARTITION...BY phrase in the PARALLEL\_ENABLE clause specifies which one of the input cursors to partition and what columns to use for partitioning.

When explicit column names are specified in the column list, the partitioning method can be RANGE or HASH. The input rows will be hash- or range-partitioned on the columns specified.

The ANY keyword enables you to indicate that the function behavior is independent of the partitioning of the input data. When this keyword is used, the runtime system randomly partitions the data among the slaves. This keyword is appropriate for use with functions that take in one row, manipulate its columns, and generate output row(s) based on the columns of this row only.

For example, the pivot-like function StockPivot shown takes as input a row of the type:

```
(Ticker varchar(4), OpenPrice number, ClosePrice number)
and generates rows of the type:
(Ticker varchar(4), PriceType varchar(1), Price number).
So the row ("ORCL", 41, 42) generates two rows ("ORCL", "O", 41) and
("ORCL", "C", 42).
CREATE FUNCTION StockPivot(p refcur_pkg.refcur_t) RETURN rec_tab_type PIPELINED
   PARALLEL_ENABLE(PARTITION p BY ANY) IS
 ret_rec rec_type;
BEGIN
 FOR rec IN p LOOP
   ret_rec.Ticker := rec.Ticker;
   ret_rec.PriceType := "0";
   ret_rec.Price := rec.OpenPrice;
   PIPE ROW(ret_rec);
   ret_rec.Ticker := rec.Ticker; -- Redundant; not required
   ret_rec.PriceType := "C";
   ret_rec.Price := rec.ClosePrice;
   push ret_rec;
 END LOOP:
 RETURN;
END;
```

The function f can be used to generate another table from Stocks table in the following manner:

```
INSERT INTO AlternateStockTable
 SELECT * FROM
 TABLE(StockPivot(CURSOR(SELECT * FROM StockTable)));
```

If the StockTable is scanned in parallel and partitioned on OpenPrice, then the function StockPivot is combined with the data-flow operator doing the scan of StockTable and thus sees the same partitioning.

If, on the other hand, the StockTable is not partitioned, and the scan on it does not execute in parallel, the insert into AlternateStockTable also runs sequentially. Here is a slightly more complex example:

```
INSERT INTO AlternateStockTable
 SELECT *
   FROM TABLE(f(CURSOR(SELECT * FROM Stocks))),
        TABLE(g(CURSOR( ... )))
   WHERE <join condition>;
where g is defined to be:
CREATE FUNCTION g(p refcur_pkg.refcur_t) RETURN ... PIPELINED
 PARALLEL_ENABLE (PARTITION p BY ANY)
BEGIN ... END;
```

If function g runs in parallel and is partitioned by ANY, then the parallel insert can belong in the same data-flow operator as g.

Whenever the ANY keyword is specified, the data is partitioned randomly among the slaves. This effectively means that the function is executed in the same slave set which does the scan associated with the input parameter.

No redistribution or repartitioning of the data is required here. In the case when the cursor p itself is not parallelized, the incoming data is randomly partitioned on the columns in the column list. The round-robin table queue is used for this partitioning.

#### Parallel Execution of Leaf-level Table Functions

To use parallel execution with a leaf-level table function—that is, a function to perform a unitary operation that does not involve a REF CURSOR—arrange things so as to create a need for a REF CURSOR.

For example, suppose that you want a function to read a set of external files in parallel and return the records they contain. To provide work for a REF CURSOR, you might first create a table and populate it with the filenames. A REF CURSOR over this table can then be passed as a parameter to the table function (readfiles). The following code shows how this might be done:

```
CREATE TABLE filetab(filename VARCHAR(20));
INSERT INTO filetab VALUES('file0');
INSERT INTO filetab VALUES('file1');
INSERT INTO filetab VALUES('fileN');
SELECT * FROM
    TABLE(readfiles(CURSOR(SELECT filename FROM filetab)));
CREATE FUNCTION readfiles(p pkg.rc_t) RETURN coll_type
 PARALLEL_ENABLE (PARTITION p BY ANY) IS
 ret_rec rec_type;
BEGIN
 FOR rec IN p LOOP
   done := FALSE;
```

```
WHILE (done = FALSE) LOOP
        done := readfilerecord(rec.filename, ret_rec);
        PIPE ROW(ret_rec);
    END LOOP;
 END LOOP;
 RETURN;
END:
```

### Parallelizing Creation of a Domain Index

Creating a domain index can be a lengthy process because of the large amount of data that a domain index typically handles. You can exploit the parallel-processing capabilities of table functions to alleviate this bottleneck. This section shows how you can use table functions to create domain indexes in parallel.

Typically, the ODCIIndexCreate routine does the following steps:

- Creates table(s) for storing the index data
- Fetches the relevant data (typically, keycols and rowid) from the base table, transforms it, and inserts relevant transformed data into the table created for storing the index data.
- Builds secondary indexes on the tables that store the index data, for faster access during query.

The second step mentioned—fetching relevant data and inserting it into the index data table—is the bottleneck in creating domain indexes. You can speed up this step by encapsulating these operations in a parallel table function and invoking the function from the ODCIIndexCreate function.

For example, a table function IndexLoad() might be defined to do this as follows:

```
CREATE FUNCTION IndexLoad(ia ODCIIndexInfo, parms VARCHAR2,
                         p refcur-type)
RETURN status_code_type
PARALLEL_ENABLE (PARTITION p BY ANY)
PRAGMA AUTONOMOUS_TRANSACTION
BEGIN
 FOR rec IN p LOOP
   - process each rec and determine the index entry
    - derive name of index storage table from parameter ia
   - insert into table created in ODCIIndexCreate
 COMMIT; -- explicitly commit the autonomous txn
 RETURN ODCIConst.Success:
END;
where p is a cursor of the form:
SELECT /*+ PARALLEL (<base_table>, <par_degree>) */ <keycols> ,rowid
  FROM <base_table>
```

The <par\_degree> value can be explicitly specified; otherwise, it is derived from the parallel degree of the base table.

Another function, like the function IndexMerge() defined in the following example, is needed as well to merge the results from the several instances of IndexLoad().

```
CREATE FUNCTION IndexMerge(p refcur-type)
RETURN NUMBER
```

```
TS
BEGIN
 FOR rec IN p LOOP
   IF (rec != ODCIConst.Success)
     RETURN Error;
 END LOOP;
 RETURN Success;
END:
```

Now the steps in ODCIIndexCreate would be:

- Create metadata structures for the index (that is, tables to store the index data)
- Explicitly commit the transaction so that the IndexLoad() function can see the committed data
- Invoke IndexLoad() in parallel:

```
status := ODCIIndexMerge(CURSOR(SELECT * FROM TABLE(
             ODCIIndexLoad(ia, parms,
                           CURSOR(SELECT < key_cols>, ROWID
                                  FROM <basetable>)
)))))
```

(Note that the cursor definition for the IndexLoad() function is merely a typical example; you are free to define your own form of cursor.)

Create secondary index structures.

### **Transient and Generic Types**

Oracle has three special SQL datatypes that enable you to dynamically encapsulate and access type descriptions, data instances, and sets of data instances of any other SQL type, including object and collection types. You can also use these three special types to create anonymous (that is, unnamed) types, including anonymous collection types.

The three SQL types are implemented as **opaque types**. In other words, the internal structure of these types is not known to the database: their data can be queried only by implementing functions (typically 3GL routines) for the purpose. Oracle provides both an OCI and a PL/SQL API for implementing such functions.

Table lists the three generic SQL types.

Table 13–1 Generic SQL Types

Туре	Description		
SYS.ANYTYPE	A type description type. A SYS.ANYTYPE can contain a type description of any SQL type, named or unnamed, including object types and collection types.		
	An ANYTYPE can contain a type description of a persistent type, but an ANYTYPE itself is <b>transient</b> : the value in an ANYTYPE itself is not automatically stored in the database. To create a persistent type, use a CREATE TYPE statement from SQL.		
SYS.ANYDATA	A <b>self-describing</b> data instance type. A SYS. ANYDATA contains an instance of a given type, with data, plus a description of the type. In this sense, a SYS. ANYDATA is self-describing. An ANYDATA can be persistently stored in the database.		

Table 13–1 (Cont.) (Cont.) Generic SQL Types

Туре	Description		
SYS.ANYDATASET	A self-describing data set type. A SYS.ANYDATASET type contains a description of a given type plus a set of data instances of that type. An ANYDATASET can be persistently stored in the database.		

Each of these three types can be used with any built-in type native to the database as well as with object types and collection types, both named and unnamed. The types provide a generic way to work dynamically with type descriptions, lone instances, and sets of instances of other types. Using the APIs, you can create a transient ANYTYPE description of any kind of type. Similarly, you can create or convert (cast) a data value of any SQL type to an ANYDATA and can convert an ANYDATA (back) to a SQL type. And similarly again with sets of values and ANYDATASET.

The generic types simplify working with stored procedures. You can use the generic types to encapsulate descriptions and data of standard types and pass the encapsulated information into parameters of the generic types. In the body of the procedure, you can detail how to handle the encapsulated data and type descriptions of whatever type.

You can also store encapsulated data of a variety of underlying types in one table column of type ANYDATA or ANYDATASET. For example, you can use ANYDATA with Advanced Queuing to model queues of heterogenous types of data. You can query the data of the underlying datatypes like any other data.

Corresponding to the three generic SQL types are three OCI types that model them. Each has a set of functions for creating and accessing the respective type:

- OCIType, corresponding to SYS. ANYTYPE
- OCIAnyData, corresponding to SYS. ANYDATA
- OCIAnyDataSet, corresponding to SYS.ANYDATASET

**See Also:** Oracle Call Interface Programmer's Guide for the OCIType, OCIAnyData, and OCIAnyDataSet APIs and details on how to use them. See *PL/SQL Packages and Types Reference* for information about the interfaces to the ANYTYPE, ANYDATA, and ANYDATASET types and about the DBMS\_TYPES package, which defines constants for built-in and user-defined types, for use with ANYTYPE, ANYDATA, and ANYDATASET.

# **Designing Data Cartridges**

This chapter discusses various design considerations related to data cartridges.

This chapter includes these topics:

- Choosing a Language in Which to Write Methods
- Invoker's Rights
- Callouts and LOBs
- Saving and Passing State
- **Designing Indexes**
- **Designing Operators**
- Designing for the Extensible Optimizer
- Designing for Maintenance
- Making Your Cartridge Installable
- Designing for Portability

### **Choosing a Language in Which to Write Methods**

You can implement methods for object types in PL/SQL, C/C++, or Java. PL/SQL and Java methods run in the address space of the server. C/C++ methods are dispatched as external procedures and run outside the address space of the server.

The best implementation choice varies with the situation. Here are some guidelines:

- A callout involving C or C++ is generally fastest if the processing is substantially CPU-bound. However, callouts incur the cost of dispatch, which might be important for small amounts of processing in C/C++.
- PL/SQL is most efficient for methods that are not computation-intensive. The other implementation options are typically favored over PL/SQL if you have a large body of code already implemented in another language that you want to use a part of the data cartridge, or if you need to perform extensive computations.
- Java is a relatively open implementation choice. Although Java is usually interpreted, high-performance applications might benefit from pre-compilation of methods or just-in-time compilers.

### **Invoker's Rights**

Until Oracle Database release 8.1.5, stored procedures and SQL methods could only execute with the privileges of the definer. Such definer's rights routines are bound to the schema in which they reside, and this remains the default. Under this condition, a routine executes with the rights of the definer of the function, not the user invoking it. However, this is a limitation if the function statically or dynamically issues SQL statements.

Using definer's rights, when a function with a static cursor performs a SELECT from USER\_TABLES, it retrieves the USER\_TABLES of the definer, regardless of who uses the function. For the function to be used against data not owned by the definer, explicit GRANTS must be issued from the owner to the definer, or the function must be defined in the schema where the data resides. The former course creates security and administration problems; the latter forces the function to be redefined in each schema that needs to use it.

The invoker's rights mechanism lets a function execute with the privileges of the invoker. Thus, a cartridge can live within a schema dedicated to it, which can be used by other schemas without privileges for operating on objects in the schema where the cartridge resides.

### Callouts and LOBs

When using LOBs with callouts, consider the following facts:

- It can be to your advantage to code your callout so that it is independent of LOB types (BFILE/BLOB).
- The PL/SQL layer of your cartridge can open your BFILE so that no BFILE-specific logic is required in your callout (other than error recovery from OCILob calls that do not operate on BFILES).
- With the advent of temporary LOBs, you need to be aware of the deep copy that can occur when assignments and calls are done with temporary LOBs. Use NOCOPY (BY REFERENCE) on BLOB parameters as appropriate.

### **Saving and Passing State**

Traditionally, external procedures have a state-less model. All statement handles opened during the invocation of an external procedure are closed implicitly at the end of the call.

Oracle Database allows state information, such as OCI statement handles and associated state in the database, to be saved and used across invocations of external procedures in a session. By default, cartridges are stateless; however, you can use OCIMemory services and OCIContext services with OCI\_DURATION\_SESSION or other appropriate duration to save state. Statement handles created in one external procedure invocation can be re-used in another. As the data cartridge developer, you must explicitly free these handles. Oracle recommends that you do this as soon as the statement handle is no longer needed. All state maintained for the statement in the OCI handles and in the database is freed as a result. This helps to improve the scalability of your data cartridge.

**See Also:** *PL/SQL User's Guide and Reference* 

### **Designing Indexes**

This section discusses some factors you should consider when designing indexes for your data cartridge.

#### **Domain Index Performance**

Creating a domain index is not always the best course. If you decide to create a domain index, keep the following factors in mind:

- For complex domain indexes, the functional implementation works better with
  - small data size
  - result being a large percentage of the total data size
- Judicious use of the extensible optimizer can improve performance

### **Domain Index Component Names**

Naming internal components for a domain index implementation can be an issue. Names of internal data objects are typically based on names you provide for table and indexes. The problem is that the derived names for the internal objects must not conflict with any other user-defined object or system object. To avoid this problem, develop some policy that restricts names, or implement some metadata management scheme to avoid errors during DROP, CREATE, and so on.

### When to Use Index-Organized Tables

You can create secondary indexes on IOT because using them is more efficient than storing data in a table and a separate index, particularly if most of your data is in the index. This offers a big advantage if you are accessing the data in multiple ways. Note that prior to the Oracle9i release, you could create only one index on IOTs.

### Storing Index Structures in LOBs

Index structures can be stored in LOBs, but take care to tune the LOB for best performance. If you are accessing a particular LOB frequently, create your table with the CACHE option and place the LOB index in a separate tablespace. If you are updating a LOB frequently, TURN OFF LOGGING and read/write in multiples of CHUNK size. If you are accessing a particular portion of a LOB frequently, buffer your reads/writes using LOB buffering or your own buffering scheme.

#### **External Index Structures**

With the extensible indexing framework, the meaning and representation of a user-defined index is left to the cartridge developer. Oracle provides basic index implementations such as IOTs. In certain cases, binary or character LOBs can also be used to store complex index structures. IOTs, BLOBs and CLOBs all live within the database. In addition to them, you may also store a user-defined index as a structure external to the database, for example in a BFILE.

The external index structure gives you the most flexibility in representing your index. An external index structure is particularly useful if you have already invested in the development of in-memory indexing structures. For example, an operating system file may store index data, which is read into a memory mapped file at runtime. Such a case can be handled as a BFILE in the external index routines.

External index structures may also provide superior performance, although this gain comes at some cost. Index structures external to the database do not participate in the transaction semantics of the database, which, in the case of index structures inside the database, make data and concomitant index updates atomic. This means that if an update to the data causes an update for the external index to be invoked through the extensible indexing interface, failures can cause the data updates to be rolled back but not the index updates. The database can only roll back what is internal to it: external index structures cannot be rolled back in synchronization with a database rollback. External index structures are perhaps most useful for read-only access. Their semantics become complex if updates to data are involved.

#### **Multi-Row Fetch**

When the ODCIIndexFetch routine is called, the rowids of all the rows that satisfy the operator predicate are returned. The maximum number of rows that can be returned by the ODCIIndexFetch routine is nrows (nrows being an argument to the ODCIIndexFetch routine). The value of nrows is decided by Oracle based on some internal factors. If you have a better idea of the number of rows that ought to be returned to achieve optimal query performance, you can determine that this number of rows is returned in the ODCIRidList VARRAY instead of nrows. Note that the number of values in the ODCIRidList must be less than or equal to nrows.

As the cartridge designer, you are in the best position to make a judgement regarding the number of rows to be returned. For example, if in the index 1500 rowids are stored together and nrows = 2000, then it may be optimal to return 1500 rows in lieu of 2000 rows. Otherwise the user would have to retrieve 3000 rowids, return 2000 of them, and note which 1000 rowids were not returned.

If you do not have any specific optimization in mind, you can use the value of nrows to determine the number of rows to be returned. Currently the value of nrows has been set to 2000.

If you implement indexes that use callouts, use multirow fetch to fetch the largest number of rows back to the server. This offsets the cost of making the callout.

### **Designing Operators**

All domain indexes should contain both indexed and functional implementations of operators, in case the optimizer chooses not to use the indexed implementation. You can, however, use the indexing structures to produce the functional result.

### **Designing for the Extensible Optimizer**

Data cartridges can be more efficient if they are designed with the extensible optimizer in mind. This section discusses topics that help you create such a design.

### Weighing Cost and Selectivity

When estimating cost, Oracle considers the costs associated with CPU, I/O, and Network.

#### Cost for functions

You can determine the cost of executing a C function using common profilers or tools. For SQL queries, an explain plan of the query gives a rough estimate of the cost of the query. In addition, the tkprof utility helps you gather information about the CPU

and the I/O cost involved in the operation. You can also determine the cost of executing a callout by using it in a SQL query which "selects from dual" and then estimating its cost using tkprof.

#### Selectivity for Functions

The selectivity of a predicate is the number of rows returned by the predicate divided by the total number of rows in the tables. Selectivity refers to the fraction of rows of the table returned by the predicate.

The selectivity function should use the statistics collected for the table to determine what percentage of rows of the table will be returned by the predicate with the given list of arguments. For example, to compute the selectivity of a predicate IMAGE\_ GREATER\_THAN (Image SelectedImage) which determines the images that are greater than the Image SelectedImage, you might use a histogram of the sizes of the images in the database to compute the selectivity.

#### **Collecting Statistics**

Statistics can affect the calculation of selectivity for predicates as well as the cost of domain indexes.

#### **Statistics for Tables**

The statistics collected for a table can affect the computation of selectivity of a predicate. Thus, statistics that help the user make a better judgement about the selectivity of a predicate should be collected for tables and columns. Knowing the predicates that can operate on the data is helpful in determining what statistics to collect.

For example, in a spatial domain the average, minimum, and maximum number of elements in a VARRAY that contains the nodes of the spatial objects is a useful statistic to collect.

#### Statistics for Indexes

When a domain index is analyzed, statistics for the underlying objects that constitute the domain index should be analyzed. For example, if the domain index is composed of tables, the statistics collection function should analyze the tables when the domain index is analyzed. The cost of accessing the domain index can be influenced by the statistics that have been collected for the index. For instance, the cost of accessing a domain index could be approximated as the selectivity times the total number of data blocks in the various tables being accessed when the domain index is accessed.

To define cost, selectivity and statistics functions accurately requires a good understanding of the domain. The preceding guidelines are meant to help you understand some of the issues you need to take into account while working on the cost, selectivity and statistics functions. In general it may be a good idea to start by using the default cost and selectivity, and observing how queries of interest behave.

### **Designing for Maintenance**

When you design a data cartridge, keep in mind the issues regarding maintenance.

In particular, if your cartridge maintains a large number of objects, views, tables, and so on, consider making a metadata table to maintain the relationships among the objects for the user. This reduces the complexity of developing and maintaining the cartridge when it is in use.

### Making Your Cartridge Installable

- Include a README with your cartridge to tell users how to install the cartridge
- Make the cartridge installable in one step in the database, if possible; for example: sqlplus @imginst
- Tell users how to start the listener if you are using callouts.
- Tell users how to setup extproc. Most users have never heard of extproc and many users have never set up a listener. This is the primary problem when deploying cartridges.

**See Also:** Oracle Database Application Developer's Guide -Fundamentals for information on setting up the listener and extproc

With the Oracle Software Packager, you can easily create custom SQL install scripts using the instantiate\_file action. This feature lets you substitute variables in your files when they are installed and it leaves your user with scripts and files that are customized for their installation.

### **Designing for Portability**

To make your data cartridge more portable, consider the following:

- Use the datatypes in oratypes.h.
- Use OCI calls where ever possible.
- Use the switches that enforce ANSI C conformance when possible.
- Use ANSI C function prototypes.
- Build and test on your target platforms as early in your development cycle as possible. This helps you locate platform-specific code and provides the maximum amount of time to redesign.

Portability is reduced by:

- Storing endian (big/little) specific data
- Storing floating point data (IEEE/VAX/other)
- Operating system-specific calls (if you must use them, isolate them in a layer specific to the operating system; however, if the calls you require are not in the OCI, and also are not in POSIX, then you are likely to encounter intractable problems)
- Implicitly casting int as size\_t on a 64-bit platform

# **Part III**

# **Scenarios and Examples**

This part contains examples that illustrate the techniques described in Part II:

- Chapter 15, "Power Demand Cartridge Example"
- Chapter 16, "PSBTREE: Extensible Indexing Example"
- Chapter 17, "Pipelined Table Functions: Interface Approach Example"

# **Power Demand Cartridge Example**

This chapter explains the power demand sample data cartridge that is discussed throughout this book. The power demand cartridge includes a user-defined object type, extensible indexing, and optimization.

This chapter contains the following topics:

- "Modeling the Application" includes a technical and business scenario
- "Queries and Extensible Indexing" describes kinds of queries that benefit from domain indexes
- "Creating the Domain Index" explains how the index and related structures for the example were created.
- "Defining a Type and Methods for Extensible Optimizing" explains how the methods for the extensible optimizer were created.
- "Testing the Domain Index" explains how to test the domain index and see if it is causing more efficient execution of queries than would occur without an index

This chapter divides the example into segments and provides commentary. The entire cartridge definition is available online in file extdemo1.sql in the Oracle demo directory.

This chapter does not explain the concepts related to the features illustrated in detail. For information about extensible indexing, see Chapter 8, "Building Domain Indexes". For information about extensible query optimization, see Chapter 10, "Using Extensible Optimizer". For information about cartridge services, see Chapter 12, "Using Cartridge Services".

# **Feature Requirements**

A power utility, Power-To-The-People, develops a sophisticated model to decide how to deploy its resources. The region served by the utility is represented by a grid laid over a geographic area. This grid is illustrated in Figure 15–1.

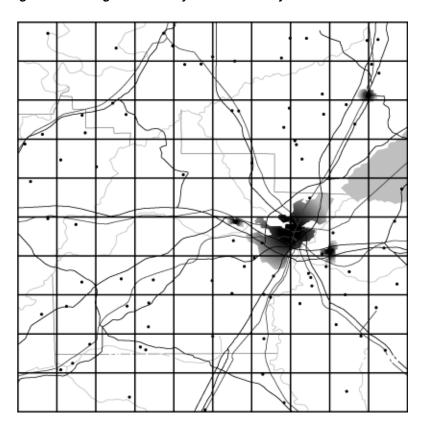


Figure 15-1 Region Served by the Power Utility

This region may be surrounded by other regions some of whose power needs are supplied by other utilities. As pictured, every region is composed of geographic quadrants referred to as "cells" on a 10x10 grid. There are a number of ways of identifying cells — by spatial coordinates (longitude/latitude), by a matrix numbering (1,1; 1,2;...), and by numbering them sequentially, as illustrated in Figure 15–2.

Figure 15–2 Regional Grid Cells in Numbered Sequence

Within the area represented by each cell, the power used by consumers in that area is recorded each hour. For example, the power demand readings for a particular hour might be represented by Table 15–1 (cells here represented on a matrix).

Table 15–1 Sample Power Demand Readings for an Hour

-	1	2	3	4	5	6	7	8	9	10
1	23	21	25	23	24	25	27	32	31	30
2	33	32	31	33	34	32	23	22	21	34
3	45	44	43	33	44	43	42	41	45	46
4	44	45	45	43	42	26	19	44	33	43
5	45	44	43	42	41	44	45	46	47	44
6	43	45	98	55	54	43	44	33	34	44
7	33	45	44	43	33	44	34	55	46	34
8	87	34	33	32	31	34	35	38	33	39
9	30	40	43	42	33	43	34	32	34	46
10	43	42	34	12	43	45	48	45	43	32

The power stations also receives reports from two other sources:

- Sensors on the ground provide temperature readings for every cell By analyzing the correlation between historical power demand from cells and the temperature readings for those regions, the utility is able to determine with a close approximation what the demand will be, given specific temperatures.
- Satellite cameras provide images regarding current conditions that are converted into grayscale images that match the grid illustrated in Figure 15–3.

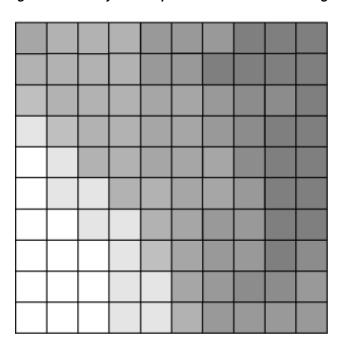


Figure 15–3 Grayscale Representation of Satellite Image

These images are designed so that lighter is colder. Thus, the image shows a cold front moving into the region from the south-west. By correlating the data provided by the grayscale images with temperature readings taken at the same time, the utility has been able to determine what the power demand is given weather conditions viewed from the stratosphere.

The reason that this is important is that a crucial part of this modeling has to do with noting the rapidity and degree of change in the incoming reports as weather changes and power is deployed. The following diagram shows same cold front at a second recording, illustrated in Figure 15-4.

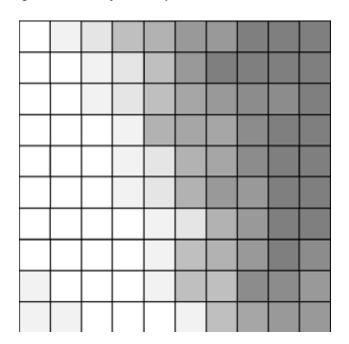


Figure 15–4 Grayscale Representation of Weather Conditions at Second Recording

By analyzing the extent and speed of the cold front, the utility is able to project what the conditions are likely to be in the short and medium term, as in Figure 15–5.

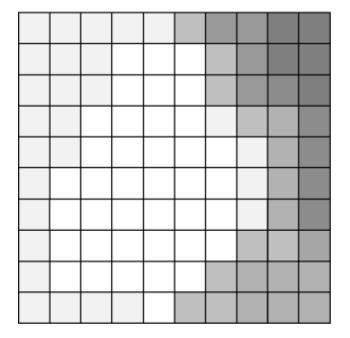


Figure 15–5 Grayscale Representation of Conditions as Projected

By combing the data about these conditions and other anomalous situations (such as the failure of a substation), the utility must be able to organize the most optimal deployment of its resources. Figure 15–6 reflects the distribution of substations across the region.

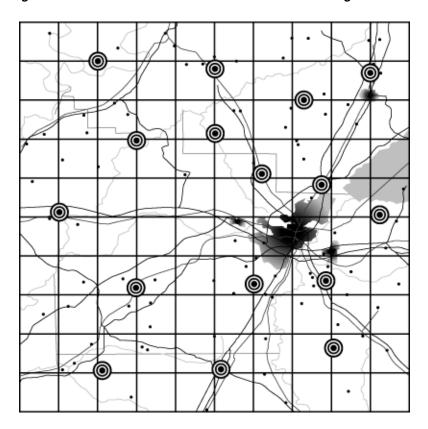


Figure 15–6 Distribution of Power Stations Across the Region

The distribution of power stations means that the utility can redirect its deployment of electricity to the areas of greatest need. Figure 15-7 gives a pictorial representation of the overlap between three stations.

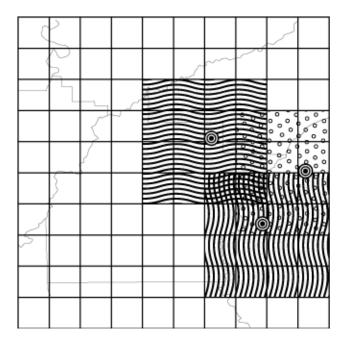


Figure 15–7 Areas Served by Three Power Stations

Depending on fluctuating requirements, the utility must be able to decide how to deploy its resources, and even whether to purchase power from another utility in the event of shortfall.

# **Modeling the Application**

The Class Diagram in Figure 15–8 describes the application objects using the Unified Modelling Language (UML) notation.

retrieves, stores Hourly Demand Status Power Cartridge User Time TotalGridDemand MaxCellDemand Regional Grid MinCellDemand is associated with Grid No calcTotalGridDemand getMaxCellDemand getMinCellDemand isEqualToSpecificCell isEqualToAnyCell Locator NW NE SW 100 Cell Demand Reading CellNo Demand Cell Temperature Reading Cell Meter Sensor senses Temperature CellNo reads temperature Camera Satellite Image photographs Grid Coordinate provides GreyScaleValue matching image

Figure 15–8 Application Object Model of the Power Demand Cartridge

# Sample Queries

Modelling the application in this way, makes possible the following specific queries:

- Find the cell (geographic quadrant) with the highest demand for a specified time-period.
- Find the time-period with the highest total demand.
- Find all cells where demand is greater than some specified value.
- Find any cell at any time where the demand equals some specified value.
- Find any time-period for which 3 or more cells had/have a demand greater than some specified
- Find the time-period for which there was the greatest disparity (difference) between the cell with the minimum demand and the cell with the maximum demand.

- Find the times for which 10 or more cells had demand not less than some specified
- Find the times for which the average cell demand was greater than some specified value. (Note: it is assumed that the average is easily computable by TotalPowerDemand / 100.)
- Find the time-periods for which the median cell demand was greater than some specified value. (Note: It is assumed that the median value is not easily computable).
- Find all time-periods for which the total demand rose 10 percent or more over the preceding time's total demand.

These queries are, of course, only a short list of the possible information that could be gleaned from the system. For instance, it is obvious that the developer of such an application would want to build queries that are based on the information derived from prior queries:

- What is the percentage change in demand for a particular cell as compared to a previous time-period?
- Which cells demonstrate rapid increase / decrease in demand measured as percentages greater / lesser than specified values?

The Power Demand cartridge as implemented is described in class diagram illustrated in Figure 15–9.

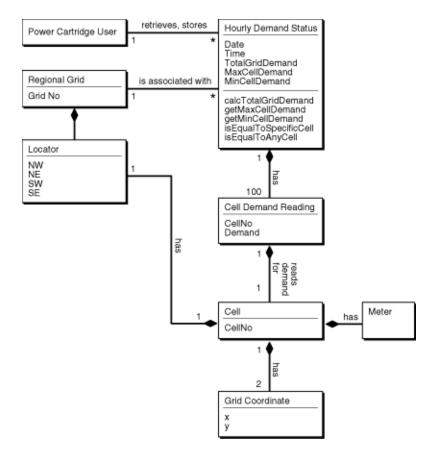


Figure 15–9 Implementation Model of the Power Demand Cartridge

The utility receives ongoing reports from weather centers about current conditions and from power stations about ongoing power utilization for specific geographical areas (represented by cells on a 10x10 grid). It then compares this information to historical data in order to predict demand for power in the different geographic areas for given time periods.

Each service area for the utility is considered as a 10x10 grid of cells, where each cell's boundaries are associated with spatial coordinates (longitude/latitude). The geographical areas represented by the cells can be uniform or can have different shapes and sizes. Within the area represented by each cell, the power used by consumers in that area is recorded each hour. For example, the power demand readings for a particular hour might be represented by Table 15–2.

Sample Power Demand Readings for an Hour

-	1	2	3	4	5	6	7	8	9	10
1	23	21	25	23	24	25	27	32	31	30
2	33	32	31	33	34	32	23	22	21	34
3	45	44	43	33	44	43	42	41	45	46
4	44	45	45	43	42	26	19	44	33	43
5	45	44	43	42	41	44	45	46	47	44
6	43	45	98	55	54	43	44	33	34	44
7	33	45	44	43	33	44	34	55	46	34
8	87	34	33	32	31	34	35	38	33	39
9	30	40	43	42	33	43	34	32	34	46
10	43	42	34	12	43	45	48	45	43	32

The numbers in each cell reflect power demand (in some unit of measurement determined by the electric utility) for the hour for that area. For example, the demand for the first cell (1,1) was 23, the demand for the second cell (1,2) was 21, and so on. The demand for the last cell (10, 10) was 32.

The utility uses this data for many monitoring and analytical applications. Readings for individual cells are monitored for unusual surges or decreases in demand. For example, the readings of 98 for (6,3) and 87 for (8,1) might be unusually high, and the readings of 19 for (4,7) and 12 for (10,4) might be unusually low. Trends are also analyzed, such as significant increases or decreases in demand for each neighborhood, for each station, and overall, over time.

# Queries and Extensible Indexing

Using extensible indexing depends on whether queries will run as efficiently with a standard Oracle index, or with no index at all.

# Queries Not Benefiting from Extensible Indexing

A query does not require a domain index if both of the following are true:

The desired information can be made an attribute (column) of the table and a standard index can be defined on that column.

The operations in queries on the data are limited to those operations supported by the standard index, such as equals, lessthan, greaterthan, max, and min for a b-tree index.

In the PowerDemand\_Typ object type cartridge example, the values for three columns (TotGridDemand, MaxCellDemand, and MinCellDemand) are set by functions, after which the values do not change. (For example, the total grid power demand for 13:00 on 01-Jan-1998 does not change after it has been computed.) For queries that use these columns, a standard b-tree index on each column is sufficient and recommended for operations like equals, lessthan, greaterthan, max, and min.

Examples of queries that would not benefit from extensible indexing (using the power demand cartridge) include:

- Find the cell with the highest power demand for a specific time.
- Find the time when the total grid power demand was highest.
- Find all cells where the power demand is greater than a specified value.
- Find the times for which the average cell demand or the median cell demand was greater than a specified value.

To make this query run efficiently, define two additional columns in the PowerDemand\_Typ object type (AverageCellDemand and MedianCellDemand), and create functions to set the values of these columns. (For example, AverageCellDemand is TotGridDemand divided by 100.) Then, create b-tree indexes on the AverageCellDemand and MedianCellDemand columns.

### Queries Benefiting from Extensible Indexing

A query benefits from a domain index if the data being queried against cannot be made a simple attribute of a table or if the operation to be performed on the data is not one of the standard operations supported by Oracle indexes.

Examples of queries that would benefit from extensible indexing (using the power demand cartridge) include:

- Find the first cell for a specified time where the power demand was equal to a specified value.
  - By asking for the first cell, the query goes beyond a simple true-false check (such as finding out whether any cell for a specified time had a demand equal to a specified value), and thus benefits from a domain index.
- Find the time for which there was the greatest disparity (difference) between the cell with the minimum demand and the cell with the maximum demand.
- Find all times for which 3 or more cells had a demand greater than a specified value.
- Find all times for which 10 or more cells had a demand not less than a specified value.
- Find all times for which the total grid demand rose 10 percent or more over the preceding time's total grid demand.

# Creating the Domain Index

This section explains the parts of the power demand cartridge as they relate to extensible indexing. Explanatory text and code segments are mixed.

The entire cartridge definition is available online as extdemo1.sql in the standard Oracle demo directory (location is platform-dependent).

### Creating the Schema to Own the Index

Before you create a domain index, create a database user (schema) to own the index. In the power demand example, the user PowerCartUser is created and granted the appropriate privileges. All database structures related to the cartridge are created under this user (that is, while the cartridge developer or DBA is connected to the database as PowerCartUser).

```
set echo on
connect sys/knl_test7 as sysdba;
drop user PowerCartUser cascade;
create user PowerCartUser identified by PowerCartUser;
-- INITIAL SET-UP
______
-- grant privileges --
grant connect, resource to PowerCartUser;
-- do we need to grant these privileges --
grant create operator to PowerCartUser;
grant create indextype to PowerCartUser;
grant create table to PowerCartUser;
```

### Creating the Object Type (PowerDemand\_Typ)

The object type PowerDemand\_Typ is used to store the hourly power grid readings. This type is used to define a column in the table in which the readings are stored.

First, two types are defined for later use:

- PowerGrid\_Typ, to define the cells in PowerDemand\_Typ
- NumTab\_Typ, to be used in the table in which the index entries are stored

```
CREATE OR REPLACE TYPE PowerGrid_Typ as VARRAY(100) of NUMBER;
CREATE OR REPLACE TYPE NumTab_Typ as TABLE of NUMBER;
```

The PowerDemand\_Typ type includes:

- Three attributes (TotGridDemand, MaxCellDemand, MinCellDemand) that are set by three member procedures
- Power demand readings (100 cells in a grid)
- The date/time of the power demand readings. (Every hour, 100 areas transmit their power demand readings.)

```
CREATE OR REPLACE TYPE PowerDemand_Typ AS OBJECT (
 -- Total power demand for the grid
 TotGridDemand NUMBER,
 -- Cell with maximum/minimum power demand for the grid
 MaxCellDemand NUMBER.
 MinCellDemand NUMBER,
 -- Power grid: 10X10 array represented as Varray(100)
 -- using previously defined PowerGrid_Typ
 CellDemandValues PowerGrid_Typ,
  -- Date/time for power-demand samplings: Every hour,
  -- 100 areas transmit their power demand readings.
 SampleTime DATE,
```

```
-- Methods (Set...) for this type:
 -- Total demand for the entire power grid for a
 -- SampleTime: sets the value of TotGridDemand.
 Member Procedure SetTotalDemand,
 -- Maximum demand for the entire power grid for a
 -- SampleTime: sets the value of MaxCellDemand.
 Member Procedure SetMaxDemand,
 -- Minimum demand for the entire power grid for a
  -- SampleTime: sets the value of MinCellDemand.
 Member Procedure SetMinDemand
):
```

### **Defining the Object Type Methods**

The PowerDemand\_Typ object type has methods that set the first three attributes in the type definition:

- TotGridDemand, the total demand for the entire power grid for the hour in question (identified by SampleTime)
- MaxCellDemand, the highest power demand value for all cells for the SampleTime
- MinCellDemand, the lowest power demand value for all cells for the SampleTime

The logic for each procedure is not complicated. SetTotDemand loops through the cell values and creates a running total. SetMaxDemand compares the first two cell values and saves the higher as the current highest value; it then examines each successive cell, comparing it against the current highest value and saving the higher of the two as the current highest value, until it reaches the end of the cell values. SetMinDemand uses the same approach as SetMaxDemand, but it continually saves the lower value in comparisons to derive the lowest value overall.

```
CREATE OR REPLACE TYPE BODY PowerDemand_Typ
IS
  -- Methods (Set...) for this type:
  -- Total demand for the entire power grid for a
  -- SampleTime: sets the value of TotGridDemand.
 Member Procedure SetTotalDemand
 TS
 I BINARY_INTEGER;
 Total NUMBER;
 BEGIN
   Total :=0;
   I := CellDemandValues.FIRST;
   WHILE I IS NOT NULL LOOP
  Total := Total + CellDemandValues(I);
      I := CellDemandValues.NEXT(I);
   END LOOP;
   TotGridDemand := Total;
 END:
  -- Maximum demand for the entire power grid for a
  -- SampleTime: sets the value of MaxCellDemand.
 Member Procedure SetMaxDemand
 I BINARY_INTEGER;
```

```
Temp NUMBER:
 BEGIN
    I := CellDemandValues.FIRST;
   Temp := CellDemandValues(I);
   WHILE I IS NOT NULL LOOP
   IF Temp < CellDemandValues(I) THEN</pre>
     Temp := CellDemandValues(I);
   END IF:
       I := CellDemandValues.NEXT(I);
    END LOOP;
    MaxCellDemand := Temp;
  END;
  -- Minimum demand for the entire power grid for a
  -- SampleTime: sets the value of MinCellDemand.
 Member Procedure SetMinDemand
 I BINARY INTEGER;
 Temp NUMBER:
 BEGIN
   I := CellDemandValues.FIRST;
   Temp := CellDemandValues(I);
   WHILE I IS NOT NULL LOOP
   IF Temp > CellDemandValues(I) THEN
     Temp := CellDemandValues(I);
   END IF;
       I := CellDemandValues.NEXT(I);
    END LOOP;
   MinCellDemand := Temp;
 END;
END:
```

# **Creating the Functions and Operators**

The power demand cartridge is designed so that users can query the power grid for relationships of equality, greaterthan, or less than. However, because of the way the cell demand data is stored, the standard operators (=, >, <) cannot be used. Instead, new operators must be created, and a function must be created to define the implementation for each new operator (that is, how the operator is to be interpreted by Oracle).

For this cartridge, each of the three relationships can be checked in two ways:

- Whether a specific cell in the grid satisfies the relationship. (For example, are there grids where cell (3,7) has demand equal to 25?)
  - These operators have names in the form Power\_XxxxxSpecific (such as Power\_EqualsSpecific), and the implementing functions have names in the form Power\_XxxxxSpecific\_Func.
- Whether any cell in the grid satisfies the relationship. (For example, are there grids where any cell has demand equal to 25?)
  - These operators have names in the form Power\_XxxxxAny (such as Power\_ EqualsAny), and the implementing functions have names in the form Power\_ XxxxxAny\_Func.

For each operator-function pair, the function is defined first and then the operator as using the function. The function is the implementation that would be used if there were no index defined. This implementation must be specified so that the Oracle

optimizer can determine costs, decide whether the index should be used, and create an execution plan.

Table 15–3 shows the operators and implementing functions:

Operators and Implementing Functions

	-		
Operator	Implementing Function		
Power_EqualsSpecific	Power_EqualsSpecific_Func		
Power_EqualsAny	Power_EqualsAny_Func		
Power_LessThanSpecific	Power_LessThanSpecific_Func		
Power_LessThanAny	Power_LessThanAny_Func		
Power_GreaterThanSpecific	Power_GreaterThanSpecific_Func		
Power_GreaterThanAny	Power_GreaterThanAny_Func		

Each function and operator returns a numeric value of 1 if the condition is true (for example, if the specified cell is equal to the specified value), 0 if the condition is not true, or null if the specified cell number is invalid.

The following statements create the implementing functions (Power\_xxx\_Func), first the specific and then the any implementations.

```
CREATE FUNCTION Power_EqualsSpecific_Func(
  object PowerDemand_Typ, cell NUMBER, value NUMBER)
RETURN NUMBER AS
  BEGIN
  IF cell <= object.CellDemandValues.LAST</pre>
  THEN
     IF (object.CellDemandValues(cell) = value) THEN
  RETURN 1;
    ELSE
  RETURN 0;
    END IF;
  ELSE
    RETURN NULL;
  END IF;
  END;
CREATE FUNCTION Power_GreaterThanSpecific_Func(
  object PowerDemand_Typ, cell NUMBER, value NUMBER)
RETURN NUMBER AS
  BEGIN
  IF cell <= object.CellDemandValues.LAST</pre>
     IF (object.CellDemandValues(cell) > value) THEN
   RETURN 1;
    ELSE
   RETURN 0;
     END IF;
  ELSE
    RETURN NULL;
  END IF;
  END;
CREATE FUNCTION Power_LessThanSpecific_Func(
  object PowerDemand_Typ, cell NUMBER, value NUMBER)
RETURN NUMBER AS
```

```
BEGIN
  IF cell <= object.CellDemandValues.LAST</pre>
 THEN
     IF (object.CellDemandValues(cell) < value) THEN</pre>
    ELSE
   RETURN 0:
    END IF;
  ELSE
    RETURN NULL;
 END IF;
 END;
CREATE FUNCTION Power_EqualsAny_Func(
  object PowerDemand_Typ, value NUMBER)
RETURN NUMBER AS
  idx NUMBER;
 BEGIN
   FOR idx IN object.CellDemandValues.FIRST..object.CellDemandValues.LAST LOOP
      IF (object.CellDemandValues(idx) = value) THEN
   RETURN 1;
     END IF;
    END LOOP;
  RETURN 0;
 END;
CREATE FUNCTION Power_GreaterThanAny_Func(
  object PowerDemand_Typ, value NUMBER)
RETURN NUMBER AS
  idx NUMBER;
 BEGIN
    FOR idx IN object.CellDemandValues.FIRST..object.CellDemandValues.LAST LOOP
      IF (object.CellDemandValues(idx) > value) THEN
   RETURN 1;
     END IF;
   END LOOP;
  RETURN 0:
 END;
CREATE FUNCTION Power_LessThanAny_Func(
  object PowerDemand_Typ, value NUMBER)
RETURN NUMBER AS
  idx NUMBER:
 BEGIN
    FOR idx IN object.CellDemandValues.FIRST..object.CellDemandValues.LAST LOOP
      IF (object.CellDemandValues(idx) < value) THEN</pre>
   RETURN 1;
     END IF;
   END LOOP:
   RETURN 0;
 END;
```

The following statements create the operators (Power\_xxx). Each statement specifies an implementing function.

```
CREATE OPERATOR Power_Equals BINDING(PowerDemand_Typ, NUMBER, NUMBER)
 RETURN NUMBER USING Power_EqualsSpecific_Func;
CREATE OPERATOR Power_GreaterThan BINDING(PowerDemand_Typ, NUMBER, NUMBER)
 RETURN NUMBER USING Power_GreaterThanSpecific_Func;
CREATE OPERATOR Power_LessThan BINDING(PowerDemand_Typ, NUMBER, NUMBER)
```

```
RETURN NUMBER USING Power_LessThanSpecific_Func;
CREATE OPERATOR Power_EqualsAny BINDING(PowerDemand_Typ, NUMBER)
 RETURN NUMBER USING Power_EqualsAny_Func;
CREATE OPERATOR Power_GreaterThanAny BINDING(PowerDemand_Typ, NUMBER)
 RETURN NUMBER USING Power_GreaterThanAny_Func;
CREATE OPERATOR Power LessThanAny BINDING (PowerDemand Typ, NUMBER)
 RETURN NUMBER USING Power_LessThanAny_Func;
```

### Creating the Indextype Implementation Methods

The power demand cartridge creates an object type for the indextype that specifies methods for the domain index. These methods are part of the ODCIIndex (Oracle Data Cartridge Interface Index) interface, and they collectively define the behavior of the index in terms of the methods for defining, manipulating, scanning, and exporting the index.

Table 15-4 shows the method functions (all but one starting with ODCIIndex) created for the power demand cartridge.

Table 15–4 Indextype Methods

Method	Description		
ODCIGetInterfaces	Returns the list interface names implemented by the type.		
ODCIIndexCreate	Creates a table to store index data. If the base table containing data to be indexed is not empty, this method builds the index for existing data.		
	This method is called when a CREATE INDEX statement is issued that refers to the indextype. Upon invocation, any parameters specified in the PARAMETERS clause are passed in along with a description of the index.		
ODCIIndexDrop	Drops the table that stores the index data. This method is called when a DROP INDEX statement specifies the index.		
ODCIIndexStart	Initializes the scan of the index for the operator predicate. This method is invoked when a query is submitted involving an operator that can be executed using the domain index.		
ODCIIndexFetch	Returns the ROWID of each row that satisfies the operator predicate.		
ODCIIndexClose	Ends the current use of the index. This method can perform any necessary clean-up.		
ODCIIndexInsert	Maintains the index structure when a record is inserted in a table that contains columns or object attributes indexed by the indextype.		
ODCIIndexDelete	Maintains the index structure when a record is deleted from a table that contains columns or object attributes indexed by the indextype.		
ODCIIndexUpdate	Maintains the index structure when a record is updated (modified) in a table that contains columns or object attributes indexed by the indextype.		
ODCIIndexGetMetadata	Allows the export and import of implementation-specific metadata associated with the index.		

#### Type Definition

The following statement creates the power\_idxtype\_im object type. The methods of this type are the ODCI methods to define, manipulate, and scan the domain index. The

```
curnum attribute is the cursor number used as context for the scan routines
(ODCIIndexStart, ODCIIndexFetch, and ODCIIndexClose).
CREATE OR REPLACE TYPE power_idxtype_im AS OBJECT
 curnum NUMBER,
 STATIC FUNCTION ODCIGetInterfaces(ifclist OUT sys.ODCIObjectList)
    RETURN NUMBER.
 STATIC FUNCTION ODCIIndexCreate (ia sys.ODCIIndexInfo, parms VARCHAR2,
    env sys.ODCIEnv) RETURN NUMBER,
 STATIC FUNCTION ODCIIndexDrop(ia sys.ODCIIndexInfo, env sys.ODCIEnv)
    RETURN NUMBER,
 STATIC FUNCTION ODCIIndexStart(sctx IN OUT power_idxtype_im,
                                 ia sys.ODCIIndexInfo,
                                 op sys.ODCIPredInfo, qi sys.ODCIQueryInfo,
                                 strt NUMBER, stop NUMBER,
                                 cmppos NUMBER, cmpval NUMBER, env sys.ODCIEnv)
    RETURN NUMBER,
 STATIC FUNCTION ODCIIndexStart(sctx IN OUT power_idxtype_im,
                                ia sys.ODCIIndexInfo,
                                 op sys.ODCIPredInfo, qi sys.ODCIQueryInfo,
                                 strt NUMBER, stop NUMBER,
                                 cmpval NUMBER, env sys.ODCIEnv)
    RETURN NUMBER,
 MEMBER FUNCTION ODCIIndexFetch(nrows NUMBER, rids OUT sys.ODCIRidList,
    env sys.ODCIEnv) RETURN NUMBER,
 MEMBER FUNCTION ODCIIndexClose (env sys.ODCIEnv) RETURN NUMBER,
 STATIC FUNCTION ODCIIndexInsert(ia sys.ODCIIndexInfo, rid VARCHAR2,
                                 newval PowerDemand_Typ, env sys.ODCIEnv)
    RETURN NUMBER,
 STATIC FUNCTION ODCIIndexDelete(ia sys.ODCIIndexInfo, rid VARCHAR2,
                                  oldval PowerDemand_Typ, env sys.ODCIEnv)
    RETURN NUMBER,
 STATIC FUNCTION ODCIIndexUpdate(ia sys.ODCIIndexInfo, rid VARCHAR2,
                                  oldval PowerDemand_Typ,
                                  newval PowerDemand_Typ, env sys.ODCIEnv)
    RETURN NUMBER,
 STATIC FUNCTION ODCIIndexGetMetadata(ia sys.ODCIIndexInfo,
                                      expversion VARCHAR2,
                                       newblock OUT PLS_INTEGER,
                                       env sys.ODCIEnv)
    RETURN VARCHAR2
);
```

The CREATE TYPE statement is followed by a CREATE TYPE BODY statement that specifies the implementation for each member function:

```
CREATE OR REPLACE TYPE BODY power_idxtype_im
IS
```

Each type method is described in a separate section, but the method definitions (except for ODCIIndexGetMetadata, which returns a VARCHAR2 string) have the following general form:

```
STATIC FUNCTION function-name (...)
  RETURN NUMBER
IS
END:
```

#### ODCIGetInterfaces Method

The ODCIGetInterfaces function returns the list of names of the interfaces implemented by the type. To specify the current version of these interfaces, the ODCIGetInterfaces routine must return'SYS.ODCIINDEX2' in the OUT parameter.

```
STATIC FUNCTION ODCIGetInterfaces(ifclist OUT sys.ODCIObjectList)
    RETURN NUMBER IS
BEGIN
    ifclist := sys.ODCIObjectList(sys.ODCIObject('SYS','ODCIINDEX2'));
    return ODCIConst.Success;
END ODCIGetInterfaces;
```

**Note:** To continue to use existing Oracle8*i* code that is not updated for subsequent changes to the routines, have function ODCIGetInterfaces specify SYS.ODCIINDEX1, and ODCIObjectList parameter to specify the Oracle8i version of the ODCIIndex routines.

#### ODCIIndexCreate Method

The ODCIIndexCreate function creates the table to store index data. If the base table containing data to be indexed is not empty, this method inserts the index data entries for existing data.

The function takes the index information as an object parameter whose type is SYS.ODCIINDEXINFO. The type attributes include the index name, owner name, and so forth. The PARAMETERS string specified in the CREATE INDEX statement is also passed in as a parameter to the function.

```
STATIC FUNCTION ODCIIndexCreate (ia sys.ODCIIndexInfo, parms VARCHAR2,
                                 env sys.ODCIEnv)
  RETURN NUMBER IS
i INTEGER;
r ROWID;
p NUMBER;
v NUMBER;
stmt1 VARCHAR2(1000);
stmt2 VARCHAR2(1000);
stmt3 VARCHAR2(1000);
cnum1 INTEGER;
cnum2 INTEGER:
cnum3 INTEGER;
 junk NUMBER;
```

The SOL statement to create the table for the index data is constructed and executed. The table includes the ROWID of the base table (r), the cell position number (cpos) in the grid from 1 to 100, and the power demand value in that cell (cval).

```
BEGIN
   -- Construct the SQL statement.
  stmt1 := 'CREATE TABLE ' || ia.IndexSchema || '.' || ia.IndexName ||
           '_pidx' || '( r ROWID, cpos NUMBER, cval NUMBER)';
   -- Dump the SQL statement.
  dbms_output.put_line('ODCIIndexCreate>>>>');
  sys.ODCIIndexInfoDump(ia);
  dbms_output.put_line('ODCIIndexCreate>>>>' | | stmt1);
```

```
-- Execute the statement.
cnum1 := dbms_sql.open_cursor;
dbms_sql.parse(cnum1, stmt1, dbms_sql.native);
junk := dbms_sql.execute(cnum1);
dbms_sql.close_cursor(cnum1);
```

The function populates the index by inserting rows into the table. The function "unnests" the VARRAY attribute and inserts a row for each cell into the table. Thus, each 10 X 10 grid (10 rows, 10 values for each row) becomes 100 rows in the table (one row for each cell).

```
-- Now populate the table.
stmt2 := ' INSERT INTO ' | | ia.IndexSchema | | '.' | |
   ia.IndexName || '_pidx' ||
    ' SELECT :rr, ROWNUM, column_value FROM THE' ||
    ' (SELECT CAST (P.'|| ia.IndexCols(1).ColName||'.CellDemandValues
      AS NumTab_Typ)'
    'FROM ' | ia.IndexCols(1).TableSchema | | '.' | |
    ia.IndexCols(1).TableName | ' P' |
    ' WHERE P.ROWID = :rr)';
-- Execute the statement.
dbms_output.put_line('ODCIIndexCreate>>>>'||stmt2);
-- Parse the statement.
cnum2 := dbms_sql.open_cursor;
dbms_sql.parse(cnum2, stmt2, dbms_sql.native);
stmt3 := 'SELECT ROWID FROM ' | ia.IndexCols(1).TableSchema
 | | '.' | ia.IndexCols(1).TableName;
dbms output.put line('ODCIIndexCreate>>>>'||stmt3);
cnum3 := dbms_sql.open_cursor;
dbms_sql.parse(cnum3, stmt3, dbms_sql.native);
dbms_sql.define_column_rowid(cnum3, 1, r);
junk := dbms_sql.execute(cnum3);
WHILE dbms sql.fetch rows(cnum3) > 0 LOOP
   -- Get column values of the row. --
  dbms_sql.column_value_rowid(cnum3, 1, r);
   -- Bind the row into the cursor for the next insert. --
  dbms_sql.bind_variable_rowid(cnum2, ':rr', r);
   junk := dbms_sql.execute(cnum2);
END LOOP;
```

The function concludes by closing the cursors and returning a success status.

```
dbms_sql.close_cursor(cnum2);
 dbms_sql.close_cursor(cnum3);
 RETURN ODCICONST.SUCCESS;
END:
```

#### ODCIIndexDrop Method

The ODCIIndexDrop function drops the table that stores the index data. This method is called when a DROP INDEX statement is issued.

```
STATIC FUNCTION ODCIIndexDrop(ia sys.ODCIIndexInfo, env sys.ODCIEnv)
  RETURN NUMBER IS
stmt VARCHAR2(1000);
cnum INTEGER:
```

```
junk INTEGER:
BEGIN
 -- Construct the SQL statement.
stmt := 'drop table ' || ia.IndexSchema || '.' || ia.IndexName
  || '_pidx';
dbms_output.put_line('ODCIIndexDrop>>>>');
sys.ODCIIndexInfoDump(ia);
dbms_output.put_line('ODCIIndexDrop>>>>'||stmt);
-- Execute the statement.
cnum := dbms sql.open cursor;
dbms_sql.parse(cnum, stmt, dbms_sql.native);
junk := dbms_sql.execute(cnum);
dbms_sql.close_cursor(cnum);
RETURN ODCICONST.SUCCESS;
END;
```

### ODCIIndexStart Method (for Specific Queries)

The first definition of the ODCIIndexStart function initializes the scan of the index to return all rows that satisfy the operator predicate. For example, if a query asks for all instances where cell (3,7) has a value equal to 25, the function initializes the scan to return all rows in the index-organized table for which that cell has that value. (This definition of ODCIIndexStart differs from the definition in the next section in that it includes the cmppos parameter for the position of the cell.)

The self parameter is the context that is shared with the ODCIIndexFetch and ODCIIndexClose functions. The ia parameter contains the index information (an object instance of type SYS.ODCIINDEXINFO), and the op parameter contains the operator information (an object instance of type SYS.ODCIOPERINFO). The strt and stop parameters are the lower and upper boundary points for the operator return value. The cmppos parameter is the cell position and cmpval is the value in the cell specified by the operator (Power\_XxxxxSpecific).

```
STATIC FUNCTION ODCIIndexStart(sctx IN OUT power_idxtype_im,
     ia sys.ODCIIndexInfo,
     op sys.ODCIPredInfo, gi sys.ODCIQueryInfo,
     strt NUMBER, stop NUMBER,
     cmppos NUMBER, cmpval NUMBER, env sys.ODCIEnv ) RETURN NUMBER IS
 cnum INTEGER:
 rid ROWID;
 nrows INTEGER;
 relop VARCHAR2(2);
 stmt VARCHAR2(1000);
BEGIN
 dbms_output.put_line('ODCIIndexStart>>>>');
 sys.ODCIIndexInfoDump(ia);
 sys.ODCIPredInfoDump(op);
 dbms_output.put_line('start key : '||strt);
 dbms_output.put_line('stop key : '||stop);
 dbms_output.put_line('compare position : '||cmppos);
 dbms_output.put_line('compare value : '||cmpval);
```

The function checks for errors in the predicate.

```
-- Take care of some error cases.
-- The only predicates in which btree operators can appear are
-- op() = 1 OR op() = 0
if (strt != 1) and (strt != 0) then
```

```
raise_application_error(-20101, 'Incorrect predicate for operator');
END if;
if (stop != 1) and (stop != 0) then
raise_application_error(-20101, 'Incorrect predicate for operator');
END if;
```

The function generates the SQL statement to be executed. It determines the operator name and the lower and upper index value bounds (the start and stop keys). The start and stop keys can both be 1 (= TRUE) or both be 0 (= FALSE).

```
-- Generate the SQL statement to be executed.
-- First, figure out the relational operator needed for the statement.
-- Take into account the operator name and the start and stop keys.
-- For now, the start and stop keys can both be 1 (= TRUE) or
-- both be 0 (= FALSE).
if op.ObjectName = 'POWER_EQUALS' then
 if strt = 1 then
   relop := '=';
 else
   relop := '!=';
 end if;
elsif op.ObjectName = 'POWER_LESSTHAN' then
 if strt = 1 then
   relop := '<';
  else
   relop := '>=';
 end if;
elsif op.ObjectName = 'POWER_GREATERTHAN' then
 if strt = 1 then
   relop := '>';
  else
   relop := '<=';
 end if;
 raise_application_error(-20101, 'Unsupported operator');
end if;
stmt := 'select r from '||ia.IndexSchema||'.'||ia.IndexName||'_pidx'||
         'where cpos '|| '=' ||'''||cmppos||'''||
          ' and cval '||relop||''''||cmpval||'''';
dbms_output.put_line('ODCIIndexStart>>>>' || stmt);
cnum := dbms_sql.open_cursor;
dbms_sql.parse(cnum, stmt, dbms_sql.native);
dbms_sql.define_column_rowid(cnum, 1, rid);
nrows := dbms_sql.execute(cnum);
```

The function stores the cursor number in the context, which is used by the ODCIIndexFetch function, and sets a success return status.

```
-- Set context as the cursor number.
 stcx := power_idxtype_im(cnum);
 -- Return success.
 RETURN ODCICONST.SUCCESS;
END;
```

### ODCIIndexStart Method (for Any Queries)

This definition of the ODCIIndexStart function initializes the scan of the index to return all rows that satisfy the operator predicate. For example, if a query asks for all instances where any cell has a value equal to 25, the function initializes the scan to return all rows in the index-organized table for which that cell has that value. (This definition of ODCIIndexStart differs from the definition in the preceding section in that it does not include the cmppos parameter.)

The self parameter is the context that is shared with the ODCIIndexFetch and ODCIIndexClose functions. The ia parameter contains the index information (an object instance of type SYS.ODCIINDEXINFO), and the op parameter contains the operator information (an object instance of type SYS.ODCIOPERINFO). The strt and stop parameters are the lower and upper boundary points for the operator return value. The cmpval parameter is the value in the cell specified by the operator (Power\_Xxxxx).

```
STATIC FUNCTION ODCIIndexStart(sctx IN OUT power_idxtype_im,
     ia sys.ODCIIndexInfo,
     op sys.ODCIPredInfo, qi sys.ODCIQueryInfo,
     strt NUMBER, stop NUMBER,
     cmpval NUMBER, env sys.ODCIEnv ) RETURN NUMBER IS
 cnum INTEGER;
 rid ROWID;
 nrows INTEGER;
 relop VARCHAR2(2);
 stmt VARCHAR2(1000);
BEGIN
 dbms_output.put_line('ODCIIndexStart>>>>');
 sys.ODCIIndexInfoDump(ia);
 sys.ODCIPredInfoDump(op);
 dbms_output.put_line('start key : '||strt);
 dbms_output.put_line('stop key : '||stop);
 dbms_output.put_line('compare value : '||cmpval);
```

The function checks for errors in the predicate.

```
-- Take care of some error cases.
-- The only predicates in which btree operators can appear are
-- op() = 1 OR op() = 0
if (strt != 1) and (strt != 0) then
raise_application_error(-20101, 'Incorrect predicate for operator');
END if:
if (stop != 1) and (stop != 0) then
raise_application_error(-20101, 'Incorrect predicate for operator');
END if;
```

The function generates the SQL statement to be executed. It determines the operator name and the lower and upper index value bounds (the start and stop keys). The start and stop keys can both be 1 (= TRUE) or both be 0 (= FALSE).

```
-- Generate the SQL statement to be executed.
-- First, figure out the relational operator needed for the statement.
-- Take into account the operator name and the start and stop keys.
-- For now, the start and stop keys can both be 1 (= TRUE) or
-- both be 0 (= FALSE).
if op.ObjectName = 'POWER_EQUALSANY' then
 relop := '=';
elsif op.ObjectName = 'POWER_LESSTHANANY' then
   relop := '<';
```

```
elsif op.ObjectName = 'POWER_GREATERTHANANY' then
   relop := '>';
else
 raise_application_error(-20101, 'Unsupported operator');
-- This statement returns the qualifying rows for the TRUE case.
stmt := 'select distinct r from '||ia.IndexSchema||'.'||ia.IndexName||
        '_pidx'||' where cval '||relop||''''||cmpval||'''';
-- In the FALSE case, we need to find the complement of the rows.
if (strt = 0) then
 stmt := 'select distinct r from '||ia.IndexSchema||'.'||
        ia.IndexName||'_pidx'||' minus '||stmt;
end if:
dbms_output.put_line('ODCIIndexStart>>>>' | stmt);
cnum := dbms_sql.open_cursor;
dbms_sql.parse(cnum, stmt, dbms_sql.native);
dbms_sql.define_column_rowid(cnum, 1, rid);
nrows := dbms_sql.execute(cnum);
```

The function stores the cursor number in the context, which is used by the ODCIIndexFetch function, and sets a success return status.

```
-- Set context as the cursor number.
  self := power_idxtype_im(cnum);
  -- Return success.
  RETURN ODCICONST.SUCCESS;
END;
```

#### **ODCIIndexFetch Method**

The ODCIIndexFetch function returns a batch of ROWIDs for the rows that satisfy the operator predicate. Each time ODCIIndexFetch is invoked, it returns the next batch of rows (rids parameter, a collection of type SYS.ODCIRIDLIST) that satisfy the operator predicate. The maximum number of rows that can be returned on each invocation is specified by the nrows parameter.

Oracle invokes ODCIIndexFetch repeatedly until all rows that satisfy the operator predicate have been returned.

```
MEMBER FUNCTION ODCIIndexFetch (nrows NUMBER, rids OUT sys.ODCIRidList,
                               env sys.ODCIEnv)
 RETURN NUMBER IS
  cnum INTEGER;
  idx INTEGER := 1;
  rlist sys.ODCIRidList := sys.ODCIRidList();
  done boolean := FALSE;
```

The function loops through the collection of rows selected by the ODCIIndexStart function, using the same cursor number (cnum) as in the ODCIIndexStart function, and returns the ROWIDS.

```
BEGIN
  dbms_output.put_line('ODCIIndexFetch>>>>');
  dbms_output.put_line('Nrows : '||round(nrows));
  cnum := self.curnum;
  WHILE not done LOOP
```

```
if idx > nrows then
     done := TRUE;
  else
    rlist.extEND;
     if dbms_sql.fetch_rows(cnum) > 0 then
        dbms_sql.column_value_rowid(cnum, 1, rlist(idx));
        idx := idx + 1;
     else
        rlist(idx) := null;
        done := TRUE;
    END if;
 END if;
END LOOP:
rids := rlist;
RETURN ODCICONST.SUCCESS;
```

#### ODCIIndexClose Method

The ODCIIndexClose function closes the cursor used by the ODCIIndexStart and ODCIIndexFetch functions.

```
MEMBER FUNCTION ODCIIndexClose (env sys.ODCIEnv) RETURN NUMBER IS
 cnum INTEGER;
BEGIN
 dbms_output.put_line('ODCIIndexClose>>>>');
 cnum := self.curnum;
 dbms_sql.close_cursor(cnum);
 RETURN ODCICONST.SUCCESS;
END;
```

#### **ODCIIndexInsert Method**

The ODCIIndexInsert function is called when a record is inserted in a table that contains columns or OBJECT attributes indexed by the indextype. The new values in the indexed columns are passed in as arguments along with the corresponding row identifier.

```
STATIC FUNCTION ODCIIndexInsert(ia sys.ODCIIndexInfo, rid VARCHAR2,
newval PowerDemand_Typ, env sys.ODCIEnv)
    RETURN NUMBER AS
    cid INTEGER;
    i BINARY_INTEGER;
    nrows INTEGER;
    stmt VARCHAR2(1000);
BEGIN
  dbms_output.put_line(' ');
  dbms_output.put_line('ODCIIndexInsert>>>>'||
    ' TotGridDemand= ' | newval.TotGridDemand | |
   ' MaxCellDemand= '||newval.MaxCellDemand ||
   ' MinCellDemand= '||newval.MinCellDemand);
  sys.ODCIIndexInfoDump(ia);
   -- Construct the statement.
  stmt := ' INSERT INTO '|| ia.IndexSchema || '.' || ia.IndexName
          || '_pidx' || ' VALUES (:rr, :pos, :val)';
   -- Execute the statement.
  dbms_output.put_line('ODCIIndexInsert>>>>'||stmt);
```

```
-- Parse the statement.
 cid := dbms_sql.open_cursor;
 dbms_sql.parse(cid, stmt, dbms_sql.native);
 dbms_sql.bind_variable_rowid(cid, ':rr', rid);
 -- Iterate over the rows of the Varray and insert them.
 i := newval.CellDemandValues.FIRST;
 WHILE i IS NOT NULL LOOP
     -- Bind the row into the cursor for insert.
     dbms_sql.bind_variable(cid, ':pos', i);
     dbms_sql.bind_variable(cid, ':val', newval.CellDemandValues(i));
      -- Execute.
     nrows := dbms_sql.execute(cid);
     dbms_output.put_line('ODCIIndexInsert>>>>('||
                           'RID' ||' , '||
                           i || ', '||
                           newval.CellDemandValues(i) | ')');
      i := newval.CellDemandValues.NEXT(i);
  END LOOP:
 dbms_sql.close_cursor(cid);
 RETURN ODCICONST.SUCCESS;
END ODCIIndexInsert;
```

#### **ODCIIndexDelete Method**

The ODCIIndexDelete function is called when a record is deleted from a table that contains columns or object attributes indexed by the indextype. The old values in the indexed columns are passed in as arguments along with the corresponding row identifier.

```
STATIC FUNCTION ODCIIndexDelete(ia sys.ODCIIndexInfo, rid VARCHAR2,
                                oldval PowerDemand_Typ, env sys.ODCIEnv)
  RETURN NUMBER AS
    cid INTEGER;
    stmt VARCHAR2(1000);
    nrows INTEGER;
  dbms_output.put_line(' ');
  dbms_output.put_line('ODCIIndexDelete>>>>'||
   ' TotGridDemand= '||oldval.TotGridDemand ||
    ' MaxCellDemand= '||oldval.MaxCellDemand ||
   ' MinCellDemand= '||oldval.MinCellDemand) ;
  sys.ODCIIndexInfoDump(ia);
   -- Construct the statement.
  stmt := ' DELETE FROM '|| ia.IndexSchema || '.' || ia.IndexName
          || '_pidx' || ' WHERE r=:rr';
  dbms_output.put_line('ODCIIndexDelete>>>>'||stmt);
  -- Parse and execute the statement.
  cid := dbms_sql.open_cursor;
  dbms_sql.parse(cid, stmt, dbms_sql.native);
  dbms_sql.bind_variable_rowid(cid, ':rr', rid);
  nrows := dbms_sql.execute(cid);
  dbms_sql.close_cursor(cid);
  RETURN ODCICONST.SUCCESS;
END ODCIIndexDelete;
```

### ODCIIndexUpdate Method

The ODCIIndexUpdate function is called when a record is updated in a table that contains columns or object attributes indexed by the indextype. The old and new values in the indexed columns are passed in as arguments along with the row identifier.

```
STATIC FUNCTION ODCIIndexUpdate(ia sys.ODCIIndexInfo, rid VARCHAR2,
oldval PowerDemand_Typ, newval PowerDemand_Typ, env sys.ODCIEnv)
    RETURN NUMBER AS
    cid INTEGER;
    cid2 INTEGER;
    stmt VARCHAR2(1000);
    stmt2 VARCHAR2(1000);
    nrows INTEGER;
    i NUMBER;
BEGIN
  dbms_output.put_line(' ');
  dbms_output.put_line('ODCIIndexUpdate>>>> Old'||
   ' TotGridDemand= ' | oldval.TotGridDemand | |
   ' MaxCellDemand= ' | oldval.MaxCellDemand | |
   ' MinCellDemand= ' | oldval.MinCellDemand) ;
  dbms_output.put_line('ODCIIndexUpdate>>>> New'||
    ' TotGridDemand= ' | newval.TotGridDemand | |
    ' MaxCellDemand= '||newval.MaxCellDemand ||
   ' MinCellDemand= ' | newval.MinCellDemand) ;
  sys.ODCIIndexInfoDump(ia);
   -- Delete old entries.
   stmt := ' DELETE FROM '|| ia.IndexSchema || '.' || ia.IndexName
         || '_pidx' || ' WHERE r=:rr';
  dbms_output.put_line('ODCIIndexUpdate>>>>'||stmt);
   -- Parse and execute the statement.
  cid := dbms_sql.open_cursor;
  dbms_sql.parse(cid, stmt, dbms_sql.native);
  dbms_sql.bind_variable_rowid(cid, ':rr', rid);
  nrows := dbms_sql.execute(cid);
  dbms_sql.close_cursor(cid);
   -- Insert new entries.
   stmt2 := ' INSERT INTO '|| ia.IndexSchema || '.' || ia.IndexName
          || '_pidx' || ' VALUES (:rr, :pos, :val)';
   dbms_output.put_line('ODCIIndexUpdate>>>>'||stmt2);
   -- Parse and execute the statement.
  cid2 := dbms_sql.open_cursor;
  dbms_sql.parse(cid2, stmt2, dbms_sql.native);
  dbms_sql.bind_variable_rowid(cid2, ':rr', rid);
   -- Iterate over the rows of the Varray and insert them.
   i := newval.CellDemandValues.FIRST;
  WHILE i IS NOT NULL LOOP
       -- Bind the row into the cursor for insert.
       dbms_sql.bind_variable(cid2, ':pos', i);
       dbms_sql.bind_variable(cid2, ':val', newval.CellDemandValues(i));
      nrows := dbms_sql.execute(cid2);
       dbms_output.put_line('ODCIIndexUpdate>>>>('||
                             'RID' || ' , '||
                             i || ', '||
                             newval.CellDemandValues(i) | | ')');
```

```
i := newval.CellDemandValues.NEXT(i);
   END LOOP:
 dbms_sql.close_cursor(cid2);
 RETURN ODCICONST.SUCCESS;
END ODCIIndexUpdate;
```

ODCIIndexUpdate is the last method defined in the CREATE TYPE BODY statement, which ends as follows:

```
END;
```

#### ODCIIndexGetMetadata Method

The optional ODCIIndexGetMetadata function, if present, is called by the Export utility in order to write implementation-specific metadata (which is not stored in the system catalogs) into the export dump file. This metadata might be policy information, version information, user settings, and so on. This metadata is written to the dump file as anonymous PL/SQL blocks that are executed at import time, immediately before the associated index is created.

This method returns strings to the Export utility that comprise the code of the PL/SQL blocks. The Export utility repeatedly calls this method until a zero-length string is returned, thus allowing the creation of any number of PL/SQL blocks of arbitrary complexity. Normally, this method calls functions within a PL/SOL package in order to make use of package-level variables, such as cursors and iteration counters, that maintain state across multiple calls by Export.

For information about the Export and Import utilities, see the Oracle Database Utilities manual.

In the power demand cartridge, the only metadata that is passed is a version string of V1.0, identifying the current format of the index-organized table that underlies the domain index. The power\_pkg.getversion function generates a call to the power\_ pkg.checkversion procedure, to be executed at import time to check that the version string is V1.0.

```
STATIC FUNCTION ODCIIndexGetMetadata(ia sys.ODCIIndexInfo, expversion
VARCHAR2, newblock OUT PLS_INTEGER, env sys.ODCIEnv)
 RETURN VARCHAR2 IS
BEGIN
-- Let getversion do all the work since it has to maintain state across calls.
 RETURN power_pkg.getversion (ia.IndexSchema, ia.IndexName, newblock);
EXCEPTION
 WHEN OTHERS THEN
     RAISE:
END ODCIIndexGetMetaData;
The power_pkg package is defined as follows:
CREATE OR REPLACE PACKAGE power_pkg AS
 FUNCTION getversion(idxschema IN VARCHAR2, idxname IN VARCHAR2,
      newblock OUT PLS_INTEGER) RETURN VARCHAR2;
 PROCEDURE checkversion (version IN VARCHAR2);
END power_pkg;
```

```
SHOW ERRORS:
CREATE OR REPLACE PACKAGE BODY power_pkg AS
-- iterate is a package-level variable used to maintain state across calls
-- by Export in this session.
iterate NUMBER := 0;
FUNCTION getversion(idxschema IN VARCHAR2, idxname IN VARCHAR2,
     newblock OUT PLS_INTEGER) RETURN VARCHAR2 IS
BEGIN
-- We are generating only one PL/SQL block consisting of one line of code.
 newblock := 1;
 IF iterate = 0
 THEN
-- Increment iterate so we'll know we're done next time we're called.
   iterate := iterate + 1;
-- Return a string that calls checkversion with a version 'V1.0'
-- Note that export adds the surrounding BEGIN/END pair to form the anon.
-- block... we don't have to.
   RETURN 'power_pkg.checkversion(''V1.0'');';
 ELSE
-- reset iterate for next index
   iterate := 0;
-- Return a 0-length string; we won't be called again for this index.
   RETURN '';
 END IF;
END getversion;
PROCEDURE checkversion (version IN VARCHAR2) IS
 BEGIN
 IF version != 'V1.0' THEN
    RAISE wrong_version;
 END IF:
END checkversion;
END power_pkg;
```

# Creating the Indextype

The power demand cartridge creates the indextype for the domain index. The specification includes the list of operators supported by the indextype. It also identifies the implementation type containing the OCDI index routines.

```
CREATE OR REPLACE INDEXTYPE power_idxtype
   Power_Equals(PowerDemand_Typ, NUMBER, NUMBER),
   Power_GreaterThan(PowerDemand_Typ, NUMBER, NUMBER),
   Power_LessThan(PowerDemand_Typ, NUMBER, NUMBER),
   Power_EqualsAny(PowerDemand_Typ, NUMBER),
   Power_GreaterThanAny(PowerDemand_Typ, NUMBER),
```

```
Power_LessThanAny(PowerDemand_Typ, NUMBER)
USING power_idxtype_im;
```

# Defining a Type and Methods for Extensible Optimizing

This section explains the parts of the power demand cartridge as they relate to extensible optimization. Explanatory text and code segments are mixed.

### Creating the Statistics Table (PowerCartUserStats)

The table PowerCartUserStats is used to store statistics about the hourly power grid readings. These statistics will be used by the method ODCIStatsSelectivity(described later) to estimate the selectivity of operator predicates. Because of the types of statistics collected, it is more convenient to use a separate table instead of letting Oracle store the statistics.

The PowerCartUserStats table contains the following columns:

- The table and column for which statistics are collected
- The cell for which the statistics are collected
- The minimum and maximum power demand for the given cell over all power grid readings
- The number of non-null readings for the given cell over all power grid readings

```
CREATE TABLE PowerCartUserStats (
  -- Table for which statistics are collected
 tab VARCHAR2(30).
 -- Column for which statistics are collected
 col VARCHAR2(30),
 -- Cell position
 cpos NUMBER,
 -- Minimum power demand for the given cell
 lo NUMBER.
 -- Maximum power demand for the given cell
 hi NUMBER,
 -- Number of (non-null) power demands for the given cell
 nrows NUMBER
);
```

# **Creating the Extensible Optimizer Methods**

The power demand cartridge creates an object type that specifies methods that will be used by the extensible optimizer. These methods are part of the ODCIStats (Oracle Data Cartridge Interface STATisticS) interface and they collectively define the methods that are called by the methods of DBMS\_STATS package, or when the optimizer is deciding on the best execution plan for a query.

Table 15–5 shows the method functions created for the power demand cartridge. (Names of all but one of the functions begin with the string ODCIStats.)

Table 15–5 Extensible Optimizer Methods

Method	Description
ODCIGetInterfaces	Returns the list of names of the interfaces implemented by the type.

Table 15-5 (Cont.) (Cont.) Extensible Optimizer Methods

Method	Description		
ODCIStatsCollect	Collects statistics for columns of type PowerDemand_Typ or domain indexes of indextype power_idxtype.		
	This method is called when a statement that refers either to a column of the PowerDemand_Typ type or to an index of the power_idxtype indextype is issued. Upon invocation, any specified options are passed in along with a description of the column or index.		
ODCIStatsDelete	Deletes statistics for columns of type PowerDemand_Typ or domain indexes of indextype power_idxtype.		
	This method is called when a statement to delete statistics for a column of the appropriate type or an index of the appropriate indextype is issued.		
ODCIStatsSelectivity	Computes the selectivity of a predicate involving an operator or its functional implementation.		
	This method is called by the optimizer when a predicate of the appropriate type appears in the WHERE clause of a query.		
ODCIStatsIndexCost	Computes the cost of a domain index access path.		
	This method is called by the optimizer to get the cost of a domain index access path assuming the index can be used for the query.		
ODCIStatsFunctionCost	Computes the cost of a function.		
	This method is called by the optimizer to get the cost of executing a function. The function need not necessarily be an implementation of an operator.		

### Type Definition

The following statement creates the power\_statistics object type. This object type's ODCI methods are used to collect and delete statistics about columns and indexes, compute selectivities of predicates with operators or functions, and to compute costs of domain indexes and functions. The curnum attribute is a dummy attribute that is not used.

```
CREATE OR REPLACE TYPE power_statistics AS OBJECT
 curnum NUMBER,
  STATIC FUNCTION ODCIGetInterfaces (ifclist OUT sys.ODCIObjectList)
    RETURN NUMBER.
  STATIC FUNCTION ODCIStatsCollect(col sys.ODCIColInfo,
     options sys.ODCIStatsOptions, rawstats OUT RAW, env sys.ODCIEnv)
     RETURN NUMBER,
  STATIC FUNCTION ODCIStatsDelete(col sys.ODCIColInfo, env sys.ODCIEnv)
     RETURN NUMBER,
  STATIC FUNCTION ODCIStatsCollect(ia sys.ODCIIndexInfo,
    options sys.ODCIStatsOptions, rawstats OUT RAW, env sys.ODCIEnv)
     RETURN NUMBER,
  STATIC FUNCTION ODCIStatsDelete(ia sys.ODCIIndexInfo, env sys.ODCIEnv)
    RETURN NUMBER,
  STATIC FUNCTION ODCIStatsSelectivity(pred sys.ODCIPredInfo,
     sel OUT NUMBER, args sys.ODCIArgDescList, strt NUMBER, stop NUMBER,
     object PowerDemand_Typ, cell NUMBER, value NUMBER, env sys.ODCIEnv)
     RETURN NUMBER,
     PRAGMA restrict_references(ODCIStatsSelectivity, WNDS, WNPS),
  STATIC FUNCTION ODCIStatsSelectivity(pred sys.ODCIPredInfo,
```

```
sel OUT NUMBER, args sys.ODCIArgDescList, strt NUMBER, stop NUMBER,
    object PowerDemand_Typ, value NUMBER, env sys.ODCIEnv) RETURN NUMBER,
    PRAGMA restrict_references(ODCIStatsSelectivity, WNDS, WNPS),
 STATIC FUNCTION ODCIStatsIndexCost(ia sys.ODCIIndexInfo,
    sel NUMBER, cost OUT sys.ODCICost, qi sys.ODCIQueryInfo,
    pred sys.ODCIPredInfo, args sys.ODCIArgDescList,
    strt NUMBER, stop NUMBER, cmppos NUMBER, cmpval NUMBER, env sys.ODCIEnv)
    RETURN NUMBER.
    PRAGMA restrict_references(ODCIStatsIndexCost, WNDS, WNPS),
 STATIC FUNCTION ODCIStatsIndexCost(ia sys.ODCIIndexInfo,
    sel NUMBER, cost OUT sys.ODCICost, qi sys.ODCIQueryInfo,
    pred sys.ODCIPredInfo, args sys.ODCIArgDescList,
    strt NUMBER, stop NUMBER, cmpval NUMBER, env sys.ODCIEnv) RETURN NUMBER,
    PRAGMA restrict_references(ODCIStatsIndexCost, WNDS, WNPS),
 STATIC FUNCTION ODCIStatsFunctionCost(func sys.ODCIFuncInfo,
    cost OUT sys.ODCICost, args sys.ODCIArgDescList,
    object PowerDemand_Typ, cell NUMBER, value NUMBER, env sys.ODCIEnv)
    RETURN NUMBER,
    PRAGMA restrict_references(ODCIStatsFunctionCost, WNDS, WNPS),
  STATIC FUNCTION ODCIStatsFunctionCost(func sys.ODCIFuncInfo,
    cost OUT sys.ODCICost, args sys.ODCIArgDescList,
    object PowerDemand_Typ, value NUMBER, env sys.ODCIEnv) RETURN NUMBER,
    PRAGMA restrict_references(ODCIStatsFunctionCost, WNDS, WNPS)
 STATIC FUNCTION ODCIStatsFunctionCost(func sys.ODCIFuncInfo,
    cost OUT sys.ODCICost, args sys.ODCIArgDescList,
    object PowerDemand_Typ, cell NUMBER, value NUMBER, env sys.ODCIEnv)
    RETURN NUMBER IS
    BEGIN
       -- This is the cost for functions on a specific cell; simply
      -- use the cost for functions on any cell.
      RETURN ODCIStatsFunctionCost(func, cost, args, object, value, env);
    END:
);
```

The CREATE TYPE statement is followed by a CREATE TYPE BODY statement that specifies the implementation for each member function:

```
CREATE OR REPLACE TYPE BODY power_statistics
TS
```

Each member function is described in a separate section, but the function definitions have the following general form:

```
STATIC FUNCTION function-name (...)
  RETURN NUMBER IS
END;
```

#### ODCIGetInterfaces Method

The ODCIGetInterfaces function returns the list of names of the interfaces implemented by the type. There is only one set of the extensible optimizer interface routines, called SYS.ODCISTATS, but the server supports multiple versions of them for backward compatibility. To specify the current version of the routines, function ODCIGetInterfaces must specify SYS.ODCISTATS2 in the OUT, ODCIObjectList parameter.

**Note:** To continue to use existing Oracle8*i* code that is not updated for any Oracle9i changes to the routines, continue to have function ODCIGetInterfaces specify SYS.ODCISTATS1.

```
STATIC FUNCTION ODCIGetInterfaces(ifclist OUT sys.ODCIObjectList)
    RETURN NUMBER IS
 BEGIN
    ifclist := sys.ODCIObjectList(sys.ODCIObject('SYS','ODCISTATS2'));
    RETURN ODCIConst.Success;
  END ODCIGetInterfaces:
```

### ODCIStatsCollect Method (for PowerDemand\_Typ columns)

The ODCIStatsCollect function collects statistics for columns whose datatype is the PowerDemand\_Typ object type. The statistics are collected for each cell in the column over all power grid readings. For a given cell, the statistics collected are the minimum and maximum power grid readings, and the number of non-null readings.

The function takes the column information as an object parameter whose type is SYS.ODCICOLINFO. The type attributes include the table name, column name, and so on. Options specified in the DBMS\_STATS package command used to collect the column statistics are also passed in as parameters. Since the power demand cartridge uses a table to store the statistics, the output parameter rawstats is not used in this cartridge.

```
STATIC FUNCTION ODCIStatsCollect(col sys.ODCIColInfo,
                                options sys.ODCIStatsOptions,
                                rawstats OUT RAW, env sys.ODCIEnv)
  RETURN NUMBER IS
  cnum INTEGER;
                    VARCHAR2 (1000);
  junk
                    INTEGER;
                    NUMBER:
  cval
  colname
                    VARCHAR2(30) := rtrim(ltrim(col.colName, '"'), '"');
  statsexists BOOLEAN := FALSE; pdemands PowerDemand_Tab%RG
                      PowerDemand_Tab%ROWTYPE;
  user_defined_stats PowerCartUserStats%ROWTYPE;
  CURSOR c1(tname VARCHAR2, cname VARCHAR2) IS
    SELECT * FROM PowerCartUserStats
    WHERE tab = tname
      AND col = cname;
  CURSOR c2 IS
    SELECT * FROM PowerDemand_Tab;
BEGIN
 sys.ODCIColInfoDump(col);
 sys.ODCIStatsOptionsDump(options);
 IF (col.TableSchema IS NULL OR col.TableName IS NULL
     OR col.ColName IS NULL) THEN
   RETURN ODCIConst.Error;
 END IF;
 dbms_output.put_line('ODCIStatsCollect>>>>');
 dbms_output.put_line('**** Analyzing column '
                      | col.TableSchema
                      || '.' || col.TableName
```

```
| | '.' | | col.ColName);
-- Check if statistics exist for this column
FOR user_defined_stats IN c1(col.TableName, colname) LOOP
  statsexists := TRUE;
  EXIT;
END LOOP:
```

The function checks whether statistics for this column already exist. If so, it initializes them to NULL; otherwise, it creates statistics for each of the 100 cells and initializes them to NULL.

```
IF not statsexists THEN
 -- column statistics don't exist; create entries for
 -- each of the 100 cells
 cnum := dbms_sql.open_cursor;
 FOR i in 1..100 LOOP
   stmt := 'INSERT INTO PowerCartUserStats VALUES( '
        || '''' || colname || ''', '
        || to_char(i) || ', '
        | 'NULL, NULL, NULL)';
   dbms_sql.parse(cnum, stmt, dbms_sql.native);
   junk := dbms_sql.execute(cnum);
 END LOOP;
 dbms_sql.close_cursor(cnum);
 -- column statistics exist; initialize to NULL
 cnum := dbms_sql.open_cursor;
 stmt := 'UPDATE PowerCartUserStats'
      |  ' WHERE tab = ' | col.TableName
      dbms_sql.parse(cnum, stmt, dbms_sql.native);
 junk := dbms_sql.execute(cnum);
 dbms_sql.close_cursor(cnum);
END IF;
```

The function collects statistics for the column by reading rows from the table that is being analyzed. This is done by constructing and executing a SQL statement.

```
-- For each cell position, the following statistics are collected:
-- maximum value
-- minimum value
-- number of rows (excluding NULLs)
cnum := dbms_sql.open_cursor;
FOR i in 1..100 LOOP
  FOR pdemands IN c2 LOOP
    IF i BETWEEN pdemands.sample.CellDemandValues.FIRST AND
                 pdemands.sample.CellDemandValues.LAST THEN
      cval := pdemands.sample.CellDemandValues(i);
      stmt := 'UPDATE PowerCartUserStats SET '
           || 'lo = least(' || 'NVL(' || to_char(cval) || ', lo), '
           || 'NVL(' || 'lo, ' || to_char(cval) || ')), '
           || 'hi = greatest(' || 'NVL(' || to_char(cval) || ', hi), '
           || 'NVL(' || 'hi, ' || to_char(cval) || ')), '
           || 'nrows = decode(nrows, NULL, decode('
           || to_char(cval) || ', NULL, NULL, 1), decode('
           || to_char(cval) || ', NULL, nrows, nrows+1))
           | | 'WHERE cpos = ' | | to_char(i)
           | ' AND tab = ''' | col.TableName | ''''
```

```
|| ' AND col = ''' || colname || '''';
      dbms_sql.parse(cnum, stmt, dbms_sql.native);
      junk := dbms_sql.execute(cnum);
   END IF;
 END LOOP;
END LOOP;
```

The function concludes by closing the cursor and returning a success status.

```
dbms_sql.close_cursor(cnum);
 rawstats := NULL;
 return ODCIConst.Success;
END;
```

### ODCIStatsDelete Method (for PowerDemand\_Typ columns)

The ODCIStatsDelete function deletes statistics of columns whose datatype is the PowerDemand\_Typ object type.

The function takes the column information as an object parameter whose type is SYS. ODCICOLINFO. The type attributes include the table name, column name, and so on.

```
STATIC FUNCTION ODCIStatsDelete(col sys.ODCIColInfo, env sys.ODCIEnv)
  RETURN NUMBER IS
  cnum INTEGER;
  stmt
                  VARCHAR2 (1000);
                  INTEGER;
  junk
  user_defined_stats PowerCartUserStats%ROWTYPE;
  CURSOR c1(tname VARCHAR2, cname VARCHAR2) IS
    SELECT * FROM PowerCartUserStats
    WHERE tab = tname
     AND col = cname;
BEGIN
 sys.ODCIColInfoDump(col);
 IF (col.TableSchema IS NULL OR col.TableName IS NULL
    OR col.ColName IS NULL) THEN
   RETURN ODCIConst.Error;
 END IF:
 dbms_output.put_line('ODCIStatsDelete>>>>');
 dbms_output.put_line('**** Analyzing (delete) column '
                    | col.TableSchema
                    | | '.' | | col. Table Name
                    | | '.' | | col.ColName);
```

The function verifies that statistics for the column exist by checking the statistics table. If statistics were not collected, then there is nothing to be done. If, however, statistics are present, it constructs and executes a SQL statement to delete the relevant rows from the statistics table.

```
-- Check if statistics exist for this column
FOR user_defined_stats IN c1(col.TableName, colname) LOOP
  statsexists := TRUE;
```

```
EXIT:
 END LOOP;
  -- If user-defined statistics exist, delete them
  IF statsexists THEN
    stmt := 'DELETE FROM PowerCartUserStats'
         || ' WHERE tab = ''' || col. Table Name || ''''
         || ' AND col = ''' || colname || '''';
    cnum := dbms_sql.open_cursor;
    dbms_output.put_line('ODCIStatsDelete>>>>');
    dbms_output.put_line('ODCIStatsDelete>>>>' | stmt);
    dbms_sql.parse(cnum, stmt, dbms_sql.native);
    junk := dbms_sql.execute(cnum);
   dbms_sql.close_cursor(cnum);
 END IF;
 RETURN ODCIConst.Success;
END;
```

### ODCIStatsCollect Method (for power idxtype Domain Indexes)

The ODCIStatsCollect function collects statistics for domain indexes whose indextype is power\_idxtype. In the power demand cartridge, this function simply analyzes the index-organized table that stores the index data.

The function takes the index information as an object parameter whose type is SYS.ODCIINDEXINFO. The type attributes include the index name, owner name, and so on. Options specified by the DBMS\_STATS package are used to collect the index statistics are also passed in as parameters. The output parameter rawstats is not used.

```
STATIC FUNCTION ODCIStatsCollect (ia sys.ODCIIndexInfo,
    options sys.ODCIStatsOptions, rawstats OUT RAW, env sys.ODCIEnv)
    RETURN NUMBER IS
    stmt
                        VARCHAR2 (1000);
BEGIN
   -- To analyze a domain index, analyze the table that implements the index
   sys.ODCIIndexInfoDump(ia);
   sys.ODCIStatsOptionsDump(options);
   stmt := 'dbms_stats.gather_table_stats('
        || '''' || ia.IndexSchema || ''', '
        || '''' || ia.IndexName || '_pidx' || ''');';
   dbms_output.put_line('**** Analyzing index '
        || ia.IndexSchema || '.' || ia.IndexName);
   dbms_output.put_line('SQL Statement: ' || stmt);
  EXECUTE IMMEDIATE 'BEGIN ' | stmt | ' END;';
   rawstats := NULL;
   RETURN ODCIConst.Success;
 END;
```

#### ODCIStatsDelete Method (for power\_idxtype Domain Indexes)

The ODCIStatsDelete function deletes statistics for domain indexes whose indextype is power\_idxtype. In the power demand cartridge, this function simply deletes the statistics of the index-organized table that stores the index data.

The function takes the index information as an object parameter whose type is SYS.ODCIINDEXINFO. The type attributes include the index name, owner name, and so on.

```
STATIC FUNCTION ODCIStatsDelete(ia sys.ODCIIndexInfo, env sys.ODCIEnv)
  RETURN NUMBER IS
  stmt.
                     VARCHAR2 (1000);
BEGIN
  -- To delete statistics for a domain index, delete the statistics for the
  -- table implementing the index
 sys.ODCIIndexInfoDump(ia);
 stmt := 'dbms_stats.delete_table_stats('
       | | '''' | | ia.IndexSchema | | ''', '
       || '''' || ia.IndexName || '_pidx' || ''');';
 dbms_output.put_line('**** Analyzing (delete) index '
                      | ia.IndexSchema | '.' | ia.IndexName);
 dbms_output.put_line('SQL Statement: ' || stmt);
 EXECUTE IMMEDIATE 'BEGIN ' | stmt | ' END;';
 RETURN ODCIConst.Success;
END;
```

### ODCIStatsSelectivity Method (for Specific Queries)

The first definition of the ODCIStatsSelectivity function estimates the selectivity of operator or function predicates for Specific queries. For example, if a query asks for all instances where cell (3,7) has a value equal to 25, the function estimates the percentage of rows in which the given cell has the specified value. (This definition of ODCIStatsSelectivity differs from the definition in the next section in that it includes the cell parameter for the position of the cell.)

The pred parameter contains the function information (the functional implementation of an operator in an operator predicate); this parameter is an object instance of type SYS.ODCIPREDINFO. The selectivity is returned as a percentage in the sel output parameter. The args parameter (an object instance of type SYS.ODCIARGDESCLIST) contains a descriptor for each argument of the function as well as the start and stop values of the function. For example, an argument might be a column in which case the argument descriptor will contain the table name, column name, and so forth. The strt and stop parameters are the lower and upper boundary points for the function return value. If the function in a predicate contains a literal of type PowerDemand\_ Typ, the object parameter will contain the value in the form of an object constructor. The cell parameter is the cell position and the value parameter is the value in the cell specified by the function (PowerXxxxxSpecific\_Func).

The selectivity is estimated by using a technique similar to that used for simple range predicates. For example, a simple estimate for the selectivity of a predicate like

```
c > v
```

is (M-v) / (M-m) where m and M are the minimum and maximum values, respectively, for the column c (as determined from the column statistics), provided the value v lies between m and M.

The get\_selectivity function computes the selectivity of a simple range predicate given the minimum and maximum values of the column in the predicate. It assumes

that the column values in the table are uniformly distributed between the minimum and maximum values.

```
CREATE FUNCTION get_selectivity(relop VARCHAR2, value NUMBER,
                                lo NUMBER, hi NUMBER, ndv NUMBER)
 RETURN NUMBER AS
 sel NUMBER := NULL;
 ndv NUMBER;
BEGIN
 -- This function computes the selectivity (as a percentage)
 -- of a predicate
                col <relop> <value>
 -- where <relop> is one of: =, !=, <, <=, >, >=
 -- <value> is one of: 0, 1
 \mbox{--} lo and hi are the minimum and maximum values of the column in
 -- the table. This function performs a simplistic estimation of the
  -- selectivity by assuming that the range of distinct values of
  -- the column is distributed uniformly in the range lo..hi and that
  -- each distinct value occurs nrows/(hi-lo+1) times (where nrows is
 -- the number of rows).
 IF ndv IS NULL OR ndv <= 0 THEN
   RETURN 0;
 END IF;
 -- col != <value>
 IF relop = '!=' THEN
   IF value between lo and hi THEN
      sel := 1 - 1/ndv;
   ELSE
     sel := 1;
   END IF;
  -- col = <value>
 ELSIF relop = '=' THEN
   IF value between lo and hi THEN
     sel := 1/ndv;
   ELSE
     sel := 0;
   END IF;
  -- col >= <value>
 ELSIF relop = '>=' THEN
   IF lo = hi THEN
     IF value <= lo THEN
       sel := 1;
     ELSE
       sel := 0;
     END IF;
   ELSIF value between lo and hi THEN
     sel := (hi-value)/(hi-lo) + 1/ndv;
   ELSIF value < lo THEN
     sel := 1;
   ELSE
      sel := 0;
   END IF;
  -- col < <value>
 ELSIF relop = '<' THEN
   IF lo = hi THEN
      IF value > lo THEN
```

```
sel := 1;
      ELSE
       sel := 0;
      END IF;
    ELSIF value between lo and hi THEN
     sel := (value-lo)/(hi-lo);
    ELSIF value < lo THEN
     sel := 0;
    ELSE
     sel := 1;
    END IF;
  -- col <= <value>
  ELSIF relop = '<=' THEN
   IF lo = hi THEN
     IF value >= lo THEN
       sel := 1;
     ELSE
       sel := 0;
     END IF;
    ELSIF value between lo and hi THEN
     sel := (value-lo)/(hi-lo) + 1/ndv;
    ELSIF value < lo THEN
     sel := 0;
    ELSE
     sel := 1;
    END IF;
  -- col > <value>
  ELSIF relop = '>' THEN
    IF lo = hi THEN
     IF value < lo THEN
       sel := 1;
     ELSE
       sel := 0;
     END IF;
    ELSIF value between lo and hi THEN
     sel := (hi-value)/(hi-lo);
    ELSIF value < lo THEN
     sel := 1;
    ELSE
     sel := 0;
   END IF:
  END IF;
  RETURN least(100, ceil(100*sel));
END:
```

The ODCIStatsSelectivity function estimates the selectivity for function predicates which have constant start and stop values. Further, the first argument of the function in the predicate must be a column of type PowerDemand\_Typ and the remaining arguments must be constants.

```
STATIC FUNCTION ODCIStatsSelectivity(pred sys.ODCIPredInfo,
  sel OUT NUMBER, args sys.ODCIArgDescList, strt NUMBER, stop NUMBER,
  object PowerDemand_Typ, cell NUMBER, value NUMBER, env sys.ODCIEnv)
  RETURN NUMBER IS
```

```
varchar2(30);
   fname
   relop
                      varchar2(2);
                     NUMBER;
   10
  hi NUMBER;
nrows NUMBER;
colname VARCHAR2(30);
statsexists BOOLEAN := FALSE;
stats PowerCartUserStats%ROWTYPE;
   CURSOR c1(cell NUMBER, tname VARCHAR2, cname VARCHAR2) IS
     SELECT * FROM PowerCartUserStats
     WHERE cpos = cell
      AND tab = tname
       AND col = cname;
BEGIN
  -- compute selectivity only when predicate is of the form:
          fn(col, <cell>, <value>) <relop> <val>
  -- In all other cases, return an error and let the optimizer
  -- make a guess. We also assume that the function "fn" has
  -- a return value of 0, 1, or NULL.
  -- start value
  IF (args(1).ArgType != ODCIConst.ArgLit AND
      args(1).ArgType != ODCIConst.ArgNull) THEN
    RETURN ODCIConst.Error;
  END IF:
  -- stop value
  IF (args(2).ArgType != ODCIConst.ArgLit AND
      args(2).ArgType != ODCIConst.ArgNull) THEN
    RETURN ODCIConst.Error;
  END IF:
  -- first argument of function
  IF (args(3).ArgType != ODCIConst.ArgCol) THEN
   RETURN ODCIConst.Error;
  END IF;
  -- second argument of function
  IF (args(4).ArgType != ODCIConst.ArgLit AND
      args(4).ArgType != ODCIConst.ArgNull) THEN
    RETURN ODCIConst.Error;
  END IF;
  -- third argument of function
  IF (args(5).ArgType != ODCIConst.ArgLit AND
      args(5).ArgType != ODCIConst.ArgNull) THEN
    RETURN ODCIConst.Error;
  END IF;
  colname := rtrim(ltrim(args(3).colName, '"'), '"');
```

The first (column) argument of the function in the predicate must have statistics collected for it. If statistics have not been collected, ODCIStatsSelectivity returns an error status.

```
-- Check if the statistics table exists (we are using a
-- user-defined table to store the user-defined statistics).
-- Get user-defined statistics: MIN, MAX, NROWS
FOR stats IN c1(cell, args(3).TableName, colname) LOOP
  -- Get user-defined statistics: MIN, MAX, NROWS
```

```
lo := stats.lo;
 hi := stats.hi;
 nrows := stats.nrows;
 statsexists := TRUE;
 EXIT;
END LOOP;
-- If no user-defined statistics were collected, return error
IF not statsexists THEN
 RETURN ODCIConst.Error;
END IF;
```

Each Specific function predicate corresponds to an equivalent range predicate. For example, the predicate:

```
Power_EqualsSpecific_Func(col, 21, 25) = 0
```

which checks that the reading in cell 21 is not equal to 25, corresponds to the equivalent range predicate:

```
col[21] != 25
```

The ODCIStatsSelectivity function finds the corresponding range predicates for each Specific function predicate. There are several boundary cases where the selectivity can be immediately determined.

```
-- selectivity is 0 for "fn(col, <cell>, <value>) < 0"
IF (stop = 0 AND)
   bitand(pred.Flags, ODCIConst.PredIncludeStop) = 0) THEN
 sel := 0;
 RETURN ODCIConst.Success;
END IF;
-- selectivity is 0 for "fn(col, <cell>, <value>) > 1"
IF (strt = 1 AND
   bitand(pred.Flags, ODCIConst.PredIncludeStart) = 0) THEN
 sel := 0:
 RETURN ODCIConst.Success;
END IF;
-- selectivity is 100% for "fn(col, <cell>, <value>) >= 0"
IF (strt = 0 AND
   bitand(pred.Flags, ODCIConst.PredExactMatch) = 0 AND
   bitand(pred.Flags, ODCIConst.PredIncludeStart) > 0) THEN
 sel := 100;
 RETURN ODCIConst.Success;
END IF;
-- selectivity is 100% for "fn(col, <cell>, <value>) <= 1"
IF (stop = 1 AND)
   bitand(pred.Flags, ODCIConst.PredExactMatch) = 0 AND
   bitand(pred.Flags, ODCIConst.PredIncludeStop) > 0) THEN
 sel := 100;
 RETURN ODCIConst.Success;
END IF:
-- get function name
IF bitand(pred.Flags, ODCIConst.PredObjectFunc) > 0 THEN
 fname := pred.ObjectName;
 fname := pred.MethodName;
```

```
END IF:
-- convert prefix relational operator to infix:
-- "Power_EqualsSpecific_Func(col, <cell>, <value>) = 1"
-- becomes "col[<cell>] = <value>"
-- Power_EqualsSpecific_Func(col, <cell>, <value>) = 0
-- Power_EqualsSpecific_Func(col, <cell>, <value>) <= 0
-- Power_EqualsSpecific_Func(col, <cell>, <value>) < 1
-- can be transformed to
-- col[<cell>] != <value>
IF (fname LIKE upper('Power_Equals%') AND
    (stop = 0 OR)
     (stop = 1 AND)
     bitand(pred.Flags, ODCIConst.PredIncludeStop) = 0))) THEN
 relop := '!=';
-- Power LessThanSpecific Func(col, <cell>, <value>) = 0
-- Power_LessThanSpecific_Func(col, <cell>, <value>) <= 0
-- Power_LessThanSpecific_Func(col, <cell>, <value>) < 1
-- can be transformed to
-- col[<cell>] >= <value>
ELSIF (fname LIKE upper('Power_LessThan%') AND
       (stop = 0 OR)
       (stop = 1 AND)
        bitand(pred.Flags, ODCIConst.PredIncludeStop) = 0))) THEN
  relop := '>=';
   Power_GreaterThanSpecific_Func(col, <cell>, <value>) = 0
-- Power_GreaterThanSpecific_Func(col, <cell>, <value>) <= 0
-- Power_GreaterThanSpecific_Func(col, <cell>, <value>) < 1
-- can be transformed to
-- col[<cell>] <= <value>
ELSIF (fname LIKE upper('Power_GreaterThan%') AND
       (stop = 0 OR)
       (stop = 1 AND)
        bitand(pred.Flags, ODCIConst.PredIncludeStop) = 0))) THEN
 relop := '<=';
-- Power_EqualsSpecific_Func(col, <cell>, <value>) = 1
-- Power_EqualsSpecific_Func(col, <cell>, <value>) >= 1
-- Power_EqualsSpecific_Func(col, <cell>, <value>) > 0
-- can be transformed to
-- col[<cell>] = <value>
ELSIF (fname LIKE upper('Power_Equals%') AND
       (strt = 1 OR)
        (strt = 0 AND)
        bitand(pred.Flags, ODCIConst.PredIncludeStart) = 0))) THEN
 relop := '=';
-- Power_LessThanSpecific_Func(col, <cell>, <value>) = 1
-- Power_LessThanSpecific_Func(col, <cell>, <value>) >= 1
-- Power_LessThanSpecific_Func(col, <cell>, <value>) > 0
-- can be transformed to
   col[<cell>] < <value>
ELSIF (fname LIKE upper('Power_LessThan%') AND
       (strt = 1 OR)
        (strt = 0 AND)
        bitand(pred.Flags, ODCIConst.PredIncludeStart) = 0))) THEN
 relop := '<';
```

```
Power_GreaterThanSpecific_Func(col, <cell>, <value>) = 1
-- Power_GreaterThanSpecific_Func(col, <cell>, <value>) >= 1
-- Power_GreaterThanSpecific_Func(col, <cell>, <value>) > 0
-- can be transformed to
-- col[<cell>] > <value>
ELSIF (fname LIKE upper('Power_GreaterThan%') AND
      (strt = 1 OR)
       (strt = 0 AND)
        bitand(pred.Flags, ODCIConst.PredIncludeStart) = 0))) THEN
  relop := '>':
ELSE
 RETURN ODCIConst.Error;
END IF:
```

After the Specific function predicate is transformed into a simple range predicate, ODCIStatsSelectivity calls get\_selectivity to compute the selectivity for the range predicate (and thus, equivalently, for the Specific function predicate). It returns with a success status.

```
sel := get_selectivity(relop, value, lo, hi, nrows);
 RETURN ODCIConst.Success;
END;
```

#### ODCIStatsIndexCost Method (for Specific Queries)

The first definition of the ODCIStatsIndexCost function estimates the cost of the domain index for Specific queries. For example, if a query asks for all instances where cell (3,7) has a value equal to 25, the function estimates the cost of the domain index access path to evaluate this query. (This definition of ODCIStatsIndexCost differs from the definition in the next section in that it includes the emppos parameter for the position of the cell.)

The ia parameter contains the index information (an object instance of type SYS.ODCIINDEXINFO). The sel parameter is the selectivity of the operator predicate as estimated by the ODCIStatsSelectivity function for Specific queries. The estimated cost is returned in the cost output parameter. The qi parameter contains some information about the query and its environment (for example, whether the ALL\_ROWS or FIRST\_ROWS optimizer mode is being used). The pred parameter contains the operator information (an object instance of type SYS.ODCIPREDINFO). The args parameter contains descriptors of the value arguments of the operator as well as the start and stop values of the operator. The strt and stop parameters are the lower and upper boundary points for the operator return value. The cmppos parameter is the cell position and cmpval is the value in the cell specified by the operator (Power\_XxxxxSpecific).

In the power demand cartridge, the domain index cost for Specific queries is the same as the domain index cost for Any queries, so this version of the ODCIStatsIndexCost function simply calls the second definition of the function (described in the next section).

```
STATIC FUNCTION ODCIStatsIndexCost(ia sys.ODCIIndexInfo,
  sel NUMBER, cost OUT sys.ODCICost, qi sys.ODCIQueryInfo,
  pred sys.ODCIPredInfo, args sys.ODCIArgDescList,
  strt NUMBER, stop NUMBER, cmppos NUMBER, cmpval NUMBER, env sys.ODCIEnv)
  RETURN NUMBER IS
BEGIN
```

```
-- This is the cost for queries on a specific cell; simply
 -- use the cost for queries on any cell.
 RETURN ODCIStatsIndexCost(ia, sel, cost, qi, pred, args,
                           strt, stop, cmpval, env);
END;
```

#### ODCIStatsIndexCost Method (for Any Queries)

The second definition of the ODCIStatsIndexCost function estimates the cost of the domain index for Any queries. For example, if a query asks for all instances where any cell has a value equal to 25, the function estimates the cost of the domain index access path to evaluate this query. (This definition of ODCIStatsIndexCost differs from the definition in the preceding section in that it does not include the cmppos parameter.)

The ia parameter contains the index information (an object instance of type SYS. ODCIINDEXINFO). The sel parameter is the selectivity of the operator predicate as estimated by the ODCIStatsSelectivity function for Any queries. The estimated cost is returned in the cost output parameter. The qi parameter contains some information about the query and its environment (for example, whether the ALL\_ROWS or FIRST\_ROWS optimizer mode is being used). The pred parameter contains the operator information (an object instance of type SYS.ODCIPREDINFO). The args parameter contains descriptors of the value arguments of the operator as well as the start and stop values of the operator. The strt and stop parameters are the lower and upper boundary points for the operator return value. The cmpval parameter is the value in the cell specified by the operator (Power\_XxxxxAny).

The index cost is estimated as the number of blocks in the index-organized table implementing the index multiplied by the selectivity of the operator predicate times a constant factor.

```
STATIC FUNCTION ODCIStatsIndexCost(ia sys.ODCIIndexInfo,
  sel NUMBER, cost OUT sys.ODCICost, qi sys.ODCIQueryInfo,
  pred sys.ODCIPredInfo, args sys.ODCIArgDescList,
  strt NUMBER, stop NUMBER, cmpval NUMBER, env sys.ODCIEnv)
  RETURN NUMBER IS
  ixtable VARCHAR2(40);
  numblocks
                    NUMBER := NULL;
                user_tables%ROWTYPE;
  get_table
  CURSOR c1(tab VARCHAR2) IS
    SELECT * FROM user_tables WHERE table_name = tab;
BEGIN
 -- This is the cost for queries on any cell.
  -- To compute the cost of a domain index, multiply the
  -- number of blocks in the table implementing the index
  -- with the selectivity
 -- Return if we don't have predicate selectivity
 IF sel IS NULL THEN
   RETURN ODCIConst.Error;
 END IF:
 cost := sys.ODCICost(NULL, NULL, NULL, NULL);
  -- Get name of table implementing the domain index
 ixtable := ia.IndexName | '_pidx';
  -- Get number of blocks in domain index
 FOR get_table IN c1(upper(ixtable)) LOOP
   numblocks := get_table.blocks;
```

```
EXIT:
 END LOOP;
 IF numblocks IS NULL THEN
   -- Exit if there are no user-defined statistics for the index
   RETURN ODCIConst.Error;
 END IF:
 cost.CPUCost := ceil(400*(sel/100)*numblocks);
 cost.IOCost := ceil(1.5*(sel/100)*numblocks);
 RETURN ODCIConst.Success;
END;
```

#### ODCIStatsFunctionCost Method

The ODCIStatsFunctionCost function estimates the cost of evaluating a function (Power\_XxxxxSpecific\_Func or Power\_XxxxxAny\_Func).

The func parameter contains the function information; this parameter is an object instance of type SYS.ODCIFUNCINFO. The estimated cost is returned in the output cost parameter. The args parameter (an object instance of type SYS. ODCIARGDESCLIST) contains a descriptor for each argument of the function. If the function contains a literal of type PowerDemand\_Typ as its first argument, the object parameter will contain the value in the form of an object constructor. The value parameter is the value in the cell specified by the function (PowerXxxxxSpecific\_Func or Power\_XxxxxAny\_Func).

The function cost is simply estimated as some default value depending on the function name. Since the functions don't read any data from disk, the I/O cost is set to zero.

```
STATIC FUNCTION ODCIStatsFunctionCost(func sys.ODCIFuncInfo,
  cost OUT sys.ODCICost, args sys.ODCIArgDescList,
  object PowerDemand_Typ, value NUMBER, env sys.ODCIEnv)
  RETURN NUMBER IS
                      VARCHAR2(30);
BEGIN
 cost := sys.ODCICost(NULL, NULL, NULL, NULL);
  -- Get function name
 IF bitand(func.Flags, ODCIConst.ObjectFunc) > 0 THEN
   fname := func.ObjectName;
   fname := func.MethodName;
 END IF;
 IF fname LIKE upper('Power_LessThan%') THEN
   cost.CPUCost := 5000;
   cost.IOCost := 0;
   RETURN ODCIConst.Success;
 ELSIF fname LIKE upper('Power_Equals%') THEN
   cost.CPUCost := 7000;
   cost.IOCost := 0;
   RETURN ODCIConst.Success;
 ELSIF fname LIKE upper ('Power_GreaterThan%') THEN
   cost.CPUCost := 5000;
   cost.IOCost := 0:
   RETURN ODCIConst.Success:
   RETURN ODCIConst.Error;
 END IF:
END;
```

### Associating the Extensible Optimizer Methods with Database Objects

In order for the optimizer to use the methods defined in the power\_statistics object type, they have to be associated with the appropriate database objects. The following statements do this.

```
-- Associate statistics type with types, indextypes, and functions
ASSOCIATE STATISTICS WITH TYPES PowerDemand_Typ USING power_statistics;
ASSOCIATE STATISTICS WITH INDEXTYPES power_idxtype USING power_statistics;
ASSOCIATE STATISTICS WITH FUNCTIONS
 Power_EqualsSpecific_Func,
 Power_GreaterThanSpecific_Func,
 Power_LessThanSpecific_Func,
 Power_EqualsAny_Func,
 Power_GreaterThanAny_Func,
 Power_LessThanAny_Func
 USING power_statistics;
```

### Analyzing the Database Objects

Analyzing tables, columns, and indexes ensures that the optimizer has the relevant statistics to estimate accurate costs for various access paths and choose a good plan. Further, the selectivity and cost functions defined in the power\_statistics object type rely on the presence of statistics. The following statements analyze the database objects and verify that statistics were indeed collected.

```
-- Analyze the table
EXECUTE dbms_stats.gather_table_stats(
    'POWERCARTUSER', 'POWERDEMAND_TAB', cascade => TRUE);
-- Verify that user-defined statistics were collected
SELECT tab tablename, col colname, cpos, lo, hi, nrows
FROM PowerCartUserStats
WHERE nrows IS NOT NULL
ORDER BY cpos;
-- Delete the statistics
EXECUTE dbms_stats.delete_table_stats('POWERCARTUSER', 'POWERDEMAND_TAB');
-- Verify that user-defined statistics were deleted
SELECT tab tablename, col colname, cpos, lo, hi, nrows
FROM PowerCartUserStats
WHERE nrows IS NOT NULL
ORDER BY cpos;
-- Re-analyze the table
EXECUTE dbms_stats.gather_table_stats(
   'POWERCARTUSER', 'POWERDEMAND_TAB', cascade => TRUE);
-- Verify that user-defined statistics were re-collected
SELECT tab tablename, col colname, cpos, lo, hi, nrows
FROM PowerCartUserStats
WHERE nrows IS NOT NULL
ORDER BY cpos;
```

## Testing the Domain Index

This section explains the parts of the power demand example that perform some simple tests of the domain index. These tests consist of:

- Creating the power demand table (PowerDemand\_Tab) and populating it with a small amount of data
- Executing some queries before the index is created (and showing the execution plans without an index being used)

The execution plans show that a full table scan is performed in each case.

- Creating the index on the grid
- Executing the same queries after the index is created (and showing the execution plans with the index being used)

The execution plans show that Oracle is using the index and not performing full table scans, thus resulting in more efficient execution.

The statements in this section are available online in the example file (tkqxpwr.sql).

### Creating and Populating the Power Demand Table

The power demand table is created with two columns:

- region, to allow the electric utility to use the grid scheme in multiple areas or states. Each region (for example, New York, New Jersey, Pennsylvania, and so on) is represented by a 10x10 grid.
- sample, a collection of samplings (power demand readings from each cell in the grid), defined using the PowerDemand\_Typ object type.

```
CREATE TABLE PowerDemand_Tab (
  -- Region for which these power demand readings apply
  region NUMBER,
  -- Values for each "sampling" time (for a given hour)
 sample PowerDemand_Typ
);
```

Several rows are inserted, representing power demand data for two regions (1 and 2) for several hourly timestamps. For simplicity, values are inserted only into the first 5 positions of each grid (the remaining 95 values are set to null).

```
-- The next INSERT statements "cheat" by supplying
-- only 5 grid values (instead of 100).
-- First 5 INSERT statements are for region 1 (1 AM to 5 AM on
-- 01-Feb-1998).
INSERT INTO PowerDemand_Tab VALUES(1,
  PowerDemand_Typ(NULL, NULL, NULL, PowerGrid_Typ(55,8,13,9,5),
   to_date('02-01-1998 01','MM-DD-YYYY HH'))
);
INSERT INTO PowerDemand_Tab VALUES(1,
  PowerDemand_Typ(NULL, NULL, NULL, PowerGrid_Typ(56,8,13,9,3),
  to_date('02-01-1998 02','MM-DD-YYYY HH'))
):
INSERT INTO PowerDemand Tab VALUES(1,
  PowerDemand_Typ(NULL, NULL, NULL, PowerGrid_Typ(55,8,13,9,3),
  to_date('02-01-1998 03','MM-DD-YYYY HH'))
);
INSERT INTO PowerDemand_Tab VALUES(1,
  PowerDemand_Typ(NULL, NULL, NULL, PowerGrid_Typ(54,8,13,9,3),
```

```
to_date('02-01-1998 04','MM-DD-YYYY HH'))
);
INSERT INTO PowerDemand_Tab VALUES(1,
  PowerDemand_Typ(NULL, NULL, NULL, PowerGrid_Typ(54,8,12,9,3),
   to_date('02-01-1998 05','MM-DD-YYYY HH'))
) :
-- Also insert some rows for region 2.
INSERT INTO PowerDemand_Tab VALUES(2,
  PowerDemand_Typ(NULL, NULL, NULL, PowerGrid_Typ(9,8,11,16,5),
  to_date('02-01-1998 01','MM-DD-YYYY HH'))
);
INSERT INTO PowerDemand_Tab VALUES(2,
  PowerDemand_Typ(NULL, NULL, NULL, PowerGrid_Typ(9,8,11,20,5),
   to_date('02-01-1998 02','MM-DD-YYYY HH'))
) :
```

Finally, the values for TotGridDemand, MaxCellDemand, and MinCellDemand are computed and set for each of the newly inserted rows, and these values are displayed.

```
DECLARE
CURSOR c1 IS SELECT Sample, Region FROM PowerDemand_Tab FOR UPDATE;
s PowerDemand_Typ;
r NUMBER;
BEGIN
 OPEN c1;
 LOOP
    FETCH c1 INTO s,r;
     EXIT WHEN c1%NOTFOUND;
     s.SetTotalDemand;
     s.SetMaxDemand;
     s.SetMinDemand;
     dbms_output.put_line(s.TotGridDemand);
     dbms_output.put_line(s.MaxCellDemand);
     dbms_output.put_line(s.MinCellDemand);
    UPDATE PowerDemand_Tab SET Sample = s WHERE CURRENT OF c1;
 END LOOP:
 CLOSE c1;
END;
-- Examine the values.
SELECT region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
   P.Sample.MinCellDemand,
   to_char(P.sample.sampletime, 'MM-DD-YYYY HH')
FROM PowerDemand_Tab P;
```

## Querying Without the Index

The queries is this section are executed by applying the underlying function (PowerEqualsSpecific\_Func) for every row in the table, because the index has not yet been defined.

The example file includes queries that check, both for a specific cell number and for any cell number, for values equal to, greater than, and less than a specified value. For example, the equality queries are as follows:

```
SET SERVEROUTPUT ON
```

```
-- Query, referencing the operators (without index)
_____
explain plan for
SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    P.Sample.MinCellDemand
  FROM PowerDemand_Tab P
  WHERE Power_Equals(P.Sample,2,10) = 1;
@tkoqxp11
SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    P.Sample.MinCellDemand
  FROM PowerDemand_Tab P
  WHERE Power_Equals(P.Sample,2,10) = 1;
explain plan for
SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    P.Sample.MinCellDemand
  FROM PowerDemand_Tab P
  WHERE Power_Equals(P.Sample,1,25) = 1;
@tkoqxp11
SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    P.Sample.MinCellDemand
  FROM PowerDemand_Tab P
  WHERE Power_Equals(P.Sample,1,25) = 1;
explain plan for
SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    P.Sample.MinCellDemand
  FROM PowerDemand_Tab P
  WHERE Power_Equals(P.Sample, 2, 8) = 1;
@tkoqxp11
SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    P.Sample.MinCellDemand
  FROM PowerDemand_Tab P
  WHERE Power_Equals(P.Sample,2,8) = 1;
explain plan for
SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    P.Sample.MinCellDemand
  FROM PowerDemand_Tab P
  WHERE Power_EqualsAny(P.Sample,9) = 1;
@tkoqxp11
SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    P.Sample.MinCellDemand
  FROM PowerDemand_Tab P
  WHERE Power_EqualsAny(P.Sample,9) = 1;
```

#### The execution plans show that a full table scan is performed in each case:

OPERATIONS	OPTIONS	OBJECT_NAME
SELECT STATEMEN		
TABLE ACCESS	FULL	POWERDEMAND_TAB

### Creating the Index

The index is created on the sample column in the power demand table.

```
CREATE INDEX PowerIndex ON PowerDemand_Tab(Sample)
   INDEXTYPE IS power_idxtype;
```

### Querying with the Index

The queries in this section are the same as those in "Querying Without the Index" on page 15-47, but this time the index is used.

The execution plans show that Oracle is using the domain index and not performing full table scans, thus resulting in more efficient execution. For example:

```
SOLPLUS> -----
SQLPLUS> -- Query, referencing the operators (with index)
SOLPLUS> -----
SOLPLUS> explain plan for
    2> SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    3> P.Sample.MinCellDemand
    4> FROM PowerDemand_Tab P
    5> WHERE Power_Equals(P.Sample,2,10) = 1;
Statement processed.
SOLPLUS> @tkogxpl1
SQLPLUS> set echo off
Echo
                          OFF
Charwidth
                          15
OPERATIONS OPTIONS OBJECT_NAME
-----
SELECT STATEMEN
TABLE ACCESS BY ROWID POWERDEMAND_TAB
DOMAIN INDEX POWERINDEX
3 rows selected.
Statement processed.
Echo
                           ON
SOLPLUS>
SQLPLUS> SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    2> P.Sample.MinCellDemand
    3> FROM PowerDemand_Tab P
   4> WHERE Power_Equals(P.Sample,2,10) = 1;
REGION SAMPLE.TOT SAMPLE.MAX SAMPLE.MIN
O rows selected.
ODCIIndexStart>>>>
ODCIIndexInfo
Index owner: POWERCARTUSER
Index name : POWERINDEX
Table owner : POWERCARTUSER
Table name : POWERDEMAND_TAB
Indexed column : "SAMPLE"
Indexed column type : POWERDEMAND_TYP
Indexed column type schema: POWERCARTUSER
ODCIPredInfo
Object owner: POWERCARTUSER
Object name : POWER_EQUALS
Method name :
Predicate bounds flag:
   Exact Match
    Include Start Key
    Include Stop Key
```

```
start key: 1
stop key: 1
compare position : 2
compare value : 10
ODCIIndexStart>>>>select r from POWERCARTUSER.POWERINDEX_pidx where cpos ='2' and
cval ='10'
ODCIIndexFetch>>>>
Nrows : 2000
ODCIIndexClose>>>>
SQLPLUS>
SQLPLUS> explain plan for
    2> SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    3> P.Sample.MinCellDemand
        FROM PowerDemand_Tab P
    4>
    5> WHERE Power_Equals(P.Sample,2,8) = 1;
Statement processed.
SQLPLUS> @tkoqxpl1
SOLPLUS> set echo off
                           OFF
Echo
                            15
Charwidth
OPERATIONS OPTIONS OBJECT_NAME
_______
SELECT STATEMEN
TABLE ACCESS BY ROWID POWERDEMAND_TAB
DOMAIN INDEX
                           POWERINDEX
3 rows selected.
Statement processed.
Echo
                             ON
SOLPLUS>
SQLPLUS> SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    2> P.Sample.MinCellDemand
    3> FROM PowerDemand_Tab P
   4> WHERE Power_Equals(P.Sample,2,8) = 1;
REGION SAMPLE.TOT SAMPLE.MAX SAMPLE.MIN
-----
       1 90 55
1 89 56
1 88 55
1 87 54
1 86 54
2 49 16
                                     3
                                    3
                        54
54
16
20
                                    3
                                    3
                                    5
        2
               53
7 rows selected.
ODCIIndexStart>>>>
ODCIIndexInfo
Index owner : POWERCARTUSER
Index name : POWERINDEX
Table owner : POWERCARTUSER
Table name : POWERDEMAND_TAB
Indexed column : "SAMPLE"
Indexed column type :POWERDEMAND_TYP
Indexed column type schema: POWERCARTUSER
ODCIPredInfo
Object owner: POWERCARTUSER
Object name : POWER_EQUALS
Method name :
Predicate bounds flag:
    Exact Match
    Include Start Key
    Include Stop Key
```

```
start key: 1
stop key: 1
compare position : 2
compare value : 8
ODCIIndexStart>>>>select r from POWERCARTUSER.POWERINDEX_pidx where cpos ='2' and
cval ='8'
ODCIIndexFetch>>>>
Nrows : 2000
ODCIIndexClose>>>>
SQLPLUS>
SQLPLUS> explain plan for
    2> SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    3> P.Sample.MinCellDemand
    4>
       FROM PowerDemand_Tab P
    5> WHERE Power_EqualsAny(P.Sample,9) = 1;
Statement processed.
SQLPLUS> @tkoqxpl1
SOLPLUS> set echo off
                          OFF
Echo
                           15
Charwidth
OPERATIONS OPTIONS OBJECT_NAME
______
SELECT STATEMEN
TABLE ACCESS BY ROWID POWERDEMAND_TAB
DOMAIN INDEX
                          POWERINDEX
3 rows selected.
Statement processed.
Echo
                            ON
SQLPLUS>
SQLPLUS> SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
       P.Sample.MinCellDemand
    2.>
    3> FROM PowerDemand_Tab P
    4> WHERE Power_EqualsAny(P.Sample,9) = 1;
REGION
        SAMPLE.TOT SAMPLE.MAX SAMPLE.MIN
-----
            90
89
              90 55
89 56
88 55
87 54
       1
       1
                                     3
       1
                                    3
       1
                                   3
       1
                       54
              86
                                   3
       2
               49
                        16
                                   5
       2
               53
                         20
7 rows selected.
ODCIIndexStart>>>>
ODCIIndexInfo
Index owner : POWERCARTUSER
Index name : POWERINDEX
Table owner : POWERCARTUSER
Table name : POWERDEMAND_TAB
Indexed column : "SAMPLE"
Indexed column type :POWERDEMAND_TYP
Indexed column type schema: POWERCARTUSER
ODCIPredInfo
Object owner: POWERCARTUSER
Object name : POWER_EQUALSANY
Method name :
Predicate bounds flag:
    Exact Match
    Include Start Key
    Include Stop Key
```

```
start key: 1
stop key: 1
compare value : 9
ODCIIndexStart>>>>select distinct r from POWERCARTUSER.POWERINDEX_pidx where cval
= '9'
ODCIIndexFetch>>>>
Nrows : 2000
ODCIIndexClose>>>>
SQLPLUS>
SQLPLUS> explain plan for
     2> SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
         P.Sample.MinCellDemand
         FROM PowerDemand_Tab P
     4>
     5>
        WHERE Power_GreaterThanAny(P.Sample,50) = 1;
Statement processed.
SQLPLUS> @tkoqxpl1
SQLPLUS> set echo off
Echo
Charwidth
                              15
OPERATIONS OPTIONS OBJECT_NAME
_______
SELECT STATEMEN
TABLE ACCESS BY ROWID
                          POWERDEMAND_TAB
                              POWERINDEX
DOMAIN INDEX
3 rows selected.
Statement processed.
Echo
                                ON
SQLPLUS>
SQLPLUS> SELECT P.Region, P.Sample.TotGridDemand, P.Sample.MaxCellDemand,
    2> P.Sample.MinCellDemand
     3> FROM PowerDemand_Tab P
     4> WHERE Power_GreaterThanAny(P.Sample,50) = 1;
REGION SAMPLE.TOT SAMPLE.MAX SAMPLE.MIN
______

    1
    90
    55
    5

    1
    89
    56
    3

    1
    88
    55
    3

    1
    87
    54
    3

    1
    86
    54
    3

5 rows selected.
ODCIIndexStart>>>>
ODCIIndexInfo
Index owner : POWERCARTUSER
Index name : POWERINDEX
Table owner : POWERCARTUSER
Table name : POWERDEMAND_TAB
Indexed column : "SAMPLE"
Indexed column type : POWERDEMAND_TYP
Indexed column type schema: POWERCARTUSER
ODCIPredInfo
Object owner : POWERCARTUSER
Object name : POWER_GREATERTHANANY
Method name :
Predicate bounds flag:
    Exact Match
     Include Start Key
     Include Stop Key
start key: 1
stop key: 1
compare value : 50
```

```
ODCIIndexStart>>>>select distinct r from POWERCARTUSER.POWERINDEX_pidx where cv
al > '50'
ODCIIndexFetch>>>>
Nrows : 2000
ODCIIndexClose>>>>
SOLPLUS>
SQLPLUS> explain plan for
    2> SELECT P.Region, P.Sample.TotGridDemand , P.Sample.MaxCellDemand,
    3> P.Sample.MinCellDemand
        FROM PowerDemand_Tab P
    4>
    5>
         WHERE Power_LessThanAny(P.Sample,50) = 0;
Statement processed.
SQLPLUS> @tkogxpl1
SQLPLUS> set echo off
Echo
                             OFF
Charwidth
                             15
OPERATIONS OPTIONS
                            OBJECT_NAME
SELECT STATEMEN
TABLE ACCESS BY ROWID POWERDEMAND_TAB
DOMAIN INDEX
                              POWERINDEX
3 rows selected.
Statement processed.
Echo
                              ON
SQLPLUS>
SQLPLUS> SELECT P.Region, P.Sample.TotGridDemand , P.Sample.MaxCellDemand,
    2> P.Sample.MinCellDemand
    3> FROM PowerDemand_Tab P
    4> WHERE Power_LessThanAny(P.Sample,50) = 0;
REGION SAMPLE.TOT SAMPLE.MAX SAMPLE.MIN
______
0 rows selected.
ODCIIndexStart>>>>
ODCIIndexInfo
Index owner : POWERCARTUSER
Index name : POWERINDEX
Table owner : POWERCARTUSER
Table name : POWERDEMAND_TAB
Indexed column : "SAMPLE"
Indexed column type : POWERDEMAND_TYP
Indexed column type schema: POWERCARTUSER
ODCIPredInfo
Object owner: POWERCARTUSER
Object name : POWER_LESSTHANANY
Method name :
Predicate bounds flag:
    Exact Match
    Include Start Key
    Include Stop Key
start key : 0
stop key: 0
compare value : 50
ODCIIndexStart>>>>select distinct r from POWERCARTUSER.POWERINDEX_pidx minus se
lect distinct r from POWERCARTUSER.POWERINDEX_pidx where cval <'50'
ODCIIndexFetch>>>>
Nrows : 2000
ODCIIndexClose>>>>
```

# **PSBTREE: Extensible Indexing Example**

This chapter presents an extensible indexing example in which some of the ODCIIndex interface routines are implemented in C.

This chapter contains these topics:

- Introduction to the PSBTREE Example
- Design of the Indextype
- **Implementing Operators**
- Implementing the Index Routines
- The C Code
- Implementing the Indextype
- **Usage Examples**

## Introduction to the PSBTREE Example

The example in this chapter gives a general illustration of how to implement the extensible indexing interface routines in C. The example tries to concentrate on topics that are common to all implementations and glosses over domain-specific details.

The code for the example is in the demo directory (see file extdemo5.sql). It extends an earlier example (extdemo2.sq1, also in demo directory) by adding to the indextype support for local domain indexes on range partitioned tables.

## **Design of the Indextype**

The indextype implemented here, called PSBtree, operates like a btree index. It supports three user-defined operators:

- gt (Greater Than)
- 1t(Less Than)
- eq(EQuals)

These operators operate on operands of VARCHAR2 datatype.

The index data consists of records of the form <key, rid> where key is the value of the indexed column and rid is the row identifier of the corresponding row. To simplify the implementation of the indextype, the index data is stored in an index-organized table.

When an index is a local domain index, one index-organized table is created for each partition to store the index data for that partition. Thus, the index manipulation routines merely translate operations on the PSBtree into operations on the table storing the index data.

When a user creates a PSBtree index (a local index), n tables are created consisting of the indexed column and a rowid column, where n is the number of partitions in the base table. Inserts into the base table cause appropriate insertions into the affected index table. Deletes and updates are handled similarly. When the PSBtree is queried based on a user-defined operator (one of gt, 1t and eq), an appropriate query is issued against the index table to retrieve all the satisfying rows. Appropriate partition pruning occurs, and only the index tables that correspond to the relevant, or "interesting", partitions are accessed.

## Implementing Operators

The PSBtree indextype supports three operators. Each operator has a corresponding functional implementation. The functional implementations of the eq. gt and 1t operators are presented in the following section.

### **Create Functional Implementations**

The following sections describe functional implementation of comparison operators.

#### Functional Implementation of EQ (EQUALS)

The functional implementation for eq is provided by a function (bt\_eq) that takes in two VARCHAR2 parameters and returns 1 if they are equal and 0 otherwise.

```
CREATE FUNCTION bt_eq(a VARCHAR2, b VARCHAR2) RETURN NUMBER AS
 IF a = b then
   RETURN 1:
   RETURN 0;
  END IF;
```

#### Functional Implementation of LT (LESS THAN)

The functional implementation for 1t is provided by a function (bt\_1t) that takes in two VARCHAR2 parameters and returns 1 if the first parameter is less than the second, 0 otherwise.

```
CREATE FUNCTION bt_lt(a VARCHAR2, b VARCHAR2) RETURN NUMBER AS
 IF a < b then
   RETURN 1:
 ELSE
 RETURN 0;
 END IF;
END:
```

#### Functional Implementation of GT (GREATER THAN)

The functional implementation for gt is provided by a function (bt\_gt) that takes in two VARCHAR2 parameters and returns 1 if the first parameter is greater than the second, 0 otherwise.

```
CREATE FUNCTION bt_gt(a VARCHAR2, b VARCHAR2) RETURN NUMBER AS
BEGIN
 IF a > b then
   RETURN 1;
 ELSE
   RETURN 0;
 END IF;
END;
```

### Create Operators

To create the operator, you need to specify the signature of the operator along with its return type and its functional implementation.

#### Operator EQ

```
CREATE OPERATOR eq
BINDING (VARCHAR2, VARCHAR2) RETURN NUMBER
USING bt_eq;
```

#### Operator LT

```
CREATE OPERATOR 1t
BINDING (VARCHAR2, VARCHAR2) RETURN NUMBER
USING bt_lt;
```

#### Operator GT

```
CREATE OPERATOR gt
BINDING (VARCHAR2, VARCHAR2) RETURN NUMBER
USING bt_gt;
```

## Implementing the Index Routines

Define an implementation type that implements the ODCIIndex interface routines.

```
CREATE TYPE psbtree_im AS OBJECT
 scanctx RAW(4),
 STATIC FUNCTION ODCIGetInterfaces (ifclist OUT SYS.ODCIObjectList)
   RETURN NUMBER,
 STATIC FUNCTION ODCIIndexCreate (ia SYS.ODCIIndexInfo, parms VARCHAR2,
   env SYS.ODCIEnv) RETURN NUMBER,
 STATIC FUNCTION ODCIIndexDrop(ia SYS.ODCIIndexInfo, env SYS.ODCIEnv)
   RETURN NUMBER,
 STATIC FUNCTION ODCIIndexExchangePartition(ia SYS.ODCIIndexInfo,
   ial SYS.ODCIIndexInfo, env SYS.ODCIEnv) RETURN NUMBER,
 STATIC FUNCTION ODCIIndexMergePartition(ia SYS.ODCIIndexInfo,
   part_name1 SYS.ODCIPartInfo, part_name2 SYS.ODCIPartInfo, parms VARCHAR2,
   env SYS.ODCIEnv) RETURN NUMBER,
```

```
STATIC FUNCTION ODCIIndexSplitPartition(ia SYS.ODCIIndexInfo,
   part_name1 SYS.ODCIPartInfo, part_name2 SYS.ODCIPartInfo, parms VARCHAR2,
   env SYS.ODCIEnv) RETURN NUMBER,
  STATIC FUNCTION ODCIIndexTruncate(ia SYS.ODCIIndexInfo, env SYS.ODCIEnv)
   RETURN NUMBER.
  STATIC FUNCTION ODCIIndexInsert(ia SYS.ODCIIndexInfo, rid VARCHAR2,
   newval VARCHAR2, env SYS.ODCIEnv) RETURN NUMBER,
  STATIC FUNCTION ODCIIndexDelete(ia SYS.ODCIIndexInfo, rid VARCHAR2,
   oldval VARCHAR2, env SYS.ODCIEnv) RETURN NUMBER,
  STATIC FUNCTION ODCIIndexUpdate(ia SYS.ODCIIndexInfo, rid VARCHAR2,
   oldval VARCHAR2, newval VARCHAR2, env SYS.ODCIEnv) RETURN NUMBER,
  STATIC FUNCTION ODCIIndexStart(sctx IN OUT psbtree im, ia SYS.ODCIIndexInfo,
    op SYS.ODCIPredInfo, qi sys.ODCIQueryInfo, strt number, stop number,
    cmpval VARCHAR2, env SYS.ODCIEnv) RETURN NUMBER,
 MEMBER FUNCTION ODCIIndexFetch (nrows NUMBER, rids OUT SYS.ODCIridlist,
   env SYS.ODCIEnv) RETURN NUMBER,
 MEMBER FUNCTION ODCIIndexClose(env SYS.ODCIEnv) RETURN NUMBER
):
SHOW ERRORS
```

#### Define the implementation type body

You can implement the index routines in any language supported by Oracle. For this example, we will implement the get interfaces routine and the index definition routines in PL/SQL. We will implement the index manipulation and query routines in C.

```
CREATE OR REPLACE TYPE BODY psbtree_im
IS
```

The get interfaces routine returns the expected interface name through its OUT parameter.

```
STATIC FUNCTION ODCIGetInterfaces(ifclist OUT sys.ODCIObjectList)
   RETURN NUMBER IS
   ifclist := sys.ODCIObjectList(sys.ODCIObject('SYS','ODCIINDEX2'));
   RETURN ODCIConst.Success;
END ODCIGetInterfaces;
```

The ODCIIndexCreate routine creates an index storage table with two columns. The first column stores the VARCHAR2 indexed column value. The second column in the index table stores the rowid of the corresponding row in the base table. When the create routine is invoked during creation of a local domain index, it is invoked n+2 times, where n is the number of partitions in the base table. The routine creates one index storage table for each partition. The create routine is also invoked during execution of ALTER TABLE ADD PARTITION if there are local domain indexes defined on the table. In this case, the routine simply creates a new index storage table to correspond to the newly created partition. The routine makes use of the information passed in to determine the context in which it is invoked. DBMS\_SQL is used to execute the dynamically constructed SQL statement.

```
STATIC FUNCTION ODCIIndexCreate (ia SYS.ODCIIndexInfo, parms VARCHAR2,
   env SYS.ODCIEnv)
   RETURN NUMBER
 IS
  i INTEGER;
  stmt VARCHAR2(1000);
  cnum INTEGER;
```

```
junk INTEGER;
BEGIN
-- construct the sql statement
stmt := '';
IF ((env.CallProperty IS NULL) and (ia.IndexPartition IS NULL)) THEN
  stmt := 'CREATE TABLE ' || ia.IndexSchema || '.' || ia.IndexName ||
          '_sbtree' ||
          '( f1 , f2, PRIMARY KEY (f1) ) ORGANIZATION INDEX AS SELECT ' ||
          ia.IndexCols(1).ColName | ', ROWID FROM ' |
          ia.IndexCols(1).TableSchema | '.' | ia.IndexCols(1).TableName;
END IF;
IF ((env.CallProperty IS NOT NULL) AND (ia.IndexPartition IS NOT NULL)) THEN
  stmt := 'CREATE TABLE ' || ia.IndexSchema || '.' || ia.IndexName ||
          '_' || ia.indexpartition || '_sbtree' ||
          '( f1 , f2, PRIMARY KEY (f1) ) ORGANIZATION INDEX AS SELECT ' ||
          ia.IndexCols(1).ColName |  ', ROWID FROM ' | |
          ia.IndexCols(1).TableSchema | '.' ||
          END IF;
IF ((env.CallProperty IS NULL) AND (ia.IndexPartition IS NOT NULL)) THEN
  stmt := 'CREATE TABLE ' | ia.IndexSchema | '.' | ia.IndexName | |
          '_' || ia.IndexPartition || '_sbtree' ||
          '(f1 ' || ia.IndexCols(1).ColTypeName ||'(200) , f2 ROWID, ' ||
          ' PRIMARY KEY (f1)) ORGANIZATION INDEX';
END IF;
DBMS_OUTPUT.PUT_LINE('Create');
DBMS_OUTPUT.PUT_LINE(stmt);
 -- execute the statement
IF ( (env.CallProperty IS NULL) OR
     (env.CallProperty = SYS.ODCIConst.IntermediateCall) ) THEN
  cnum := DBMS_SQL.OPEN_CURSOR;
  DBMS_SQL.PARSE(cnum, stmt, DBMS_SQL.NATIVE);
  junk := DBMS_SQL.EXECUTE(cnum);
  DBMS_SQL.CLOSE_CURSOR(cnum);
END IF;
RETURN ODCIConst.Success:
END;
```

The ODCIIndexDrop routine drops the index storage table(s). For a local domain index, the routine is invoked n+2 times, where n is the number of partitions in the base table.

```
STATIC FUNCTION ODCIIndexDrop(ia SYS.ODCIIndexInfo, env SYS.ODCIEnv)
  RETURN NUMBER IS
  stmt VARCHAR2(1000);
  cnum INTEGER;
  junk INTEGER;
 BEGIN
   -- construct the sql statement
  stmt := '';
  IF ((env.CallProperty IS NULL) and (ia.IndexPartition IS NULL) ) THEN
    stmt := 'DROP TABLE ' || ia.IndexSchema || '.' || ia.IndexName ||
             '_sbtree';
```

```
ELSE
  IF (ia.IndexPartition IS NOT NULL) THEN
    stmt := 'DROP TABLE ' || ia.IndexSchema || '.' || ia.IndexName ||
             '_' | ia.IndexPartition | '_sbtree';
  END IF;
END IF;
DBMS_OUTPUT.PUT_LINE('Drop');
DBMS_OUTPUT.PUT_LINE(stmt);
-- execute the statement
IF ( (env.CallProperty IS NULL) OR
     (env.CallProperty = SYS.ODCIConst.IntermediateCall) ) THEN
  cnum := DBMS_SQL.OPEN_CURSOR;
  DBMS_SQL.PARSE(cnum, stmt, DBMS_SQL.NATIVE);
  junk := DBMS_SQL.EXECUTE(cnum);
  DBMS_SQL.CLOSE_CURSOR(cnum);
END IF;
RETURN ODCIConst.Success;
END;
```

To handle partition maintenance operations, the indextype also has additional methods that take appropriate actions on the index storage tables when the base table partitions are merged, split, or exchanged.

The ODCIIndexMergePartition routine drops the index storage tables for the two index partitions being merged and creates a new table corresponding to the resulting merged partition. If there is data in the resulting merged partition, the index is marked UNUSABLE so that the table is not populated with rows. That is left to be done during a subsequent ALTER INDEX REBUILD PARTITION.

```
STATIC FUNCTION ODCIIndexMergePartition(ia SYS.ODCIIndexInfo,
 part_name1 SYS.ODCIPartInfo, part_name2 SYS.ODCIPartInfo,
 parms VARCHAR2, env SYS.ODCIEnv)
 return number
IS
stmt VARCHAR2(2000);
cnum INTEGER;
junk INTEGER;
BEGIN
DBMS_OUTPUT.PUT_LINE('Merge Partitions');
stmt := '';
IF (ia.IndexPartition IS NOT NULL) THEN
   stmt := 'DROP TABLE ' || ia.IndexSchema || '.' || ia.IndexName ||
             '_' || ia.IndexPartition || '_sbtree';
   DBMS_OUTPUT.PUT_LINE('drop');
   DBMS_OUTPUT.PUT_LINE(stmt);
   -- execute the statement
   cnum := DBMS_SQL.OPEN_CURSOR;
   DBMS_SQL.PARSE(cnum, stmt, DBMS_SQL.NATIVE);
   junk := DBMS_SQL.EXECUTE(cnum);
   DBMS_SQL.CLOSE_CURSOR(cnum);
END IF;
IF ( part_name1 IS NOT NULL) THEN
   stmt := 'DROP TABLE ' || ia.IndexSchema || '.' || ia.IndexName ||
```

```
'_' || part_name1.IndexPartition || '_sbtree';
    DBMS_OUTPUT.PUT_LINE('drop');
    DBMS_OUTPUT.PUT_LINE(stmt);
    -- execute the statement
    cnum := DBMS_SQL.OPEN_CURSOR;
    DBMS_SQL.PARSE(cnum, stmt, DBMS_SQL.NATIVE);
    junk := DBMS_SQL.EXECUTE(cnum);
    DBMS_SQL.CLOSE_CURSOR(cnum);
 END IF;
 IF ( part_name2 IS NOT NULL) THEN
    stmt := 'CREATE TABLE ' || ia.IndexSchema || '.' || ia.IndexName ||
         '_' || part_name2.IndexPartition || '_sbtree' ||
         '(f1 ' || ia.IndexCols(1).ColTypeName ||
         '(200), f2 ROWID, PRIMARY KEY (f1) ) ORGANIZATION INDEX';
    DBMS_OUTPUT.PUT_LINE('create');
    DBMS_OUTPUT.PUT_LINE('Parameter string : ' | parms);
    DBMS_OUTPUT.PUT_LINE(stmt);
    -- execute the statement
    cnum := DBMS_SQL.OPEN_CURSOR;
    DBMS_SQL.PARSE(cnum, stmt, DBMS_SQL.NATIVE);
    junk := DBMS_SQL.EXECUTE(cnum);
   DBMS_SQL.CLOSE_CURSOR(cnum);
 END IF;
 RETURN ODCIConst.Success:
END:
```

The ODCIIndexSplitPartition routine drops the index storage table corresponding to the partition being split and creates two new index tables that correspond to the two new index partitions created.

```
STATIC FUNCTION ODCIIndexSplitPartition(ia SYS.ODCIIndexInfo,
   part_name1 SYS.ODCIPartInfo, part_name2 SYS.ODCIPartInfo,
   parms VARCHAR2, env sys.odcienv)
   return number
  stmt VARCHAR2(2000);
  cnum INTEGER;
  junk INTEGER;
  DBMS_OUTPUT.PUT_LINE('Split Partition');
  stmt := '';
  IF (ia.IndexPartition IS NOT NULL) THEN
      stmt := 'DROP TABLE ' || ia.IndexSchema || '.' || ia.IndexName ||
               '_' || ia.IndexPartition || '_sbtree';
      DBMS_OUTPUT.PUT_LINE('drop');
      DBMS_OUTPUT.PUT_LINE(stmt);
      -- execute the statement
      cnum := DBMS_SQL.OPEN_CURSOR;
      DBMS_SQL.PARSE(cnum, stmt, DBMS_SQL.NATIVE);
      junk := DBMS_SQL.EXECUTE(cnum);
```

```
DBMS_SQL.CLOSE_CURSOR(cnum);
 END IF;
IF ( part_name1 IS NOT NULL) THEN
    stmt := 'CREATE TABLE ' | | ia.IndexSchema | | '.' | | ia.IndexName | |
         '_' || part_name1.IndexPartition || '_sbtree'
         '(f1 ' | ia.IndexCols(1).ColTypeName | |
         '(200) , f2 ROWID, PRIMARY KEY (f1)) ORGANIZATION INDEX';
    DBMS_OUTPUT.PUT_LINE('create');
    DBMS_OUTPUT.PUT_LINE('Parameter string : ' | parms);
    DBMS OUTPUT.PUT LINE(stmt);
    -- execute the statement
    cnum := DBMS_SQL.OPEN_CURSOR;
   DBMS_SQL.PARSE(cnum, stmt, DBMS_SQL.NATIVE);
    junk := DBMS_SQL.EXECUTE(cnum);
   DBMS SOL.CLOSE CURSOR(cnum);
 END IF:
IF ( part_name2 IS NOT NULL) THEN
    stmt := 'CREATE TABLE ' || ia.IndexSchema || '.' || ia.IndexName ||
         '_' | part_name2.IndexPartition | '_sbtree' ||
         '(f1 ' | ia.IndexCols(1).ColTypeName | |
         '(200) , f2 ROWID, PRIMARY KEY (f1)) ORGANIZATION INDEX';
    DBMS_OUTPUT.PUT_LINE('create');
    DBMS_OUTPUT.PUT_LINE('Parameter string : ' | parms);
   DBMS_OUTPUT.PUT_LINE(stmt);
    -- execute the statement
   cnum := DBMS_SQL.OPEN_CURSOR;
    DBMS_SQL.PARSE(cnum, stmt, dbms_sql.native);
    junk := DBMS_SQL.EXECUTE(cnum);
   DBMS_SQL.CLOSE_CURSOR(cnum);
 END IF;
 RETURN ODCIConst.Success;
END;
```

The ODCIIndexExchangePartition exchanges the index storage tables for the index partition being exchanged, with the index storage table for the global domain index.

```
STATIC FUNCTION ODCIIndexExchangePartition(ia SYS.ODCIIndexInfo,
   ia1 SYS.ODCIIndexInfo, env SYS.ODCIEnv)
  RETURN NUMBER
  stmt VARCHAR2(2000);
  cnum INTEGER;
  junk INTEGER;
  BEGIN
  stmt := '';
  DBMS_OUTPUT.PUT_LINE('Exchange Partitions');
   -- construct the sql statement
  stmt := 'ALTER TABLE temp EXCHANGE PARTITION p1 WITH TABLE ' |
       ia1.IndexSchema | '.' | ia1.IndexName | '_sbtree';
  cnum := DBMS_SQL.OPEN_CURSOR;
  DBMS_SQL.PARSE(cnum, stmt, DBMS_SQL.NATIVE);
```

```
junk := DBMS_SQL.EXECUTE(cnum);
DBMS_SQL.CLOSE_CURSOR(cnum);
 stmt := 'ALTER TABLE temp EXCHANGE PARTITION p1 WITH TABLE ' ||
     ia.IndexSchema | | '.' | | ia.IndexName | |
      '_' || ia.IndexPartition || '_sbtree';
 cnum := DBMS_SQL.OPEN_CURSOR;
 DBMS_SQL.PARSE(cnum, stmt, DBMS_SQL.NATIVE);
 junk := DBMS_SQL.EXECUTE(cnum);
 DBMS_SQL.CLOSE_CURSOR(cnum);
stmt := 'ALTER TABLE temp EXCHANGE PARTITION p1 WITH TABLE ' ||
    ia1.IndexSchema | '.' | ia1.IndexName | '_sbtree';
 cnum := DBMS_SQL.OPEN_CURSOR;
DBMS_SQL.PARSE(cnum, stmt, DBMS_SQL.NATIVE);
 junk := DBMS_SQL.EXECUTE(cnum);
DBMS_SQL.CLOSE_CURSOR(cnum);
RETURN ODCIConst.Success;
END;
```

The index manipulation and query routines are implemented in C. These require some setup to be done in advance. Specifically, you need to create a library object called extdemo51 for your compiled C code.

After the setup, the following statements register the implementation of the index manipulation and query routines in terms of their corresponding C functions.

Register the implementation of the ODCIIndexInsert routine.

```
STATIC FUNCTION ODCIIndexInsert(ia SYS.ODCIIndexInfo, rid VARCHAR2,
                              newval VARCHAR2, env SYS.ODCIEnv)
                              RETURN NUMBER AS EXTERNAL
name "qxiqtbi"
library extdemo51
with context
parameters (
context,
ia,
ia indicator struct,
rid,
rid indicator,
newval,
newval indicator,
env,
env indicator struct,
return OCINumber
           );
```

Register the implementation of the ODCIIndexDelete routine.

```
STATIC FUNCTION ODCIIndexDelete(ia SYS.ODCIIndexInfo, rid VARCHAR2,
                                oldval VARCHAR2, env SYS.ODCIEnv)
                                RETURN NUMBER AS EXTERNAL
name "qxiqtbd"
library extdemo51
with context
parameters (
context,
ia,
```

```
ia indicator struct,
rid,
rid indicator,
oldval,
oldval indicator,
env indicator struct,
return OCINumber
```

#### Register the implementation of the ODCIIndexUpdate routine.

```
STATIC FUNCTION ODCIIndexUpdate(ia SYS.ODCIIndexInfo, rid VARCHAR2,
                          oldval VARCHAR2, newval VARCHAR2, env SYS.ODCIEnv)
                                RETURN NUMBER AS EXTERNAL
name "qxiqtbu"
library extdemo51
with context
parameters (
context,
ia,
ia indicator struct,
rid,
rid indicator,
oldval,
oldval indicator,
newval,
newval indicator,
env,
env indicator struct,
return OCINumber
           );
```

#### Register the implementation of the ODCIIndexStart routine.

```
STATIC FUNCTION ODCIIndexStart(sctx IN OUT psbtree_im, ia SYS.ODCIIndexInfo,
                         op SYS.ODCIPredInfo,
                         qi SYS.ODCIQueryInfo,
                         strt NUMBER,
                         stop NUMBER,
                         cmpval VARCHAR2,
                         env SYS.ODCIEnv)
   RETURN NUMBER AS EXTERNAL
  name "qxiqtbs"
  library extdemo51
  with context
  parameters (
    context,
     sctx,
     sctx indicator struct,
     ia,
     ia indicator struct,
     op,
     op indicator struct,
     qi,
     qi indicator struct,
     strt,
     strt indicator,
     stop,
     stop indicator,
     cmpval,
```

```
cmpval indicator,
env.
env indicator struct,
return OCINumber
```

#### Register the implementation of the ODCIIndexFetch routine.

```
MEMBER FUNCTION ODCIIndexFetch(nrows NUMBER, rids OUT SYS.ODCIRidList,
    env SYS.ODCIEnv)
RETURN NUMBER AS EXTERNAL
name "qxiqtbf"
library extdemo51
with context
parameters (
  context,
  self,
  self indicator struct,
  nrows,
  nrows indicator,
  rids,
  rids indicator,
  env,
  env indicator struct,
  return OCINumber
);
```

#### Register the implementation of the ODCIIndexClose routine.

```
MEMBER FUNCTION ODCIIndexClose (env SYS.ODCIEnv) RETURN NUMBER AS EXTERNAL
name "qxiqtbc"
library extdemo51
with context
parameters (
 context,
 self,
 self indicator struct,
 env,
 env indicator struct,
 return OCINumber
```

## The C Code

The C structs for mapping the ODCI types are defined in the file odci.h. For example, the C struct ODCIIndexInfo is the mapping for the corresponding ODCI object type. The C struct ODCIIndexInfo\_ind is the mapping for the null object.

## **Common Error Processing Routine**

This function is used to check and process the return code from all OCI routines. It checks the status code and raises an exception in case of errors.

```
static int qxiqtce(ctx, errhp, status)
OCIExtProcContext *ctx;
OCIError *errhp;
sword status;
  text errbuf[512];
```

```
sb4 = rrcode = 0:
int errnum = 29400; /* choose some oracle error number */
int rc = 0;
switch (status)
case OCI_SUCCESS:
 rc = 0;
 break;
case OCI_ERROR:
  (void) OCIErrorGet((dvoid *)errhp, (ub4)1, (text *)NULL, &errcode,
                     errbuf, (ub4)sizeof(errbuf), OCI_HTYPE_ERROR);
  /* Raise exception */
  OCIExtProcRaiseExcpWithMsg(ctx, errnum, errbuf, strlen((char *)errbuf));
  rc = 1;
 break;
default:
  (void) sprintf((char *)errbuf, "Warning - some error\n");
  /* Raise exception */
  OCIExtProcRaiseExcpWithMsg(ctx, errnum, errbuf, strlen((char *)errbuf));
 rc = 1;
 break;
return (rc);
```

### Implementation Of The ODCIIndexInsert Routine

The insert routine parses and executes a statement that inserts a new row into the index table. The new row consists of the new value of the indexed column and the rowid that have been passed in as parameters.

```
OCINumber *qxiqtbi(ctx, ix, ix_ind, rid, rid_ind,
                 newval, newval_ind, env, env_ind)
OCIExtProcContext *ctx;
ODCIIndexInfo *ix:
ODCIIndexInfo_ind *ix_ind;
               *rid;
short
               rid_ind;
               *newval;
short
               newval ind;
ODCIEnv
               *env;
ODCIEnv_ind *env_ind;
                                           /* env. handle */
 OCIEnv *envhp = (OCIEnv *) 0;
                                           /* service handle */
 OCISvcCtx *svchp = (OCISvcCtx *) 0;
                                           /* error handle */
 OCIError *errhp = (OCIError *) 0;
                                           /* statement handle */
 OCIStmt *stmthp = (OCIStmt *) 0;
 OCIBind *bndp = (OCIBind *) 0;
                                           /* bind handle */
                                            /* bind handle */
 OCIBind *bndp1 = (OCIBind *) 0;
  int retval = (int)ODCI_SUCCESS;
                                             /* return from this function */
  OCINumber *rval = (OCINumber *)0;
  ub4 key;
                                             /* key value set in "self" */
  char insstmt[2000];
                                             /* sql insert statement */
  /* allocate memory for OCINumber first */
  rval = (OCINumber *)OCIExtProcAllocCallMemory(ctx, sizeof(OCINumber));
```

```
/* Get oci handles */
if (qxiqtce(ctx, errhp, OCIExtProcGetEnv(ctx, &envhp, &svchp, &errhp)))
  return(rval);
/* set up return code */
if (qxiqtce(ctx, errhp, OCINumberFromInt(errhp, (dvoid *)&retval,
                                      sizeof(retval),
                                       OCI_NUMBER_SIGNED, rval)))
  return(rval);
/*********
 * Construct insert Statement *
 **********
 if (ix_ind->IndexPartition == OCI_IND_NULL)
  sprintf(insstmt,
               "INSERT into %s.%s_sbtree values (:newval, :mrid)",
               OCIStringPtr(envhp, ix->IndexSchema),
               OCIStringPtr(envhp, ix->IndexName));
 }
 else
  sprintf(insstmt,
               "INSERT into %s.%s_%s_sbtree values (:newval, :mrid)",
               OCIStringPtr(envhp, ix->IndexSchema),
               OCIStringPtr(envhp, ix->IndexName),
               OCIStringPtr(envhp, ix->IndexPartition));
    }
/***********
 * Parse and Execute Insert Statement *
 *************
/* allocate stmt handle */
if (qxiqtce(ctx, errhp, OCIHandleAlloc((dvoid *)envhp,
                                     (dvoid **)&stmthp,
                                     (ub4)OCI_HTYPE_STMT, (size_t)0,
                                     (dvoid **)0)))
  return(rval);
/* prepare the statement */
if (qxiqtce(ctx, errhp, OCIStmtPrepare(stmthp, errhp, (text *)insstmt,
                                     (ub4)strlen(insstmt), OCI_NTV_SYNTAX,
                                     OCI_DEFAULT)))
  return(rval);
/* Set up bind for newval */
if (qxiqtce(ctx, errhp, OCIBindByPos(stmthp, &bndp, errhp, (ub4)1,
                                   (dvoid *)newval,
                                   (sb4) (strlen(newval)+1),
                                   (ub2) SQLT_STR, (dvoid *)0, (ub2 *)0,
                                   (ub2 *)0, (ub4)0, (ub4 *)0,
                                   (ub4)OCI_DEFAULT)))
  return(rval);
/* Set up bind for rid */
if (qxiqtce(ctx, errhp, OCIBindByPos(stmthp, &bndp, errhp, (ub4)2,
                                   (dvoid *)rid,
                                   (sb4)(strlen(rid)+1),
```

```
(ub2)SQLT_STR, (dvoid *)0, (ub2 *)0,
                                        (ub2 *)0, (ub4)0, (ub4 *)0,
                                        (ub4)OCI_DEFAULT)))
    return(rval);
  /* Execute statement */
  if (qxiqtce(ctx, errhp, OCIStmtExecute(svchp, stmthp, errhp, (ub4)1,
                                         (ub4)0, (OCISnapshot *)NULL,
                                          (OCISnapshot *)NULL,
                                          (ub4)OCI_DEFAULT)))
    return(rval);
  return(rval);
}
```

### Implementation of the ODCIIndexDelete Routine

The delete routine constructs a SQL statement to delete a row from the index table corresponding to the row being deleted from the base table. The row in the index table is identified by the value of rowid that is passed in as a parameter to this routine.

```
OCINumber *qxiqtbd(ctx, ix, ix_ind, rid, rid_ind,
                 oldval, oldval_ind, env, env_ind)
OCIExtProcContext *ctx;
ODCIIndexInfo *ix;
ODCIIndexInfo_ind *ix_ind;
               *rid;
short
               rid_ind;
               *oldval;
char
short
               oldval_ind;
ODCIEnv
               *env;
ODCIEnv_ind
               *env_ind;
                                           /* env. handle */
 OCIEnv *envhp = (OCIEnv *) 0;
 OCISvcCtx *svchp = (OCISvcCtx *) 0;
                                           /* service handle */
                                           /* error handle */
 OCIError *errhp = (OCIError *) 0;
                                           /* statement handle */
 OCIStmt *stmthp = (OCIStmt *) 0;
  OCIBind *bndp = (OCIBind *) 0;
                                           /* bind handle */
 OCIBind *bndp1 = (OCIBind *) 0;
                                           /* bind handle */
  int retval = (int)ODCI_SUCCESS;
                                            /* return from this function */
  OCINumber *rval = (OCINumber *)0;
  ub4 key;
                                            /* key value set in "self" */
  char delstmt[2000];
                                             /* sql insert statement */
  /* Get oci handles */
  if (qxiqtce(ctx, errhp, OCIExtProcGetEnv(ctx, &envhp, &svchp, &errhp)))
   return(rval);
  /* set up return code */
  rval = (OCINumber *)OCIExtProcAllocCallMemory(ctx, sizeof(OCINumber));
  if (qxiqtce(ctx, errhp, OCINumberFromInt(errhp, (dvoid *)&retval,
                                         sizeof(retval),
                                         OCI_NUMBER_SIGNED, rval)))
    return(rval);
  /*********
   * Construct delete Statement *
```

```
**********
  if (ix_ind->IndexPartition == OCI_IND_NULL)
    sprintf(delstmt,
                 "DELETE FROM %s.%s_sbtree WHERE f2 = :rr",
                OCIStringPtr(envhp, ix->IndexSchema),
                OCIStringPtr(envhp, ix->IndexName));
  }
  else
    sprintf(delstmt,
                 "DELETE FROM %s.%s_%s_sbtree WHERE f2 = :rr",
                OCIStringPtr(envhp, ix->IndexSchema),
                OCIStringPtr(envhp, ix->IndexName),
                 OCIStringPtr(envhp, ix->IndexPartition));
  }
  /***********
  * Parse and Execute delete Statement
  *************
  /* allocate stmt handle */
 if (qxiqtce(ctx, errhp, OCIHandleAlloc((dvoid *)envhp,
                                       (dvoid **) & stmthp,
                                       (ub4)OCI_HTYPE_STMT, (size_t)0,
                                       (dvoid **)0)))
   return(rval);
  /* prepare the statement */
 if (qxiqtce(ctx, errhp, OCIStmtPrepare(stmthp, errhp, (text *)delstmt,
                                      (ub4)strlen(delstmt), OCI_NTV_SYNTAX,
                                       OCI_DEFAULT)))
   return(rval);
  /* Set up bind for rid */
 if (qxiqtce(ctx, errhp, OCIBindByPos(stmthp, &bndp, errhp, (ub4)1,
                                     (dvoid *)rid,
                                     (sb4)(strlen(rid)+1),
                                     (ub2)SQLT_STR, (dvoid *)0, (ub2 *)0,
                                     (ub2 *)0, (ub4)0, (ub4 *)0,
                                     (ub4)OCI_DEFAULT)))
   return(rval);
  /* Execute statement */
 if (qxiqtce(ctx, errhp, OCIStmtExecute(svchp, stmthp, errhp, (ub4)1,
                                       (ub4)0, (OCISnapshot *)NULL,
                                       (OCISnapshot *)NULL,
                                       (ub4)OCI_DEFAULT)))
   return(rval);
 return(rval);
}
```

### Implementation of the ODCIIndexUpdate Routine

The update routine constructs a SQL statement to update a row in the index table corresponding to the row being updated in the base table. The row in the index table is identified by the value of rowid that is passed in as a parameter to this routine. The old column value (oldval) is replaced by the new value (newval).

```
OCINumber *qxiqtbu(ctx, ix, ix_ind, rid, rid_ind,
                  oldval, oldval_ind, newval, newval_ind, env, env_ind)
OCIExtProcContext *ctx;
ODCIIndexInfo *ix;
ODCIIndexInfo_ind *ix_ind;
char *rid;
               rid_ind;
short
char
               *oldval;
               oldval_ind;
short
char
               *newval;
               newval_ind;
short.
               *env;
ODCIEnv
ODCIEnv_ind
               *env_ind;
 OCIEnv *envhp = (OCIEnv *) 0;
                                            /* env. handle */
 OCISvcCtx *svchp = (OCISvcCtx *) 0;
                                           /* service handle */
                                           /* error handle */
 OCIError *errhp = (OCIError *) 0;
 OCIStmt *stmthp = (OCIStmt *) 0;
                                           /* statement handle */
  OCIBind *bndp = (OCIBind *) 0;
                                           /* bind handle */
  OCIBind *bndp1 = (OCIBind *) 0;
                                           /* bind handle */
  int retval = (int)ODCI_SUCCESS;
                                            /* return from this function */
  OCINumber *rval = (OCINumber *)0;
                                            /* key value set in "self" */
  ub4 key;
  char updstmt[2000];
                                             /* sql insert statement */
  /* Get oci handles */
  if (qxiqtce(ctx, errhp, OCIExtProcGetEnv(ctx, &envhp, &svchp, &errhp)))
   return(rval);
  /* set up return code */
  rval = (OCINumber *)OCIExtProcAllocCallMemory(ctx, sizeof(OCINumber));
  if (qxiqtce(ctx, errhp, OCINumberFromInt(errhp, (dvoid *)&retval,
                                        sizeof(retval),
                                         OCI_NUMBER_SIGNED, rval)))
   return(rval);
  /*********
  * Construct update Statement *
   **********
  if (ix_ind->IndexPartition == OCI_IND_NULL)
    sprintf(updstmt,
         "UPDATE %s.%s_sbtree SET f1 = :newval, f2 = :rr WHERE f1 = :oldval",
                OCIStringPtr(envhp, ix->IndexSchema),
                 OCIStringPtr(envhp, ix->IndexName));
  }
  else
    sprintf(updstmt,
       "UPDATE %s.%s_sbtree SET f1 = :newval, f2 = :rr WHERE f1 = :oldval",
                 OCIStringPtr(envhp, ix->IndexSchema),
                 OCIStringPtr(envhp, ix->IndexName),
                 OCIStringPtr(envhp, ix->IndexPartition));
  }
```

```
/***********
   * Parse and Execute Update Statement
   *************
  /* allocate stmt handle */
 if (qxiqtce(ctx, errhp, OCIHandleAlloc((dvoid *)envhp,
                                        (dvoid **)&stmthp,
                                        (ub4)OCI_HTYPE_STMT, (size_t)0,
                                        (dvoid **)0)))
   return(rval);
  /* prepare the statement */
 if (qxiqtce(ctx, errhp, OCIStmtPrepare(stmthp, errhp, (text *)updstmt,
                                       (ub4)strlen(updstmt), OCI_NTV_SYNTAX,
                                        OCI_DEFAULT)))
   return(rval);
  /* Set up bind for newval */
 if (qxiqtce(ctx, errhp, OCIBindByPos(stmthp, &bndp, errhp, (ub4)1,
                                      (dvoid *)newval,
                                      (sb4)(strlen(newval)+1),
                                      (ub2) SQLT_STR, (dvoid *)0, (ub2 *)0,
                                      (ub2 *)0, (ub4)0, (ub4 *)0,
                                      (ub4)OCI_DEFAULT)))
   return(rval);
  /* Set up bind for rid */
 if (qxiqtce(ctx, errhp, OCIBindByPos(stmthp, &bndp, errhp, (ub4)2,
                                      (dvoid *)rid,
                                      (sb4)(strlen(rid)+1),
                                      (ub2)SQLT_STR, (dvoid *)0, (ub2 *)0,
                                      (ub2 *)0, (ub4)0, (ub4 *)0,
                                      (ub4)OCI_DEFAULT)))
   return(rval);
  /* Set up bind for oldval */
 if (qxiqtce(ctx, errhp, OCIBindByPos(stmthp, &bndp, errhp, (ub4)3,
                                      (dvoid *)oldval,
                                      (sb4)(strlen(oldval)+1),
                                      (ub2)SQLT_STR, (dvoid *)0, (ub2 *)0,
                                      (ub2 *)0, (ub4)0, (ub4 *)0,
                                      (ub4)OCI_DEFAULT)))
   return(rval);
  /* Execute statement */
 if (qxiqtce(ctx, errhp, OCIStmtExecute(svchp, stmthp, errhp, (ub4)1,
                                        (ub4)0, (OCISnapshot *)NULL,
                                        (OCISnapshot *)NULL,
                                        (ub4)OCI_DEFAULT)))
   return(rval);
 return(rval);
}
```

### Implementation of the ODCIIndexStart Routine

The start routine performs the setup for an sbtree index scan. The query information in terms of the operator predicate, its arguments, and the bounds on return values are passed in as parameters to this function. The scan context that is shared among the

index scan routines is an instance of the type psbtree\_im. We have defined a C struct (qxiqtim) as a mapping for the object type. In addition, there is a C struct (qxiqtin) for the corresponding null object. Note that the C structs for the object type and its null object can be generated from the Object Type Translator (OTT).

```
/* The index implementation type is an object type with a single RAW attribute
 * which will be used to store the context key value.
 * C mapping of the implementation type :
 */
struct qxiqtim
  OCIRaw *sctx_gxigtim;
typedef struct gxigtim gxigtim;
struct qxiqtin
 short atomic_gxigtin;
 short scind_qxiqtin;
typedef struct gxigtin gxigtin;
```

This function sets up a cursor that scans the index table. The scan retrieves the stored rowids for the rows in the index table that satisfy the specified predicate. The predicate for the index table is generated based on the operator predicate information that is passed in as parameters. For example, if the operator predicate is of the form:

```
eq(col, 'joe') = 1
```

the predicate on the index table is set up to be

```
f1 = 'ioe'
```

There are a set of OCI handles that need to be cached away and retrieved on the next fetch call. A C struct qxiqtcx is defined to hold all the necessary scan state. This structure is allocated out of OCI\_DURATION\_STATEMENT memory to ensure that it persists till the end of fetch. After populating the structure with the required info, a pointer to the structure is saved in OCI context. The context is identified by a 4-byte key that is generated by calling an OCI routine. The 4-byte key is stashed away in the scan context - exiting. This object is returned back to the Oracle server and is passed in as a parameter to the next fetch call.

```
/* The index scan context - should be stored in "statement" duration memory
 * and used by start, fetch and close routines.
struct qxiqtcx
 OCIStmt *stmthp;
 OCIDefine *defnp;
 OCIBind *bndp;
 char ridp[19];
typedef struct qxiqtcx qxiqtcx;
OCINumber *qxiqtbs(ctx, sctx, sctx_ind, ix, ix_ind, pr, pr_ind, qy, qy_ind,
                  strt, strt_ind, stop, stop_ind, cmpval, cmpval_ind,
                  env, env_ind)
OCIExtProcContext *ctx;
qxiqtim *sctx;
gxigtin
                *sctx_ind;
```

```
ODCIIndexInfo
                *ix:
ODCIIndexInfo_ind *ix_ind;
ODCIPredInfo *pr;
dvoid
                *pr_ind;
ODCIQueryInfo *qy;
               *qy_ind;
dvoid
              *strt;
OCTNumber
               strt_ind;
short.
                *stop;
OCINumber
               stop_ind;
short
char
                *cmpval;
short
               cmpval_ind;
ODCIEnv
                *env;
dvoid
                *env_ind;
 sword status;
 OCIEnv *envhp;
                                                          /* env. handle */
 OCISvcCtx *svchp;
                                                        /* service handle */
 OCIError *errhp;
                                                          /* error handle */
                                                          /* user handle */
 OCISession *usrhp;
 qxiqtcx *icx;
                                     /* state to be saved for later calls */
 int strtval;
                                                          /* start bound */
                                                           /* stop bound */
 int stopval;
 int errnum = 29400;
                                       /* choose some oracle error number */
 char errmsg[512];
                                                 /* error message buffer */
 size_t errmsglen;
                                               /* Length of error message */
 char relop[3];
                                  /* relational operator used in sql stmt */
 char selstmt[2000];
                                                  /* sql select statement */
 int retval = (int)ODCI_SUCCESS;
                                             /* return from this function */
 OCINumber *rval = (OCINumber *)0;
 ub4 key;
                                               /* key value set in "sctx" */
  /* Get oci handles */
 if (qxiqtce(ctx, errhp, OCIExtProcGetEnv(ctx, &envhp, &svchp, &errhp)))
   return(rval);
 /* set up return code */
 rval = (OCINumber *)OCIExtProcAllocCallMemory(ctx, sizeof(OCINumber));
 if (qxiqtce(ctx, errhp, OCINumberFromInt(errhp, (dvoid *)&retval,
                                        sizeof(retval),
                                        OCI_NUMBER_SIGNED, rval)))
   return(rval);
  /* get the user handle */
 if (qxiqtce(ctx, errhp, OCIAttrGet((dvoid *)svchp, (ub4)OCI_HTYPE_SVCCTX,
                                   (dvoid *)&usrhp, (ub4 *)0,
                                   (ub4)OCI_ATTR_SESSION,
                                   errhp)))
   return(rval);
  /* Allocate memory to hold index scan context */
  /***************
 if (qxiqtce(ctx, errhp, OCIMemoryAlloc((dvoid *)usrhp, errhp,
                                      (dvoid **)&icx,
```

```
OCI_DURATION_STATEMENT,
                                     (ub4) (sizeof(qxiqtcx)),
                                     OCI_MEMORY_CLEARED)))
 return(rval);
icx->stmthp = (OCIStmt *)0;
icx->defnp = (OCIDefine *)0;
icx->bndp = (OCIBind *)0;
/***********
/* Check that the bounds are valid */
/**********
/* convert from oci numbers to native numbers */
if (qxiqtce(ctx, errhp, OCINumberToInt(errhp, strt,
                                     sizeof(strtval), OCI_NUMBER_SIGNED,
                                     (dvoid *)&strtval)))
 return(rval);
if (qxiqtce(ctx, errhp, OCINumberToInt(errhp, stop,
                                    sizeof(stopval),
                                    OCI_NUMBER_SIGNED, (dvoid *)&stopval)))
 return(rval);
/* verify that strtval/stopval are both either 0 or 1 */
if (!(((strtval == 0) && (stopval == 0)) ||
     ((strtval == 1) && (stopval == 1))))
 {
   strcpy(errmsg, "Incorrect predicate for sbtree operator");
   errmsglen = (size_t)strlen(errmsg);
   if (OCIExtProcRaiseExcpWithMsg(ctx, errnum, (text *)errmsg, errmsglen)
       != OCIEXTPROC SUCCESS)
     /* Use cartridge error services here */;
   return(rval);
 }
/***************
/* Generate the SQL statement to be executed */
/***************
if (memcmp((dvoid *)OCIStringPtr(envhp, pr->ObjectName), "EQ", 2)
   == 0)
 if (strtval == 1)
   strcpy(relop, "=");
   strcpy(relop, "!=");
else if (memcmp((dvoid *)OCIStringPtr(envhp, pr->ObjectName), "LT",
               2) == 0
 if (strtval == 1)
   strcpy(relop, "<");
 else
   strcpy(relop, ">=");
else
 if (strtval == 1)
   strcpy(relop, ">");
   strcpy(relop, "<=");</pre>
if (ix_ind->IndexPartition == OCI_IND_NULL)
 sprintf(selstmt, "select f2 from %s.%s_sbtree where f1 %s :val",
               OCIStringPtr(envhp, ix->IndexSchema),
               OCIStringPtr(envhp, ix->IndexName), relop);
```

```
}
else
  sprintf(selstmt, "select f2 from %s.%s_sbtree where f1 %s :val",
               OCIStringPtr(envhp, ix->IndexSchema),
               OCIStringPtr(envhp, ix->IndexName),
               OCIStringPtr(envhp, ix->IndexPartition), relop);
/**********
/* Parse, bind, define and execute */
/***********
/* allocate stmt handle */
if (qxiqtce(ctx, errhp,
           OCIHandleAlloc((dvoid *)envhp, (dvoid **)&(icx->stmthp),
                          (ub4)OCI_HTYPE_STMT, (size_t)0,
                          (dvoid **)0)))
 return(rval);
/* prepare the statement */
if (qxiqtce(ctx, errhp, OCIStmtPrepare(icx->stmthp, errhp, (text *)selstmt,
                                     (ub4)strlen(selstmt), OCI_NTV_SYNTAX,
                                     OCI_DEFAULT)))
  return(rval);
/* Set up bind */
if (qxiqtce(ctx, errhp,
           OCIBindByPos(icx->stmthp, &(icx->bndp), errhp, (ub4)1,
                        (dvoid *)cmpval,
                        (sb4)(strlen(cmpval)+1),
                        (ub2) SQLT_STR, (dvoid *)0, (ub2 *)0,
                        (ub2 *)0, (ub4)0, (ub4 *)0,
                        (ub4)OCI_DEFAULT)))
  return(rval);
/* Set up define */
if (qxiqtce(ctx, errhp, OCIDefineByPos(icx->stmthp, &(icx->defnp), errhp,
                                     (ub4)1, (dvoid *)(icx->ridp),
                                     (sb4) sizeof(icx->ridp),
                                      (ub2)SQLT_STR, (dvoid *)0, (ub2 *)0,
                                     (ub2 *)0, (ub4)OCI_DEFAULT)))
  return(rval);
/* execute */
if (qxiqtce(ctx, errhp, OCIStmtExecute(svchp, icx->stmthp, errhp, (ub4)0,
                                      (ub4)0, (OCISnapshot *)NULL,
                                      (OCISnapshot *)NULL,
                                      (ub4)OCI_DEFAULT)))
  return(rval);
/************
/* Set index context to be returned */
/************/
/* generate a key */
if (qxiqtce(ctx, errhp, OCIContextGenerateKey((dvoid *)usrhp, errhp, &key)))
 return(rval):
/* set the memory address of the struct to be saved in the context */
if (qxiqtce(ctx, errhp, OCIContextSetValue((dvoid *)usrhp, errhp,
                                         OCI_DURATION_STATEMENT,
                                         (ub1 *) & key, (ub1) size of (key),
                                         (dvoid *)icx)))
```

```
return(rval);
/* set the key as the member of "sctx" */
if (qxiqtce(ctx, errhp, OCIRawAssignBytes(envhp, errhp, (ub1 *)&key,
                                          (ub4) sizeof (key),
                                           &(sctx->sctx_qxiqtim))))
  return(rval);
sctx_ind->atomic_qxiqtin = OCI_IND_NOTNULL;
sctx_ind->scind_qxiqtin = OCI_IND_NOTNULL;
return(rval);
```

### Implementation of the ODCIIndexFetch Routine

The scan context set up by the start routine is passed in as a parameter to the fetch routine. This function first retrieves the 4-byte key from the scan context. The C mapping for the scan context is gxigtim. Next, the OCI context is looked up based on the key. This gives the memory address of the structure that holds the OCI handles the gxigtcx structure.

This function returns the next batch of rowids that satisfy the operator predicate. It uses the value of the nrows parameter as the size of the batch. It repeatedly fetches rowids from the open cursor and populates the rowid list with them. When the batch is full or when there are no more rowids left, the function returns them back to the

```
OCINumber *qxiqtbf(ctx, self, self_ind, nrows, nrows_ind, rids, rids_ind,
                 env, env_ind)
OCIExtProcContext *ctx;
qxiqtim *self;
                *self_ind;
qxiqtin
            *nrows;
OCINumber
short
              nrows_ind;
               **rids;
OCIArray
short
               *rids_ind;
               *env;
ODCIEnv
               *env_ind;
biovb
 sword status;
 OCIEnv *envhp;
 OCISvcCtx *svchp;
 OCIError *errhp;
                                                          /* user handle */
 OCISession *usrhp;
 qxiqtcx *icx;
 int idx = 1;
 int nrowsval;
 OCIArray *ridarrp = *rids;
                                                     /* rowid collection */
 OCIString *ridstr = (OCIString *)0;
 int done = 0;
  int retval = (int)ODCI_SUCCESS;
 OCINumber *rval = (OCINumber *)0;
 ub1 *key;
                                               /* key to retrieve context */
 ub4 keylen;
                                                       /* length of key */
  /***************
```

```
/* Get OCI handles */
/********
if (qxiqtce(ctx, errhp, OCIExtProcGetEnv(ctx, &envhp, &svchp, &errhp)))
   return(rval);
/* set up return code */
rval = (OCINumber *)OCIExtProcAllocCallMemory(ctx, sizeof(OCINumber));
if (qxiqtce(ctx, errhp,
           OCINumberFromInt(errhp, (dvoid *)&retval, sizeof(retval),
                                       OCI_NUMBER_SIGNED, rval)))
  return(rval);
/* get the user handle */
if (qxiqtce(ctx, errhp, OCIAttrGet((dvoid *)svchp, (ub4)OCI_HTYPE_SVCCTX,
                                  (dvoid *) & usrhp, (ub4 *) 0,
                                  (ub4)OCI_ATTR_SESSION, errhp)))
  return(rval);
/**********
/* Retrieve context from key */
/***********
key = OCIRawPtr(envhp, self->sctx_qxiqtim);
keylen = OCIRawSize(envhp, self->sctx_qxiqtim);
if (qxiqtce(ctx, errhp, OCIContextGetValue((dvoid *)usrhp, errhp,
                                          key, (ub1)keylen,
                                          (dvoid **)&(icx))))
  return(rval);
/* get value of nrows */
if (qxiqtce(ctx, errhp, OCINumberToInt(errhp, nrows, sizeof(nrowsval),
                                    OCI_NUMBER_SIGNED, (dvoid *)&nrowsval)))
  return(rval);
/***********
/* Fetch rowids */
/*************/
while (!done)
 if (idx > nrowsval)
   done = 1;
  else
  {
   status = OCIStmtFetch(icx->stmthp, errhp, (ub4)1, (ub2) 0,
                         (ub4)OCI_DEFAULT);
    if (status == OCI_NO_DATA)
      short col_ind = OCI_IND_NULL;
      /* have to create dummy oci string */
     OCIStringAssignText(envhp, errhp, (text *) "dummy",
                         (ub2)5, &ridstr);
      /* append null element to collection */
      if (qxiqtce(ctx, errhp, OCICollAppend(envhp, errhp, (dvoid *)ridstr,
                                          (dvoid *)&col ind,
                                           (OCIColl *)ridarrp)))
       return(rval);
     done = 1;
   else if (status == OCI_SUCCESS)
    {
```

```
OCIStringAssignText(envhp, errhp, (text *)icx->ridp,
                          (ub2)18, (OCIString **)&ridstr);
      /* append rowid to collection */
      if (qxiqtce(ctx, errhp, OCICollAppend(envhp, errhp, (dvoid *)ridstr,
                                           (dvoid *)0, (OCIColl *)ridarrp)))
        return(rval);
      idx++;
    else if (qxiqtce(ctx, errhp, status))
     return(rval);
  }
}
/* free ridstr finally */
if (ridstr &&
    (qxiqtce(ctx, errhp, OCIStringResize(envhp, errhp, (ub4)0,
  return(rval);
*rids_ind = OCI_IND_NOTNULL;
return(rval);
```

#### Implementation of the ODCIIndexClose Routine

The scan context set up by the start routine is passed in as a parameter to the close routine. This function first retrieves the 4-byte key from the scan context. The C mapping for the scan context is qxiqtim. Next, the OCI context is looked up based on the key. This gives the memory address of the structure that holds the OCI handles the gxigtcx structure.

The function closes and frees all the OCI handles. It also frees the memory that was allocated in the start routine.

```
OCINumber *qxiqtbc(ctx, self, self_ind, env, env_ind)
OCIExtProcContext *ctx;
qxiqtim *self;
qxiqtin
                *self_ind;
ODCIEnv
               *env;
dvoid
                *env_ind;
 sword status;
 OCIEnv *envhp;
 OCISvcCtx *svchp;
  OCIError *errhp;
  OCISession *usrhp;
                                                            /* user handle */
  qxiqtcx *icx;
  int retval = (int) ODCI_SUCCESS;
  OCINumber *rval = (OCINumber *)0;
  ub1 *key;
                                                /* key to retrieve context */
  ub4 kevlen;
                                                          /* length of key */
  if (qxiqtce(ctx, errhp, OCIExtProcGetEnv(ctx, &envhp, &svchp, &errhp)))
     return(rval);
  /* set up return code */
```

```
rval = (OCINumber *)OCIExtProcAllocCallMemory(ctx, sizeof(OCINumber));
if (qxiqtce(ctx, errhp, OCINumberFromInt(errhp, (dvoid *)&retval,
                                       sizeof(retval),
                                       OCI_NUMBER_SIGNED, rval)))
  return(rval);
/* get the user handle */
if (qxiqtce(ctx, errhp, OCIAttrGet((dvoid *)svchp, (ub4)OCI_HTYPE_SVCCTX,
                                 (dvoid *) &usrhp, (ub4 *) 0,
                                  (ub4)OCI_ATTR_SESSION, errhp)))
  return(rval);
/**********
/* Retrieve context using key */
/***********
key = OCIRawPtr(envhp, self->sctx_qxiqtim);
keylen = OCIRawSize(envhp, self->sctx_qxiqtim);
if (qxiqtce(ctx, errhp, OCIContextGetValue((dvoid *)usrhp, errhp,
                                         key, (ub1)keylen,
                                         (dvoid **)&(icx))))
  return(rval);
/* Free handles and memory */
if (qxiqtce(ctx, errhp, OCIHandleFree((dvoid *)icx->stmthp,
                                   (ub4)OCI_HTYPE_STMT)))
  return(rval);
if (qxiqtce(ctx, errhp, OCIMemoryFree((dvoid *)usrhp, errhp, (dvoid *)icx)))
  return(rval);
return(rval);
```

## Implementing the Indextype

}

Create the indextype object and specify the list of operators that it supports. In addition, specify the name of the implementation type that implements the ODCIIndex interface routines.

```
CREATE INDEXTYPE psbtree
eg(VARCHAR2, VARCHAR2),
lt(VARCHAR2, VARCHAR2),
gt(VARCHAR2, VARCHAR2)
USING psbtree_im
WITH LOCAL RANGE PARTITION
```

## **Usage Examples**

One typical usage scenario is described in the following example. Create a range partitioned table and populate it.

```
CREATE TABLE t1 (f1 NUMBER, f2 VARCHAR2(200))
PARTITION BY RANGE(f1)
 PARTITION p1 VALUES LESS THAN (101),
 PARTITION p2 VALUES LESS THAN (201),
 PARTITION p3 VALUES LESS THAN (301),
```

```
PARTITION p4 VALUES LESS THAN (401)
);
INSERT INTO t1 VALUES (10, 'aaaa');
INSERT INTO t1 VALUES (200, 'bbbb');
INSERT INTO t1 VALUES (100, 'cccc');
INSERT INTO t1 VALUES (300, 'dddd');
INSERT INTO t1 VALUES (400, 'eeee');
COMMIT;
```

Create a psbtree index on column f2. The create index statement specifies the indextype to be used.

```
CREATE INDEX it1 ON t1(f2) iINDEXTYPE IS psbtree LOCAL
(PARTITION pel PARAMETERS('test1'), PARTITION pe2,
PARTITION pe3, PARTITION pe4 PARAMETERS('test4'))
PARAMETERS('test');
```

Execute a query that uses one of the sbtree operators. •

```
SELECT * FROMM t1 WHERE eq(f2, 'dddd') = 1 AND f1>101;
```

## **Explain Plan Output**

OPERATION	OPTIONS	PARTITION_START	PARTITION_STOP
SELECT STATEMENT			
PARTITION RANGE	ITERATOR	2	4
TABLE ACCESS	BY LOCAL INDEX ROWID	2	4
DOMAIN INDEX			

## **Pipelined Table Functions: Interface Approach Example**

This chapter supplements the discussion of table functions in Chapter 13, "Using Pipelined and Parallel Table Functions". The chapter shows two complete implementations of the StockPivot table function using the interface approach. One implementation is done in C and one in Java.

The function StockPivot converts a row of the type (Ticker, OpenPrice, ClosePrice) into two rows of the form (Ticker, PriceType, Price). For example, from an input row ("ORCL", 41, 42), the table function returns the two rows ("ORCL", "O", 41) and ("ORCL", "C", 42).

This chapter contains these topics:

- Pipelined Table Functions Example: C Implementation
- Pipelined Table Functions Example: Java Implementation

## **Pipelined Table Functions Example: C Implementation**

In this example, the three ODCITable interface methods of the implementation type are implemented as external functions in C. The code to implement these methods is shown after the following SQL declarations.

## SQL Declarations for C Implementation

```
-- Create the input stock table
CREATE TABLE StockTable (
 ticker VARCHAR(4),
 openprice NUMBER,
 closeprice NUMBER
-- Create the types for the table function's output collection
-- and collection elements
CREATE TYPE TickerType AS OBJECT
 ticker VARCHAR2(4),
 PriceType VARCHAR2(1),
 price NUMBER
);
```

```
CREATE TYPE TickerTypeSet AS TABLE OF TickerType;
-- Create the external library object
CREATE LIBRARY StockPivotLib IS '/home/bill/libstock.so';
-- Create the implementation type
CREATE TYPE StockPivotImpl AS OBJECT
 key RAW(4),
 STATIC FUNCTION ODCITableStart(sctx OUT StockPivotImpl, cur SYS_REFCURSOR)
 RETURN PLS_INTEGER
   AS LANGUAGE C
   LIBRARY StockPivotLib
   NAME "ODCITableStart"
   WITH CONTEXT
   PARAMETERS (
     context,
     sctx,
     sctx INDICATOR STRUCT,
     cur,
     RETURN INT
    ),
 MEMBER FUNCTION ODCITableFetch(self IN OUT StockPivotImpl, nrows IN NUMBER,
                                 outSet OUT TickerTypeSet) RETURN PLS_INTEGER
   AS LANGUAGE C
   LIBRARY StockPivotLib
   NAME "ODCITableFetch"
   WITH CONTEXT
   PARAMETERS (
     context,
     self,
     self INDICATOR STRUCT,
     nrows,
     outSet,
     outSet INDICATOR,
     RETURN INT
 MEMBER FUNCTION ODCITableClose(self IN StockPivotImpl) RETURN PLS_INTEGER
   AS LANGUAGE C
   LIBRARY StockPivotLib
   NAME "ODCITableClose"
   WITH CONTEXT
   PARAMETERS (
     context,
     self,
     self INDICATOR STRUCT,
     RETURN INT
   )
);
```

```
-- Define the ref cursor type
CREATE PACKAGE refcur_pkg IS
 TYPE refcur_t IS REF CURSOR RETURN StockTable%ROWTYPE;
END refcur_pkg;
-- Create table function
CREATE FUNCTION StockPivot(p refcur_pkg.refcur_t) RETURN TickerTypeSet
PIPELINED USING StockPivotImpl;
```

### C Implementation of the ODCITable Methods

The following code implements the three ODCITable methods as external functions in

```
#ifndef OCI ORACLE
# include <oci.h>
#endif
#ifndef ODCI_ORACLE
# include <odci.h>
#endif
                  PRIVATE TYPES AND CONSTANTS
 -----*/
/* The struct holding the user's stored context */
struct StoredCtx
 OCIStmt* stmthp;
typedef struct StoredCtx StoredCtx;
/* OCI Handles */
struct Handles_t
 OCIExtProcContext* extProcCtx;
 OCIEnv* envhp;
 OCISvcCtx* svchp;
 OCIError* errhp;
 OCISession* usrhp;
typedef struct Handles_t Handles_t;
/******************* SQL Types C representation ****************/
/* Table function's implementation type */
struct StockPivotImpl
 OCIRaw* key;
};
typedef struct StockPivotImpl StockPivotImpl;
struct StockPivotImpl_ind
```

```
{
 short _atomic;
 short key;
typedef struct StockPivotImpl_ind StockPivotImpl_ind;
/* Table function's output collection element type */
struct TickerType
 OCIString* ticker;
 OCIString* PriceType;
 OCINumber price;
typedef struct TickerType TickerType;
struct TickerType_ind
 short _atomic;
 short ticker;
 short PriceType;
 short price;
typedef struct TickerType_ind TickerType_ind;
/* Table function's output collection type */
typedef OCITable TickerTypeSet;
/*-----*/
/* Static Functions */
/*-----*/
static int GetHandles(OCIExtProcContext* extProcCtx, Handles_t* handles);
static StoredCtx* GetStoredCtx(Handles_t* handles, StockPivotImpl* self,
                         StockPivotImpl_ind* self_ind);
static int checkerr(Handles_t* handles, sword status);
/*----*/
/* Functions definitions */
/*-----*/
/* Callout for ODCITableStart */
int ODCITableStart(OCIExtProcContext* extProcCtx, StockPivotImpl* self,
              StockPivotImpl_ind* self_ind, OCIStmt** cur)
{
 Handles_t handles;
                              /* OCI hanldes */
                              /* Stored context pointer */
 StoredCtx* storedCtx;
 ub4 key;
                               /* key to retrieve stored context */
 /* Get OCI handles */
 if (GetHandles(extProcCtx, &handles))
   return ODCI_ERROR;
 /* Allocate memory to hold the stored context */
 if (checkerr(&handles, OCIMemoryAlloc((dvoid*) handles.usrhp, handles.errhp,
```

```
(dvoid**) &storedCtx,
                                      OCI_DURATION_STATEMENT,
                                      (ub4) sizeof(StoredCtx),
                                      OCI_MEMORY_CLEARED)))
   return ODCI_ERROR;
  /* store the input ref cursor in the stored context */
 storedCtx->stmthp=*cur;
  /* generate a key */
 if (checkerr(&handles, OCIContextGenerateKey((dvoid*) handles.usrhp,
                                            handles.errhp, &key)))
   return ODCI_ERROR;
  /* associate the key value with the stored context address */
 if (checkerr(&handles, OCIContextSetValue((dvoid*)handles.usrhp,
                                         handles.errhp,
                                          OCI DURATION STATEMENT,
                                          (ub1*) &key, (ub1) sizeof(key),
                                          (dvoid*) storedCtx)))
   return ODCI_ERROR;
  /* stored the key in the scan context */
 if (checkerr(&handles, OCIRawAssignBytes(handles.envhp, handles.errhp,
                                         (ub1*) &key, (ub4) sizeof(key),
                                         &(self->key))))
   return ODCI_ERROR;
  /* set indicators of the scan context */
 self ind-> atomic = OCI IND NOTNULL;
 self_ind->key = OCI_IND_NOTNULL;
 return ODCI_SUCCESS;
/* Callout for ODCITableFetch */
int ODCITableFetch(OCIExtProcContext* extProcCtx, StockPivotImpl* self,
                  StockPivotImpl_ind* self_ind, OCINumber* nrows,
                 TickerTypeSet** outSet, short* outSet_ind)
{
 Handles_t handles;
                                     /* OCI hanldes */
 StoredCtx* storedCtx;
                                    /* Stored context pointer */
                                    /* number of rows to return */
 int nrowsval;
  /* Get OCI handles */
 if (GetHandles(extProcCtx, &handles))
   return ODCI_ERROR;
  /* Get the stored context */
 storedCtx=GetStoredCtx(&handles,self,self_ind);
 if (!storedCtx) return ODCI_ERROR;
  /* get value of nrows */
 if (checkerr(&handles, OCINumberToInt(handles.errhp, nrows, sizeof(nrowsval),
                                     OCI_NUMBER_SIGNED, (dvoid *)&nrowsval)))
   return ODCI_ERROR;
```

```
/* return up to 10 rows at a time */
if (nrowsval>10) nrowsval=10;
/* Initially set the output to null */
*outSet_ind=OCI_IND_NULL;
while (nrowsval>0)
  TickerType elem;
                           /* current collection element */
  TickerType_ind elem_ind;  /* current element indicator */
  OCIDefine* defnp1=(OCIDefine*)0; /* define handle */
  OCIDefine* defnp2=(OCIDefine*)0; /* define handle */
  sword status;
  char ticker[5]:
  float openprice;
  float closeprice;
  char PriceType[2];
  /* Define the fetch buffer for ticker symbol */
  if (checkerr(&handles, OCIDefineByPos(storedCtx->stmthp, &defnp1,
                                      handles.errhp, (ub4) 1,
                                       (dvoid*) &ticker,
                                       (sb4) sizeof(ticker),
                                       SQLT_STR, (dvoid*) 0, (ub2*) 0,
                                       (ub2*) 0, (ub4) OCI_DEFAULT)))
   return ODCI_ERROR;
  /* Define the fetch buffer for open price */
  if (checkerr(&handles, OCIDefineByPos(storedCtx->stmthp, &defnp2,
                                      handles.errhp, (ub4) 2,
                                       (dvoid*) & openprice,
                                       (sb4) sizeof(openprice),
                                       SQLT_FLT, (dvoid*) 0, (ub2*) 0,
                                       (ub2*) 0, (ub4) OCI_DEFAULT)))
   return ODCI_ERROR;
  /* Define the fetch buffer for closing price */
  if (checkerr(&handles, OCIDefineByPos(storedCtx->stmthp, &defnp3,
                                      handles.errhp, (ub4) 3,
                                       (dvoid*) &closeprice,
                                       (sb4) sizeof(closeprice),
                                       SQLT_FLT, (dvoid*) 0, (ub2*) 0,
                                       (ub2*) 0, (ub4) OCI_DEFAULT)))
   return ODCI_ERROR;
  /* fetch a row from the input ref cursor */
  status = OCIStmtFetch(storedCtx->stmthp, handles.errhp, (ub4) 1,
                       (ub4) OCI_FETCH_NEXT, (ub4) OCI_DEFAULT);
  /* finished if no more data */
  if (status!=OCI_SUCCESS && status!=OCI_SUCCESS_WITH_INFO) break;
  /* Initialize the element indicator struct */
  elem_ind._atomic=OCI_IND_NOTNULL;
```

```
elem_ind.ticker=OCI_IND_NOTNULL;
   elem_ind.PriceType=OCI_IND_NOTNULL;
   elem_ind.price=OCI_IND_NOTNULL;
   /* assign the ticker name */
   elem.ticker=NULL;
   if (checkerr(&handles, OCIStringAssignText(handles.envhp, handles.errhp,
                                             (text*) ticker,
                                             (ub2) strlen(ticker),
                                             &elem.ticker)))
     return ODCI_ERROR;
   /* assign the price type */
   elem.PriceType=NULL;
   sprintf(PriceType, "0");
   if (checkerr(&handles, OCIStringAssignText(handles.envhp, handles.errhp,
                                             (text*) PriceType,
                                             (ub2) strlen(PriceType),
                                             &elem.PriceType)))
     return ODCI_ERROR;
   /* assign the price */
   if (checkerr(&handles, OCINumberFromReal(handles.errhp, &openprice,
                                          sizeof(openprice), &elem.price)))
     return ODCI_ERROR;
   /* append element to output collection */
   if (checkerr(&handles, OCICollAppend(handles.envhp, handles.errhp,
                                      &elem, &elem_ind, *outSet)))
     return ODCI ERROR;
   /* assign the price type */
   elem.PriceType=NULL;
   sprintf(PriceType, "C");
   if (checkerr(&handles, OCIStringAssignText(handles.envhp, handles.errhp,
                                             (text*) PriceType,
                                             (ub2) strlen(PriceType),
                                             &elem.PriceType)))
     return ODCI_ERROR;
   /* assign the price */
   if (checkerr(&handles, OCINumberFromReal(handles.errhp, &closeprice,
                                          sizeof(closeprice), &elem.price)))
     return ODCI_ERROR;
   /* append row to output collection */
   if (checkerr(&handles, OCICollAppend(handles.envhp, handles.errhp,
                   &elem, &elem_ind, *outSet)))
     return ODCI_ERROR;
   /* set collection indicator to not null */
   *outSet_ind=OCI_IND_NOTNULL;
   nrowsval-=2:
 }
 return ODCI_SUCCESS;
```

```
/* Callout for ODCITableClose */
int ODCITableClose(OCIExtProcContext* extProcCtx, StockPivotImpl* self,
                StockPivotImpl_ind* self_ind)
 Handles_t handles;
                                  /* OCI hanldes */
 StoredCtx* storedCtx;
                                  /* Stored context pointer */
 /* Get OCI handles */
 if (GetHandles(extProcCtx, &handles))
   return ODCI_ERROR;
 /* Get the stored context */
 storedCtx=GetStoredCtx(&handles,self,self_ind);
 if (!storedCtx) return ODCI_ERROR;
 /* Free the memory for the stored context */
 if (checkerr(&handles, OCIMemoryFree((dvoid*) handles.usrhp, handles.errhp,
                                   (dvoid*) storedCtx)))
   return ODCI_ERROR;
 return ODCI_SUCCESS;
/*****************************
/* Get the stored context using the key in the scan context */
static StoredCtx* GetStoredCtx(Handles_t* handles, StockPivotImpl* self,
                           StockPivotImpl_ind* self_ind)
 StoredCtx *storedCtx;
ub1 *key;
                             /* Stored context pointer */
                               /* key to retrieve context */
 ub4 keylen;
                               /* length of key */
 /* return NULL if the PL/SQL context is NULL */
 if (self_ind->_atomic == OCI_IND_NULL) return NULL;
 /* Get the key */
 key = OCIRawPtr(handles->envhp, self->key);
 keylen = OCIRawSize(handles->envhp, self->key);
 /* Retrieve stored context using the key */
 if (checkerr(handles, OCIContextGetValue((dvoid*) handles->usrhp,
                                       handles->errhp,
                                       key, (ub1) keylen,
                                       (dvoid**) &storedCtx)))
   return NULL;
 return storedCtx;
/* Get OCI handles using the ext-proc context */
static int GetHandles(OCIExtProcContext* extProcCtx, Handles_t* handles)
 /* store the ext-proc context in the handles struct */
```

```
handles->extProcCtx=extProcCtx:
  /* Get OCI handles */
 if (checkerr(handles, OCIExtProcGetEnv(extProcCtx, &handles->envhp,
                        &handles->svchp, &handles->errhp)))
   return -1;
  /* get the user handle */
 if (checkerr(handles, OCIAttrGet((dvoid*)handles->svchp,
                                  (ub4)OCI_HTYPE_SVCCTX,
                                  (dvoid*)&handles->usrhp,
                                  (ub4*) 0, (ub4)OCI_ATTR_SESSION,
                                  handles->errhp)))
   return -1:
 return 0;
/* Check the error status and throw exception if necessary */
static int checkerr(Handles_t* handles, sword status)
 text errbuf[512]; /* error message buffer */
 sb4 errcode;
                     /* OCI error code */
 switch (status)
 case OCI SUCCESS:
 case OCI_SUCCESS_WITH_INFO:
   return 0;
 case OCI_ERROR:
   OCIErrorGet ((dvoid*) handles->errhp, (ub4) 1, (text *) NULL, &errcode,
                errbuf, (ub4) sizeof(errbuf), (ub4) OCI_HTYPE_ERROR);
   sprintf((char*)errbuf, "OCI ERROR code %d",errcode);
   break:
 default:
   sprintf((char*)errbuf, "Warning - error status %d", status);
   break;
 OCIExtProcRaiseExcpWithMsg(handles->extProcCtx, 29400, errbuf,
   strlen((char*)errbuf));
 return -1;
```

## Pipelined Table Functions Example: Java Implementation

In this example, the declaration of the implementation type references Java methods instead of C functions. This is the only change from the preceding, C example: all the other objects (TickerType, TickerTypeSet, refcur\_pkg, StockTable, and StockPivot) are the same. The code to implement the Java methods is shown after the SQL declarations in the following section.

## SQL Declarations for Java Implementation

// create the directory object

```
CREATE OR REPLACE DIRECTORY JavaDir AS '/home/bill/Java';
// compile the java source
CREATE AND COMPILE JAVA SOURCE NAMED source01
USING BFILE (JavaDir, 'StockPivotImpl.java');
show errors
-- Create the implementation type
CREATE TYPE StockPivotImpl AS OBJECT
 key INTEGER,
  STATIC FUNCTION ODCITableStart(sctx OUT StockPivotImpl, cur SYS_REFCURSOR)
   RETURN NUMBER
   AS LANGUAGE JAVA
    NAME 'StockPivotImpl.ODCITableStart(oracle.sql.STRUCT[], java.sql.ResultSet)
return java.math.BigDecimal',
  MEMBER FUNCTION ODCITableFetch(self IN OUT StockPivotImpl, nrows IN NUMBER,
                                 outSet OUT TickerTypeSet) RETURN NUMBER
    AS LANGUAGE JAVA
    NAME 'StockPivotImpl.ODCITableFetch(java.math.BigDecimal, oracle.sql.ARRAY[])
return java.math.BigDecimal',
 MEMBER FUNCTION ODCITableClose(self IN StockPivotImpl) RETURN NUMBER
    AS LANGUAGE JAVA
    NAME 'StockPivotImpl.ODCITableClose() return java.math.BigDecimal'
);
show errors
```

## Java Implementation of the ODCITable Methods

The following code implements the three ODCITable methods as external functions in Java:

```
import java.io.*;
import java.util.*;
import oracle.sql.*;
import java.sql.*;
import java.math.BigDecimal;
import oracle.CartridgeServices.*;
// stored context type
public class StoredCtx
 ResultSet rset;
 public StoredCtx(ResultSet rs) { rset=rs; }
// implementation type
```

```
public class StockPivotImpl implements SQLData
 private BigDecimal key;
 final static BigDecimal SUCCESS = new BigDecimal(0);
  final static BigDecimal ERROR = new BigDecimal(1);
 // Implement SQLData interface.
 String sql_type;
 public String getSQLTypeName() throws SQLException
   return sql_type;
 }
 public void readSQL(SQLInput stream, String typeName) throws SQLException
   sql_type = typeName;
   key = stream.readBigDecimal();
 public void writeSQL(SQLOutput stream) throws SQLException
   stream.writeBigDecimal(key);
  // type methods implementing ODCITable interface
 static public BigDecimal ODCITableStart(STRUCT[] sctx, ResultSet rset)
   throws SQLException
   Connection conn = DriverManager.getConnection("jdbc:default:connection:");
    // create a stored context and store the result set in it
   StoredCtx ctx=new StoredCtx(rset);
   // register stored context with cartridge services
   int key;
   try {
     key = ContextManager.setContext(ctx);
   } catch (CountException ce) {
     return ERROR;
   }
    // create a StockPivotImpl instance and store the key in it
   Object[] impAttr = new Object[1];
   impAttr[0] = new BigDecimal(key);
   StructDescriptor sd = new StructDescriptor("STOCKPIVOTIMPL",conn);
   sctx[0] = new STRUCT(sd,conn,impAttr);
   return SUCCESS;
  }
 public BigDecimal ODCITableFetch(BigDecimal nrows, ARRAY[] outSet)
   throws SQLException
   Connection conn = DriverManager.getConnection("jdbc:default:connection:");
   // retrieve stored context using the key
   StoredCtx ctx;
```

```
try {
   ctx=(StoredCtx)ContextManager.getContext(key.intValue());
  } catch (InvalidKeyException ik ) {
   return ERROR;
  }
  // get the nrows parameter, but return up to 10 rows
  int nrowsval = nrows.intValue();
  if (nrowsval>10) nrowsval=10;
  // create a vector for the fetched rows
  Vector v = new Vector(nrowsval);
  int i=0:
  StructDescriptor outDesc =
   StructDescriptor.createDescriptor("TICKERTYPE", conn);
  Object[] out_attr = new Object[3];
  while(nrowsval>0 && ctx.rset.next()){
    out_attr[0] = (Object)ctx.rset.getString(1);
    out_attr[1] = (Object)new String("O");
    out_attr[2] = (Object)new BigDecimal(ctx.rset.getFloat(2));
    v.add((Object)new STRUCT(outDesc, conn, out_attr));
    out_attr[1] = (Object)new String("C");
    out_attr[2] = (Object)new BigDecimal(ctx.rset.getFloat(3));
    v.add((Object)new STRUCT(outDesc, conn, out_attr));
    i+=2;
   nrowsval-=2;
  // return if no rows found
  if(i==0) return SUCCESS;
  // create the output ARRAY using the vector
  Object out_arr[] = v.toArray();
  ArrayDescriptor ad = new ArrayDescriptor("TICKERTYPESET",conn);
  outSet[0] = new ARRAY(ad,conn,out_arr);
  return SUCCESS;
}
public BigDecimal ODCITableClose() throws SQLException {
  // retrieve stored context using the key, and remove from ContextManager
  StoredCtx ctx;
  try {
   ctx=(StoredCtx)ContextManager.clearContext(key.intValue());
  } catch (InvalidKeyException ik ) {
    return ERROR;
  }
  // close the result set
  Statement stmt = ctx.rset.getStatement();
  ctx.rset.close();
  if(stmt!=null) stmt.close();
 return SUCCESS;
}
```

}

Pipelined	Table	<b>Functions</b>	Example:	Java I	Implementation
i ipoilitou	IUDIC	1 4110110110	LAGIIIDIO.	ouvu i	mipiomicitation

# Part IV

## Reference

This part contains chapters of reference information on cartridge-related APIs:

- Chapter 18, "Cartridge Services Using C, C++ and Java"
- Chapter 19, "Extensibility Constants, Types, and Mappings"
- Chapter 20, "Extensible Indexing Interface"
- Chapter 21, "Extensible Optimizer Interface"
- Chapter 22, "User-Defined Aggregate Functions Interface"
- Chapter 23, "Pipelined and Parallel Table Functions"

## Cartridge Services Using C, C++ and Java

This reference chapter describes cartridge services available to programmers using C/C++ and Java.

This chapter contains these topics:

- OCI Access Functions for External Procedures
- Installing Java Cartridge Services Files
- Cartridge Services-Maintaining Context with ContextManager Class

**See Also:** Oracle Call Interface Programmer's Guide for more details on cartridge services using C

## **OCI Access Functions for External Procedures**

When called from an external procedure, a service routine can raise exceptions, allocate memory, and get OCI handles for callbacks to the server. To use the functions, you must specify the WITH CONTEXT clause, which lets you pass a context structure to the external procedure. The context structure is declared in header file ociextp.h as follows:

typedef struct OCIExtProcContext OCIExtProcContext;

This section describes how service routines use the context information. These routines are summarized in Table 18-1.

**See Also:** The chapter on external procedures in the *Oracle Database* Application Developer's Guide - Fundamentals. for more information and examples of usage.

Table 18–1 Summary of OCI Access Functions for External Procedures

Function	Description
OCIExtProcAllocCallMemory() on page 18-2	Allocates $n$ bytes of memory for the duration of the external procedure call.
OCIExtProcRaiseExcp() on page 18-2	Raises a predefined exception.
OCIExtProcRaiseExcpWithMsg( ) on page 18-2	Raises a user-defined exception and returns a user-defined error message.
OCIExtProcGetEnv() on page 18-2	Enables OCI callbacks to the database during an external procedure call.

## OCIExtProcAllocCallMemory()

This service routine allocates n bytes of memory for the duration of the external procedure call. Any memory allocated by the function is freed as soon as control returns to PL/SQL.

**Note:** Do not use any other function to allocate or free memory.

The C prototype for this function follows:

```
void *OCIExtProcAllocCallMemory(
   OCIExtProcContext *with_context,
   size_t amount);
```

The parameters with\_context and amount are the context pointer and number of bytes to allocate, respectively. The function returns an untyped pointer to the allocated memory. A return value of zero indicates failure.

## OCIExtProcRaiseExcp()

This service routine raises a predefined exception, which must have a valid Oracle error number in the range 1..32767. After doing any necessary cleanup, the external procedure must return immediately. (No values are assigned to OUT or IN OUT parameters.) The C prototype for this function follows:

```
int OCIExtProcRaiseExcp(
  OCIExtProcContext *with_context,
  size_t error_number);
```

The parameters with\_context and error\_number are the context pointer and Oracle error number. The return values OCIEXTPROC\_SUCCESS and OCIEXTPROC\_ERROR indicate success or failure.

## OCIExtProcRaiseExcpWithMsg()

This service routine raises a user-defined exception and returns a user-defined error message. The C prototype for this function follows:

```
int OCIExtProcRaiseExcpWithMsg(
  OCIExtProcContext *with_context,
  size_t error_number,
  text *error_message,
  size_t len);
```

The parameters with\_context, error\_number, and error\_message are the context pointer, Oracle error number, and error message text. The parameter len stores the length of the error message. If the message is a null-terminated string, *len* is zero. The return values OCIEXTPROC\_SUCCESS and OCIEXTPROC\_ERROR indicate success or failure.

## OCIExtProcGetEnv()

This service routine enables OCI callbacks to the database during an external procedure call. Use the OCI handles obtained by this function only for callbacks. If you use them for standard OCI calls, the handles establish a new connection to the database and cannot be used for callbacks in the same transaction. In other words,

during an external procedure call, you can use OCI handles for callbacks or a new connection but not for both.

The C prototype for this function follows:

```
sword OCIExtProcGetEnv(
  OCIExtProcContext *with_context,
  OCIEnv **envh,
  OCISvcCtx **svch,
  OCIError **errh);
```

The parameter *with\_context* is the context pointer, and the parameters *envh*, *svch*, and errh are the OCI environment, service, and error handles, respectively. The return values OCIEXTPROC\_SUCCESS and OCIEXTPROC\_ERROR indicate success or failure.

"Doing Callbacks" on page 5-7 shows how OCIExtProcGetEnv might be used in callbacks. For a working example, see the script extproc.sql in the PL/SQL demo directory. (For the location of this directory, see your Oracle installation or user's guide.) This script demonstrates the calling of an external procedure. The companion file extproc.c contains the C source code for the external procedure. To run the demo, follow the instructions in extproc.sql. You must use the SCOTT/TIGER account, which must have CREATE LIBRARY privileges.

## **Installing Java Cartridge Services Files**

The ODCI.jar and CartridgeServices.jar files must be installed into the SYS schema in order to use the Java classes described in this chapter.

If you installed the Java option, then you must install the ODCI.jar and CartridgeServices.jar files. You do not need to perform this task if you did not install the Java option.

To install ODCI. jar and CartridgeServices. jar files, run the following commands from the command line:

```
loadjava -user sys/PASSWORD -resolve -synonym -grant public
-verbose ORACLE_HOME/vobs/jlib/CartridgeServices.jar
loadjava -user sys/PASSWORD -resolve -synonym -grant public
-verbose ORACLE_HOME/vobs/jlib/ODCI.jar
```

Substitute the SYS password for PASSWORD, and substitute the Oracle home directory for ORACLE\_HOME. These commands install the classes and create the synonyms in the SYS schema.

**See Also:** the *Oracle Database Upgrade Guide* for further details on installing the jar files.

## Cartridge Services-Maintaining Context with ContextManager Class

The Java cartridge service is used for maintaining context. It is similar to the OCI context management service. The ContextManager class should be used when switching context between the server and the cartridge code.

ContextManager class extends Oracle class, which extends Object class.

#### **Class Interface**

public static Hashtable ctx extends Object

#### **Variable**

ctx public static Hashtable ctx

#### Constructors

ContextManager public ContextManager()

#### **Accessor Methods**

The following accessor methods are available in class Oracle.

```
setContext();
getContext()
clearContext()
```

### ContextManager()

Constructor.

ContextManager public ContextManager()

## CountException()

Constructor that extends Exception.

Class oracle.CartridgeServices.CountException

## CountException(String)

Constructor that extends Exception.

public CountException(String s)

## InvalidKeyException()

Constructor that extends Exception.

public InvalidKeyException(String s)

## InvalidKeyException(String)

Constructor that extends Exception.

public InvalidKeyException(String s)

## **Extensibility Constants, Types, and Mappings**

This chapter describes System Defined Constants and System Defined Types, which apply generically to all supported languages. It also describes mappings that are specific to the PL/SQL, C, and Java languages.

This chapter contains these topics:

- System Defined Constants
- System-Defined Types
- Mappings of Constants and Types

## **System Defined Constants**

All the constants referred to in this chapter are defined in the ODCIConst package installed as part of the catodci.sql script. There are equivalent definitions for use within C routines in odci.h. You should use these constants instead of hard coding their underlying values in your routines. To ensure that the database or packet state are not inadvertently corrupted, the following statement is always used with these methods to restrict reads and writes:

```
pragma restrict_references(ODCIConst, WNDS, RNDS, WNPS, RNPS);
```

The options described in this section fall into two categories:

- Bit-field values that can be combined using the OR operator are: ODCIIndexAlter options (Table 19–1), ODCIIndexInfo flag bits (Table 19–4), ODCIPredInfo flag bits (Table 19–5), ODICI FuncInfo flag bits(Table 19–6), ODCIQueryInfo flag bits (Table 19–7), ODCIStatsOptions flag bits (Table 19–8), and ODCIStatsOptions option bits (Table 19–9).
- Distinct values, where only one option can be specified, are: ODCIArgDesc ArgType values (Table 19-2), ODCIEnv CallProperty values (Table 19-3), ScnFlg values (Table 19–10), and ReturnStatus values (Table 19–11)

Table 19–1 ODCIIndexAlter Options

Name	Description
AlterIndexNone	Default option
AlterIndexRename	Rename Partition option
AlterIndexRebuild	Rebuild Index option
AlterIndexUpdBlockRefs	IOT Update Block References Option

Table 19–2 ODCIArgDesc.ArgType Values

Name	Description
ArgOther	Argument is other expression
ArgCol	Argument is a column name
ArgLit	Argument is a literal value
ArgAttr	Argument is an ADT attr column
ArgCursor	Argument is a CURSOR expression
ArgNull	Argument is NULL

Table 19–3 ODCIEnv.CallProperty Values

Name	Description
None	Default option
FirstCall	First partition call
Intermediate Call	Intermediate partition call
FinalCall	Final call after last partition

Table 19–4 ODCIIndexInfo.Flags Bits

Name	Description
Local	Indicates a local domain index
RangePartn	For a local domain index, indicates that the base table is range-partitioned. Is set only in conjunction with the Local bit
Parallel	Indicates that a parallel degree was specified for the index creation or alter operation
Unusable	Indicates that UNUSABLE was specified during index creation and that the index being created will be marked unusable
IndexOnIOT	Indicates that the domain index is defined on an index-organized table
TransTblspc	Indicates that the domain index is created in a transportable tablespace session.
FunctionIdx	Indicates that the index is a function-based domain index

Table 19–5 ODCIIPredInfo.Flags Bits

Name	Description
PredExactMatch	Equality predicate
PredPrefixMatch	LIKE predicate
PredIncludeStart	Include start value in index range scan
PredIncludeStop	Include stop value in index range scan
PredObjectFunc	Left hand side of predicate is a standalone function
PredObjectPkg	Left hand side of predicate is a package function
PredObjectType	Left hand site of predicate is a type method
PredObjectTable	Predicate contains columns from more than one table

Table 19-6 ODCIFuncInfo.Flags Bits

Name	Description	
ObjectFunc	Standalone function	
ObjectPkg	Package function	
ObjectType	Type method	

#### Table 19–7 ODCIQueryInfo.Flags Bits

Name	Description	
QueryFirstRows	Optimizer mode is FIRST_ROWS	
QueryAllRows	Optimizer mode is ALL_ROWS	

#### Table 19–8 ODCIStatsOptions.Flags Bits

Name	Description
EstimateStats	Estimate statistics option
ComputeStats	Compute exact statistics option
Validate	Validate index option

#### Table 19–9 ODCIStatsOptions.Options Bits

Name	Description
PercentOption	Compute statistics by sampling
RowOption	Compute statistics based on all rows

Table 19–10 ScnFlg Values; Function with Index Context

Name	Description
RegularCall	User defined operator regular call
CleanupCall	User defined operator cleanup call

Table 19-11 Return Status Values

Name	Description	
Success	Indicates a successful operation.	
Error	Indicates an error.	

## **System-Defined Types**

A number of system-defined types are defined by Oracle and need to be created by running the catodci.sql catalog script. The C mappings for these object types are defined in odci.h. The ODCIIndex and ODCIStats routines described in Chapter 20 and Chapter 21 use these types as parameters.

Unless otherwise mentioned, the names parsed as type attributes are unquoted identifiers.

### **ODCIArgDesc**

Object type. Stores function or operator arguments.

Table 19–12 DCIArgDesc Function/Operator Argument Description - Attributes

Name	Datatype	Description
ArgType	NUMBER	Argument type
TableName	VARCHAR2(30)	Name of table
TableSchema	VARCHAR2(30)	Schema containing the table
ColName	VARCHAR2(4000)	Name of column. This could be top level column name such as "A", or a nested column "A". "B" Note that the column name are quoted identifiers.
TablePartitionLower	VARCHAR2(30)	Contains the name of the lowest table partition that is accessed in the query
TablePartitionUpper	VARCHAR2(30)	Contains the name of the highest table partition that is accessed in the query
Cardinality	NUMBER	Cardinality value for CURSOR expressions

## **ODCIArgDescList**

Contains a list of argument descriptors

#### **Datatype**

VARRAY(32767) of ODCIArgDesc

#### **ODCIRidList**

Stores list of rowids. The rowids are stored in their character format.

#### **Datatype**

VARRAY(32767) OF VARCHAR2("M\_URID\_SZ")

#### **ODCIColInfo**

Stores column related information.

#### **Datatype**

Object type.

Table 19-13 ODCIColInfo Column Related Information - Attributes

Name	Datatype	Purpose
TableSchema	VARCHAR2(30)	Schema containing table
TableName	VARCHAR2(30)	Name of table
ColName	VARCHAR2(4000)	Name of column. This could be top level column name such as "A", or a nested column "A". "B" Note that the column name are quoted identifiers.
ColTypeName	VARCHAR2(30)	Datatype of column
ColTypeSchema	VARCHAR2(30)	Schema containing datatype if user-defined datatype

Table 19-13 (Cont.) ODCIColInfo Column Related Information - Attributes

Name	Datatype	Purpose
TablePartition	VARCHAR2(30)	For a local domain index, contains the name of the specific base table partition

#### **ODCIColInfoList**

Stores information related to a list of columns.

#### **Datatype**

VARRAY(32) OF ODCIColinfo

#### **ODCICost**

Object type. Stores cost information.

Table 19–14 ODCICost Cost Information - Attributes

Name	Datatype	Purpose
CPUCost	NUMBER	CPU cost
IOCost	NUMBER	I/O cost
NetworkCost	NUMBER	Communication cost
IndexCostInfo	VARCHAR2 (255)	Optional user-supplied information about the domain index for display in the PLAN table (255 characters maximum)

#### **ODCIEnv**

Object type. Contains general information about the environment in which the extensibility routines are executing.

Table 19–15 ODCIEnv Environment Variable Descriptor Information - Attributes

Name	Datatype	Purpose
EnvFlags	NUMBER	1 = Debugging On
CallProperty	NUMBER	■ 0 = None
		■ 1 = First Call
		■ 2 = Intermediate Call
		■ 3 = Final Call
DebugLevel	NUMBER	Indicates the level of debugging

#### **Usage Notes**

CallProperty is used only for local domain indexes. For non-local domain indexes it is always set to 0. For local domain indexes, CallProperty is set to indicate which is the current call in cases where multiple calls are made to the same routine.

For example, when creating a local domain index, the ODCIIndexCreate routine is called n+2 times, where n is the number of partitions. For the first call, CallProperty is set to FirstCall, for the n intermediate calls, it is set to IntermediateCall, and for the last call it is set to FinalCall.

CallProperty is used only for CREATE INDEX, DROP INDEX, TRUNCATE TABLE, and for some of the extensible optimizer-related calls for local domain indexes. In all other cases, including DML and query routines for local domain indexes, it is set to 0.

#### **ODCIFuncInfo**

Object type. Stores functional information.

Table 19–16 ODCIFuncInfo Function Information - Attributes

Name	Datatype	Purpose
ObjectSchema	VARCHAR2(30)	Object schema name
ObjectName	VARCHAR2(30)	Function/package/type name
MethodName	VARCHAR2(30)	Method name for package/type
Flags	NUMBER	Function flags - see ODCIConst

#### **ODCIIndexInfo**

Object type. Stores the metadata information related to a domain index. It is passed as a parameter to all ODCIIndex routines.

Table 19–17 ODCIIndexInfo Index Related Information - Attributes

Name	Datatype	Purpose
IndexSchema	VARCHAR2(30)	Schema containing domain index
IndexName	VARCHAR2(30)	Name of domain index
IndexCols	ODCIColInfoList	List of indexed columns
IndexPartition	VARCHAR2(30)	For a local domain index, contains the name of the specific index partition
IndexInfoFlags	NUMBER	Possible flags are:
		■ Local
		■ RangePartn
		<ul><li>Parallel</li></ul>
		■ Unusable
		■ IndexOnIOT
		■ TransTblspc
		■ FunctionIdx
IndexParaDegree	NUMBER	The degree of parallelism, if one is specified when creating or rebuilding a domain index or local domain index partition in parallel

#### **ODCIPredInfo**

Object type. Stores the metadata information related to a predicate containing a user-defined operator or function. It is also passed as a parameter to the ODCIIndexStart() query routine.

Table 19–18 ODCIPredInfo Operator Related Information - Attributes

Name	Datatype	Purpose
ObjectSchema	VARCHAR2(30)	Schema of operator/function

Table 19–18 (Cont.) ODCIPredInfo Operator Related Information - Attributes

Name	Datatype	Purpose
ObjectName	VARCHAR2(30)	Name of operator/function
MethodName	VARCHAR2(30)	Name of method, applies only to package methods type
Flags	NUMBER	Possible flags are:
		<ul><li>PredExactMatch - Exact Match</li></ul>
		<ul> <li>PredPrefixMatch - Prefix Match</li> </ul>
		<ul> <li>PredIncludeStart - Bounds include the start key value</li> </ul>
		<ul> <li>PredIncludeStop - Bounds include the stop key value</li> </ul>
		■ PredMultiTable - Predicate involves multiple tables
		<ul> <li>PredObjectFunc - Object is a function</li> </ul>
		<ul> <li>PredObjectPlg - Object is a package</li> </ul>
		<ul> <li>PredObjectType - Object is a type</li> </ul>

#### **ODCIIndexCtx**

Object type. Stores the index context, including the domain index metadata and the rowid. It is passed as parameter to the functional implementation of an operator that expects index context.

Table 19–19 ODCIIndexCtx Index Context Related Information - Attributes

Name	Datatype	Purpose
IndexInfo	ODCIIndexInfo	Stores the metadata information about the domain index
rid	VARCHAR2("M_URID_SZ")	Row identifier of the current row

## **ODCIObject**

Object type. Stores information about a schema object.

Table 19–20 ODCIObject Index Context Related Information - Attributes

Name	Datatype	Purpose
ObjectSchema	VARCHAR2(30)	Name of schema in which object is located
ObjectName	VARCHAR2(30)	Name of object

## **ODCIObjectList**

Stores information about a list of schema objects.

#### **Datatype**

VARRAY(32) OF ODCIObject

#### **ODCIPartInfo**

Object type. Contains the names of both the table partition and the index partition.

Table 19–21 ODCIPartInfo Index-Related Information - Attributes

Name	Datatype	Purpose
TablePartition	VARCHAR2(30)	Contains the table partition name
IndexPartition	VARCHAR2(30)	Contains the index partition name

## **ODCIQueryInfo**

Object type. Stores information about the context of a query. It is passed as a parameter to the ODCIIndexStart routine.

Table 19–22 ODCIQueryInfo Index Context Related Information - Attributes

Name	Datatype	Purpose	
Flags	NUMBER	The following flags can be set:	
		<ul> <li>QueryFirstRows - Set when the optimizer hint FIRST_ ROWS is specified in the query</li> </ul>	
		<ul><li>QueryAllRows - Set when the optimizer hint ALL_ROWS is specified in the query</li></ul>	
AncOps	ODCIObjectList	Ancillary operators referenced in the query	

## **ODCIStatsOptions**

Object type. Stores options information for DBMS\_STATS.

Table 19–23 ODCIStatsOptions Cost Information - Attributes

Name	Datatype	Purpose
Sample	NUMBER	Sample size
Options	NUMBER	DBMS_STATS options - see "ODCICost" on page 19-5
Flags	NUMBER	DBMS_STATS flags - see "ODCICost" on page 19-5

#### **ODCITabFuncStats**

Object type. Stores cardinality information for a table function.

Table 19-24 ODCITabFuncStats Parameter

Parameter	Datatype	Purpose
num_rows	NUMBER	Contains the number of rows expected to be returned by the table function

#### **ODCITabStats**

Stores table statistics for a table function.

#### **Datatype**

NUMBER

Table 19-25 ODCITabStats - Attributes

Name	Datatype	Purpose
Num_rows	NUMBER	Number of rows in table

### **ODCIBFILELIST**

Stores varrays of BFILEs.

## **Datatype**

VARRAY(32767) OF BFILE

## **ODCITabFuncInfo**

Object type. Stores information on which attributes of user-defined types in a collection need to be set by a table function.

Table 19-26 ODCITabFuncInfo Parameters

Name	Datatype	Purpose
Attrs	ODCINumberList	Indicates the attributes that need to be set
RetType	AnyType	For AnyDataSet table functions, indicates the actual return type to be expected in the AnyDataSet collection

### **ODCIDateList**

Stores varrays of DATEs.

## **Datatype**

VARRAY (32767) OF DATE

## **ODCINumberList**

Stores varrays of NUMBERs.

### **Datatype**

VARRAY(32767) OF NUMBER

### **ODCIRawList**

Stores varrays of Raws.

### **Datatype**

VARRAY(32767) OF Raw(2000)

### ODCIVarchar2List

Stores varrays of VARCHAR2s

### **Datatype**

VARRAY (32767) OF VARCHAR2 (4000)

### **ODCIFuncCallInfo**

Object type. Stores information about the functional implementation of an operator.

Table 19–27 ODCIFuncCallInfo - Attributes

Name	Datatype	Purpose
ColInfo	ODCIColInfo	Information about the column on which the operator is invoked

#### **Usage Notes**

A functional implementation can be defined with this parameter only if the operator binding is declared WITH COLUMN CONTEXT. This is useful if the functional implementation requires information about the column it was invoked on, and there is no domain index defined on the column. This argument is only populated in the function invocation if the first argument of the operator invocation is a column and there is no domain index defined on that column.

## **Mappings of Constants and Types**

This section describes language-specific mappings.

## Mappings in PL/SQL

A variety of PL/SQL mappings are common to both Extensible Indexing and the Extensible Optimizer.

- Constants are defined in the ODCIConst package found in catodci.sql
- Types are defined as object types found in catodci.sql

## Mappings in C

Mappings of constants and types are defined for C in the public header file odci.h. Each C structure to which a type is mapped has a corresponding indicator structure called structname\_ind and a reference definition called structname\_ref.

## Mappings in Java

The ODCI (Oracle Data Cartridge Interface) interfaces are described in the Oracle Data Cartridge Java API Reference documentation. To use these classes, you must first load them.

**See Also:** Chapter 18, "Cartridge Services Using C, C++ and Java" for loading instructions

# **Extensible Indexing Interface**

This chapter describes Java language Oracle Data Cartridge Interface extensible indexing interfaces. This chapter contains this topic:

Extensible Indexing - System-Defined Interface Routines

**See Also:** Oracle Data Cartridge Java API Reference

## **Extensible Indexing - System-Defined Interface Routines**

These routines are invoked by Oracle at the appropriate times based on SQL statements executed by the end user.

> **Caution:** Do not invoke these routines directly as this may result in corruption of index data.

Table 20–1 summarizes the extensible indexing routines.

Table 20–1 Summary of System-Defined Extensible Indexing Interface Routines

	-
Routine	Description
ODCIGetInterfaces() on page 20-2	Invoked when an INDEXTYPE is created by a CREATE INDEXTYPE statement or is altered.
ODCIIndexAlter() on page 20-3	Invoked when a domain index or a domain index partition is altered using an ALTER INDEX or an ALTER INDEX PARTITION statement.
ODCIIndexClose() on page 20-4	Invoked to end the processing of an operator.
ODCIIndexCreate() on page 20-5	Invoked when a domain index is created by a CREATE INDEXINDEXTYPE ISPARAMETERS statement issued by the user.
ODCIIndexDelete() on page 20-7	Invoked when a row is deleted from a table that has a domain index defined on one or more of its columns.
ODCIIndexDrop() on page 20-7	Invoked when a domain index is dropped explicitly using a DROP INDEX statement, or implicitly through a DROP TABLE or DROP USER statement.
ODCIIndexExchangePartition() on page 20-8	Invoked when an ALTER TABLE EXCHANGE PARTITIONINCLUDING INDEXES is issued on a partitioned table which has a local defined domain index.
ODCIIndexFetch() on page 20-9	Retrieves the rows satisfying the operator predicate.

Table 20-1 (Cont.) Summary of System-Defined Extensible Indexing Interface Routines

Routine	Description
ODCIIndexGetMetadata() on page 20-10	Returns a series of strings of PL/SQL code that comprise the non-dictionary metadata associated with the index.
ODCIIndexInsert() on page 20-12	Invoked when a row or a set of rows is inserted into a table that has a defined domain index on one or more of its columns.
ODCIIndexMergePartition() on page 20-13	Invoked when a ALTER TABLE MERGE PARTITION is issued on range partitioned table which has a local defined domain index.
ODCIIndexSplitPartition() on page 20-14	Invoked when an ALTER TABLE SPLIT PARTITION is invoked on a partitioned table which has a local defined domain index.
ODCIIndexStart() on page 20-14	Starts the evaluation of an operator on an indexed column.
ODCIIndexTruncate() on page 20-16	Invoked when a TRUNCATE statement is issued against a table that has a defined domain index on one of its columns.
ODCIIndexUpdate() on page 20-17	Invoked when a row is updated in a table and the updated column has a defined domain index
ODCIIndexUtilCleanup() on page 20-18	Cleans up any temporary state created by ODCIIndexUtilGetTableNames().
ODCIIndexUtilGetTableNames() on page 20-18	Determines if the secondary tables storing the index data should be transported.

## **ODCIGetInterfaces()**

The ODCIGetInterfaces function is invoked when an INDEXTYPE is created by a CREATE INDEXTYPE... statement or is altered.

#### **Syntax**

ODCIGetInterfaces(

ifclist OUT ODCIObjectList) RETURN NUMBER;

Parameter	IN/OUT	Description
ifclist	OUT	Contains information about the interfaces it supports

#### **Returns**

ODCIConst.Success on success, or ODCIConst.Error on error

- This function should be implemented as a static type method.
- This function must return SYS.ODCIINDEX2 in the ODCIObjectList if the indextype uses the second version of the ODCIIndex interface, which was implemented in the current version of the Oracle Database and is described in this book.
- To continue to use the Oracle8*i* interface, make this function return SYS.ODCIINDEX1 and do not implement subsequent versions of the routines.

## ODCIIndexAlter()

Invoked when a domain index or a domain index partition is altered using an ALTER INDEX or an ALTER INDEX PARTITION statement.

### **Syntax**

```
ODCIIndexAlter(
  ia ODCIIndexInfo,
   parms IN OUT VARCHAR2,
  alter_option NUMBER,
   env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
ia		Contains information about the index and the indexed column
parms	IN	Parameter string
		With ALTER INDEX PARAMETERS or ALTER INDEX REBUILD, contains the user specified parameter string
		With alter index rename, contains the new name of the domain index $% \left( 1\right) =\left( 1\right) \left( 1\right) +\left( 1\right) \left( 1\right) \left( 1\right) +\left( 1\right) \left( 1\right$
parms	OUT	Parameter string
		Valid only with ALTER INDEX PARAMETERS or ALTER INDEX REBUILD; Contains the resultant string to be stored in system catalogs
alter_option		Specifies one of the following options:
		<ul> <li>AlterIndexNone if ALTER INDEX [PARTITION]</li> <li>PARAMETERS</li> </ul>
		<ul><li>AlterIndexRename if ALTER INDEX RENAME [PARTITION]</li></ul>
		<ul><li>AlterIndexRebuild if ALTER INDEX REBUILD [PARTITION] [PARALLEL (DEGREE deg)] [PARAMETERS]</li></ul>
		<ul> <li>AlterIndexUpdBlockRefs if ALTER INDEX [schema.] index UPDATE BLOCK REFERENCES</li> </ul>
env		The environment handle passed to the routine

#### Returns

ODCIConst.Success on success, ODCIConst.Error on error, or ODCIConst.Warning otherwise.

- This function should be implemented as a static type method.
- An ALTER INDEX statement can be invoked for domain indexes in multiple ways.

```
ALTER INDEX index_name
PARAMETERS (parms);
or
ALTER INDEX index_name
REBUILD PARAMETERS (parms);
```

The precise behavior in these two cases is defined by the implementor. One possibility is that the first statement would merely reorganize the index based on the parameters while the second would rebuild it from scratch.

- The maximum length of the input parameters string is 1000 characters. The OUT value of the parms argument can be set to resultant parameters string to be stored in the system catalogs.
- The ALTER INDEX statement can also be used to rename a domain index in the following way:

```
ALTER INDEX index_name
RENAME TO new_index_name
```

In this case, the new name of the domain index is passed to the parms argument.

- If the PARALLEL clause is omitted, then the domain index or local domain index partition is rebuilt sequentially.
- If the PARALLEL clause is specified, the parallel degree is passed to the ODCIIndexAlter invocation in the IndexParaDegree attribute of ODCIIndexInfo, and the Parallel bit of the IndexInfoFlags attribute is set. The parallel degree is determined as follows:
  - If PARALLEL DEGREE *deg* is specified, *deg* is passed.
  - If only PARALLEL is specified, then a constant is passed to indicate that the default degree of parallelism was specified.
- If the ODCIIndexAlter routine returns with the ODCIConst.Success, the index is valid and usable. If the ODCIIndexAlter routine returns with ODCIConst.Warning, the index is valid and usable but a warning message is returned to the user. If ODCIIndexAlter returns with an error (or exception), the domain index will be marked FAILED.
- When the ODCIIndexAlter routine is being executed, the domain index is marked LOADING.
- Every SQL statement executed by ODCIIndexAlter is treated as an independent operation. The changes made by ODCIIndexCreate are not guaranteed to be atomic.
- The AlterIndexUpdBlockRefs alter option applies only to domain indexes on index-organized tables. When the end user executes an ALTER INDEX <domain\_ index> UPDATE BLOCK REFERENCES, ODCIIndexAlter is called with the AlterIndexUpdBlockRefs bit set to give the cartridge developer the opportunity to update guesses as to the block locations of rows, stored in logical rowids.

## ODCIIndexClose()

Invoked to end the processing of an operator.

## Syntax 1 4 1

```
ODCIIndexClose(
  self IN <impltype>,
  env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
self(IN)	IN	Is the value of the context returned by the previous invocation of ODCIIndexFetch
env		The environment handle passed to the routine

ODCIConst.Success on success, ODCIConst.Error on error

### **Usage Notes**

The index implementor can perform any appropriate actions to finish up the processing of an domain index scan, such as freeing memory and other resources.

## ODCIIndexCreate()

Invoked when a domain index is created by a CREATE INDEX...INDEXTYPE IS...PARAMETERS... statement issued by the user. The domain index that is created can be a non-partitioned index or a local partitioned domain index.

### Syntax 1 4 1

```
ODCIIndexCreate(
   ia ODCIIndexInfo,
   parms VARCHAR2,
   env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
ia		Contains information about the indexed column
parms		Is the PARAMETERS string passed in uninterpreted by Oracle. The maximum size of the parameter string is 1000 characters.
env		The environment handle passed to the routine

#### Returns

- ODCIConst.Success on success
- ODCIConst. Error on error
- ODCIConst.Warning
- ODCIConst. ErrContinue if the method is invoked at the partition level for creation of a local partitioned index, to continue to the next partition even in case of an error
- ODCIConst.Fatal to signify that all dictionary entries for the index are cleaned up and that the CREATE INDEX operation is rolled back. Returning this status code assumes that the cartridge code has not created any objects (or cleaned up any objects created).

- This function should be implemented as a static type method.
- The ODCIIndexCreate routine should create objects (such as tables) to store the index data, generate the index data, and store the data in the index data tables.

- The ODCIIndexCreate procedure should handle creation of indexes on both empty and non-empty tables. If the base table is not empty, the ODCIIndexCreate procedure can scan the entire table and generate index data.
- Every SQL statement executed by ODCIIndexCreate is treated as an independent operation. The changes made by ODCIIndexCreate are not guaranteed to be atomic.
- For a non-partitioned domain index, the parallel degree is passed to the ODCIIndexCreate invocation in the IndexParaDegree attribute of ODCIIndexInfo, and the Parallel bit of the IndexInfoFlags is set. The parallel degree is determined as follows:
  - If PARALLEL DEGREE deg specified, deg is passed.
  - If only PARALLEL is specified, then a constant indicating that the default degree of parallelism was specified, is passed.
  - If the PARALLEL clause is omitted altogether, the operation is done sequentially
- When the ODCIIndexCreate routine is being executed, the domain index is marked LOADING.
- If the ODCIIndexCreate routine returns with the ODCIConst.Success, the index is valid and usable. If the ODCIIndexCreate routine returns with ODCIConst.Warning, the index is valid and usable but a warning message is returned to the user. If the ODCIIndexCreate routine returns with an ODCIConst.Error (or exception), the domain index will be marked FAILED.
- The only operations permitted on FAILED domain indexes is DROP INDEX, TRUNCATE TABLE OF ALTER INDEX REBUILD.
- If a domain index is created on an column of object type which contains a REF attribute, do not dereference the REFs while building your index. Dereferencing a REF fetches data from a different table instance. If the data in the other table is modified, you will not be notified and your domain index will become incorrect.
- To create a non-partitioned domain index, the ODCIIndexCreate method is invoked once, and the only valid return codes are ODCIConstSuccess, ODCIConstWarning or ODCIConstError. The IndexPartition and TablePartition name are NULL and callProperty is also NULL.
- To create a local partitioned domain index, the ODCIIndexCreate method is invoked n+2 times, where n is the number of local index partitions. The first and the final call handle operations on the top-level index object, and the intermediate *n* calls handle partition-level objects. In the first call, a table to hold the index level metadata can be created. In the intermediate calls, independent tables to hold partition level data can be created and populated, and in the last call, indexes can be built on the index metadata tables and so forth.
- For local partitioned domain indexes, the first and the last call can return ODCIConstSuccess, ODCIConstWarning or ODCIConstError. The intermediate N calls can return ODCIConstSuccess, ODCIConstWarning, ODCIConstError or ODCIConstErrContiue. If a partition level call returns ODCIConstError, the partition is marked FAILED, the index is marked FAILED, and the create operation terminates at that point. If the call returns ODCIConstErrContinue, the partition is marked FAILED, and the method is invoked for the next partition.

- This method is invoked during ALTER TABLE ADD PARTITION too. In this case, there is only one call to ODCIIndexCreate, the IndexPartition and TablePartition name are filled in, and the callProperty is set to NULL
- Since this routine handles multiple things (namely, creation of a non-partitioned index, creation of a local index and creation of a single index partition), you must take special care to code it appropriately.

## ODCIIndexDelete()

Invoked when a row is deleted from a table that has a domain index defined on one or more of its columns.

### Syntax 1 4 1

```
ODCIIndexDelete(
   ia ODCIIndexInfo,
   rid VARCHAR2,
   oldval <icoltype>,
   env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
ia		Contains information about the index and the indexed column
rid		The row identifier of the deleted row
oldval		The value of the indexed column in the deleted row. The datatype is the same as that of the indexed column.
env		The environment handle passed to the routine

#### Returns

ODCIConst.Success on success, or ODCIConst.Error on error

### **Usage Notes**

- This function should be implemented as a static type method.
- This method should delete index data corresponding to the deleted row from the appropriate tables or files storing index data.
- If ODCIIndexDelete is invoked at the partition level, then the index partition name is filled in the ODCIIndexInfo argument.

## ODCIIndexDrop()

The ODCIIndexDrop procedure is invoked when a domain index is dropped explicitly using a DROP INDEX statement, or implicitly through a DROP TABLE or DROP USER statement.

#### Syntax 1 4 1

```
ODCIIndexDrop(
  ia ODCIIndexInfo,
   env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
ia		Contains information about the indexed column

Parameter	IN/OUT	Description
env		The environment handle passed to the routine

ODCIConst. Success on success, or ODCIConst. Error on error, or ODCIConst.Warning

While dropping a local domain index, the first n+1 calls can return ODCIConst.ErrContinue too.

### Usage Notes

- This method should be implemented as a static type method.
- This method should drop the tables storing the domain index data.
- This method is invoked for dropping a non-partitioned index, dropping a local domain index, and also for dropping a single index partition during ALTER TABLE DROP PARTITION.

For dropping a non-partitioned index, the ODCIIndexDrop is invoked once, with the IndexPartition, TablePartition and callProperty set to NULL.

For dropping a local domain index, the routine is invoked *N*+2 times, where *N* is the number of partitions.

For dropping a single index partition during ALTER TABLE DROP PARTITION, this routine is invoked once with the IndexPartition and the TablePartition filled in and the callProperty set to NULL.

The old table and the old index partition's dictionary entries are deleted before the call to ODCIIndexDrop, so the cartridge code for this routine should not rely on the existence of this data in the views.

- Since it is possible that the domain index is marked FAILED (due to abnormal termination of some DDL routine), the ODCIIndexDrop routine should be capable of cleaning up partially created domain indexes. When the ODCIIndexDrop routine is being executed, the domain index is marked LOADING.
- Note that if the ODCIIndexDrop routine returns with an ODCIConst.Error or exception, the DROP INDEX statement fails and the index is marked FAILED. In that case, there is no mechanism to get rid of the domain index except by using the FORCE option. If the ODCIIndexDrop routine returns with ODCIConst.Warning in the case of an explicit DROP INDEX statement, the operation succeeds but a warning message is returned to the user.
- Every SQL statement executed by ODCIIndexDrop is treated as an independent operation. The changes made by ODCIIndexDrop are not guaranteed to be atomic.

## ODCIIndexExchangePartition()

This method is invoked when an ALTER TABLE EXCHANGE PARTITION...INCLUDING INDEXES is issued on a partitioned table on which a local domain index is defined.

#### Syntax 1

ODCIIndexExchangePartition(

```
ia ODCIIndexInfo,
ia1 ODCIIndexInfo,
env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
ia		Contains information about the partition to exchange
ia1		Contains information about the non-local, unpartitioned domain index
env		The environment handle passed to the routine

ODCIConst.Success on success, or ODCIConst.Error on error, or ODCIConst.Warning

### **Usage Notes**

The function should be implemented as a static type method.

This method should handle both converting a partition of a domain index into a non-partitioned domain index table and converting a non-partitioned index to a partition of a partitioned domain index.

## ODCIIndexFetch()

This procedure is invoked repeatedly to retrieve the rows satisfying the operator predicate.

## **Syntax**

```
ODCIIndexFetch(
  self IN [OUT] <impltype>,
  nrows IN NUMBER,
  rids OUT ODCIRidList,
   env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
self(IN)	IN	Is the value of the context returned by the previous call (to ODCIIndexFetch or to ODCIIndexStart if this is the first time fetch is being called for this operator instance
self(OUT)	OUT	The context that is passed to the next query-time call. Note that this parameter does not have to be defined as OUT if the value is not modified in this routine.
nrows		Is the maximum number of result rows that can be returned to Oracle in this call
rids		Is the array of row identifiers for the result rows being returned by this call
env		The environment handle passed to the routine

### Returns

 ${\tt ODCIConst.Success}$  on  ${\tt Success}$  , or  ${\tt ODCIConst.Error}$  on error

## Usage Notes

- ODCIIndexFetch returns rows satisfying the operator predicate. That is, it returns the row identifiers of all the rows for which the operator return value falls within the specified bounds.
- Each call to ODCIIndexFetch can return a maximum of *nrows* number of rows. The value of *nrows* passed in is decided by Oracle based on some internal factors. However, the ODCIIndexFetch routine can return lesser than nrows number of rows. The row identifiers are returned through the output rids array. A NULL ROWID (as an element of the rids array) indicates that all satisfying rows have been returned.

Assume that there are 3000 rows which satisfy the operator predicate, and that the value of nrows = 2000. The first invocation of ODCIIndexFetch can return the first 2000 rows. The second invocation can return a rid list consisting of the remaining 1000 rows followed by a NULL element. The NULL value in rid list indicates that all satisfying rows have now been returned.

If the context value is changed within this call, the new value is passed in to subsequent query-time calls.

## ODCIIndexGetMetadata()

Returns a series of strings of PL/SQL code that comprise the non-dictionary metadata associated with the index in ia. The routine can pass whatever information is required at import time. For example, policy, version, preferences, and so on. This method is optional unless implementation-specific metadata is required.

## Syntax

```
ODCIIndexGetMetadata(
  ia IN ODCIIndexInfo,
  version IN VARCHAR2,
  new_block OUT PLS_INTEGER) RETURN VARCHAR2;
```

Parameter	IN/OUT	Description
ia	IN	Specifies the index on which export is currently working.
version	IN	Version of export making the call in the form 08.01.03.00.00.
new_block	OUT	Non-zero (TRUE): Returned string starts a new PL/SQL block. Export will terminate the current block (if any) with END; and open a new block with BEGIN before writing strings to the dump file. The routine is called again.
		0 (FALSE): Returned string continues current block. Export writes only the returned string to the dump file then calls the routine again.

Developers of domain index implementation types in 8.1.3 must implement ODCIIndexGetMetadata even if only to indicate that no PL/SQL metadata exists or that the index is not participating in fast rebuild.

#### Returns

- A null-terminated string containing a piece of an opaque block of PL/SQL code
- A zero-length string indicates no more data; export stops calling the routine

- This function should be implemented as a static type method.
- The routine will be called repeatedly until the return string length is 0. If an index has no metadata to be exported using PL/SQL, it should return an empty string upon first call.
- This routine can be used to build one or more blocks of anonymous PL/SQL code for execution by import. Each block returned will be invoked independently by import. That is, if a block fails for any reason at import time, subsequent blocks will still be invoked. Therefore any dependent code should be incorporated within a single block. The size of an individual block of PL/SQL code is limited only by the size of import's read buffer controlled by its BUFFER parameter.
- The execution of these PL/SQL blocks at import time will be considered part of the associated domain index's creation. Therefore, their execution will be dependent upon the successful import of the index's underlying base table and user's setting of import's INDEXES=Y/N parameter, as is the creation of the index.
- The routine should not pass back the BEGIN/END strings that open and close the individual blocks of PL/SQL code; export will add these to mark the individual units of execution.
- The parameter version is the version number of the currently executing export client. Since export and import can be used to downgrade a database to the previous functional point release, it also represents the minimum server version you can expect to find at import time; it may be higher, but never lower.
- The cartridge developer can use this information to determine what version of information should be written to the dump file. For example, assume the current server version is 08.02.00.00.00, but the export version handed in is 08.01.04.00.00. If a cartridge's metadata changed formats between 8.1 and 8.2, it would know to write the data to the dump file in 8.1 format anticipating an import into an 8.1.4 system. Server versions starting at 8.2 and higher will have to know how to convert 8.1 format metadata.
- The data contained within the strings handed back to export must be completely platform-independent. That is, they should contain no binary information that may reflect the endian nature of the export platform, which may be different from the import platform. Binary information may be passed as hex strings and converted through RAWTOHEX and HEXTORAW.
- The strings are translated from the export server to export client character set and are written to the dump file as such. At import time, they are translated from export client character set to import client character set, then from import client char set to import server character set when handed over the UPI interface.
- Specifying a specific target schema in the execution of any of the PL/SQL blocks should be avoided as it will most likely cause an error if you exercise import's FROMUSER -> TOUSER schema replication feature. For example, a procedure prototype such as:

```
PROCEDURE AQ_CREATE ( schema IN VARCHAR2, que_name IN VARCHAR2) ...
```

- should be avoided since this will fail if you have remapped schema A to schema B on import. You can assume at import time that you are already connected to the target schema.
- Export dump files from a particular version must be importable into all future versions. This means that all PL/SQL routines invoked within the anonymous PL/SQL blocks written to the dump file must be supported for all time. You may

wish to encode some version information to assist with detecting when conversion may be required.

- Export will be operating in a read-only transaction if its parameter CONSISTENT=Y. In this case, no writes are allowed from the export session. Therefore, this method must not write any database state.
- You can attempt to import the same dump file multiple times, especially when using import's IGNORE=Y parameter. Therefore, this method must produce PL/SQL code that is idempotent, or at least deterministic when executed multiple times.
- Case on database object names must be preserved; that is, objects named 'Foo' and 'FOO' are distinct objects. Database object names should be enclosed within double quotes ("") to preserve case.

### **Error Handling**

Any unrecoverable error should raise an exception allowing it to propagate back to get\_domain\_index\_metadata and thence back to export. This will cause export to terminate the creation of the current index's DDL in the dump file and to move on to the next index.

At import time, failure of the execution of any metadata PL/SQL block will cause the associated index not to be created under the assumption that the metadata creation is an integral part of the index creation.

## ODCIIndexInsert()

Invoked when a row or a set of rows is inserted into a table that has a domain index defined on one or more of its columns.

Function	Description
ODCIIndexInsert(    ia ODCIIndexInfo,    rid VARCHAR2,    newval <icoltype>,    env ODCIEnv) RETURN NUMBER;</icoltype>	Inserts a single row
ODCIIndexInsert(     ia ODCIIndexInfo,     ridlist ODCIRidList,     newvallist <varray column="" of="" type="">,     env ODCIEnv) RETURN NUMBER;</varray>	inserts a set of rows

Parameter	IN/OUT	Description
ia		Contains information about the index and the indexed column
rid		The row identifier of the new row in the table
newval		The value of the indexed column in the inserted row
ridlist		A varray (maximum size 32767) containing the list of rowids for the rows being inserted into the base table
newvallist		A varray (maximum size 32767) containing the list of values being inserted into the indexed column in the base table; these entries have a one-to-one correspondence with the entries in ridlist

Parameter	IN/OUT	Description
env		The environment handle passed to the routine
ia		Contains information about the index and the indexed column

ODCIConst.Success on success, or ODCIConst.Error on error

### **Usage Notes**

- This function should be implemented as a static type method.
- This method should insert index data corresponding to the row or set of rows passed in into the appropriate tables or files storing index data. A NULL value in ridlist indicates the end of the varray.
- If the indextype is defined WITH ARRAY DML, a batch of rows can be inserted into the table. In this case, ODCIIndexInsert is invoked using the second of the two syntax synopses. Otherwise, the single-row syntax is used.
- If ODCIIndexInsert is invoked at the partition level, then the index partition name is filled in the ODCIIndexInfo argument.

## ODCIIndexMergePartition()

Invoked when a ALTER TABLE MERGE PARTITION is issued on range partitioned table on which a local domain index is defined.

## **Syntax**

```
ODCIIndexMergePartition(
  ia ODCIIndexInfo,
  part_name1 ODCIPartInfo,
  part_name2 ODCIPartInfo,
  parms VARCHAR2,
  env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
ia		Contains index and table partition name for one of the partitions to be merged
part_name1		Contains index and table partition name for the second partition to be merged
part_name2		Holds index and table partition name for the merged partition
parms		Contains the parameter string for the resultant merged partition, essentially the default parameter string associated with the index
env		The environment handle passed to the routine

#### Returns

ODCIConst.Success on success, or ODCIConst.Error on error, or ODCIConst.Warning.

### **Usage Notes**

The function should be implemented as a static type method.

You should create a new table representing the resultant merged partition and populate it with data from the merged partitions. Then drop the tables corresponding to the merged index partitions. Also, the newly created partition should pick the default parameter string associated with the index level.

The old table and the old index partitions' dictionary entries are deleted before the call to ODCIIndexMergePartition, so the cartridge code for this routine should not rely on the existence of this data in the views.

## ODCIIndexSplitPartition()

Invoked when an ALTER TABLE SPLIT PARTITION is invoked on a partitioned table on which a local domain index is defined.

## **Syntax**

```
ODCIIndexSplitPartition(
  ia ODCIIndexInfo,
  part_name1 ODCIPartInfo,
  part_name2 ODCIPartInfo,
  parms VARCHAR2,
  env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
ia		Contains the information about the partition to be split
part_name1		Holds the index and table partition names for one of the new partitions
part_name2		Holds the index and table partition names for the other new partition
parms		Contains the parameter string for the new partitions; essentially, the parameter string associated with the index partition that is being split
env		The environment handle passed to the routine

#### Returns

ODCIConst. Success on success, or ODCIConst. Error on error, or ODCIConst.Warning.

#### **Usage Notes**

- The function should be implemented as a static type method.
- Cartridge writers need to drop the metadata corresponding to the partition that is split and create metadata for the two partitions that are created as a result of the split. The index data corresponding to these partitions need not be computed since the indexes are marked UNUSABLE. When the user issues ALTER INDEX REBUILD PARTITION to make the indexes usable, the indexes can be built.
- The old table and the old index partition's dictionary entries are deleted before the call to ODCIIndexSplitPartition, so the cartridge code for this routine should not rely on the existence of this data in the views.

## ODCIIndexStart()

Invoked to start the evaluation of an operator on an indexed column.

## **Syntax**

```
ODCIIndexStart(
   sctx IN OUT <impltype>,
   ia ODCIIndexInfo,
  pi ODCIPredInfo,
   qi ODCIQueryInfo,
   strt <opbndtype>,
   stop <opbndtype>,
   <valargs>,
   env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
sctx	IN	The value of the scan context returned by some previous related query-time call (such as the corresponding ancillary operator, if invoked before the primary operator); NULL otherwise
sctx	OUT	The context that is passed to the next query-time call; the next query-time call will be to ODCIIndexFetch
ia		Contains information about the index and the indexed column
pi		Contains information about the operator predicate
qi		Contains query information (hints plus list of ancillary operators referenced)
strt		The start value of the bounds on the operator return value. The datatype is the same as that of the operator's return value
stop		The stop value of the bounds on the operator return value. The datatype is the same as that of the operator's return value.
valargs		The value arguments of the operator invocation. The number and datatypes of these arguments are the same as those of the value arguments to the operator.
env		The environment handle passed to the routine

#### Returns

 ${\tt ODCIConst.Success}\ on\ success, or\ {\tt ODCIConst.Error}\ on\ error$ 

- The function should be implemented as a static method.
- ODCIIndexStart is invoked to begin the evaluation of an operator on an indexed column. In particular, the following conditions hold:
  - The first argument to the operator is a column which has a domain index defined on it.
  - The indextype of the domain index (specified in ODCIIndexInfo parameter) supports the current operator.
  - All other arguments to the operator are value arguments (literals) which are passed in through the <valargs> parameters.
- The ODCIIndexStart method should initialize the index scan as needed (using the operator-related information in the pi argument) and prepare for the subsequent invocations of ODCIIndexFetch.
- The strt, stop parameters together with the bndflg value in ODCIPredInfo parameter specify the range of values within which the operator return value should lie.

- Bounds for operator return values are specified as follows:
  - If the predicate to be evaluated is of the form op LIKE val, the ODCIIndexPrefixMatch flag is set. In this case, the start key contains the value <val> and the stop key value is irrelevant.
  - If the predicate to be evaluated is of the form op = val, the ODCIIndexExactMatch flag is set. In this case, the start key contains the value <val> and the stop key value is irrelevant.
  - If the predicate to be evaluated is of the form op > val, startkey contains the value <val> and stop key value is set to NULL. If the predicate is of the form op >= <val>, the flag ODCIIndexIncludeStart is also set.
  - If the predicate to be evaluated is of the form op < val, stop key contains the value <val> and the start key value is set to NULL. If the predicate is of the form op <= val, the flag ODCIIndexIncludeStop is also set.
- A context value can be returned to Oracle (through the SELF argument) which will then be passed back to the next query-time call. The next call will be to ODCIIndexFetch if the evaluation continues, or to ODCIIndexStart if the evaluation is restarted. The context value can be used to store the entire evaluation state or just a handle to the memory containing the state.
- If the same indextype supports multiple operators with different signatures, multiple ODCIIndexStart methods need to be implemented, one for each distinct combination of value argument datatypes. For example, if an indextype supports three operators:
  - 1. op1(number, number)
  - **2.** op1(varchar2, varchar2)
  - 3. op2(number, number)

two ODCIIndexStart routines would need to be implemented:

- ODCIIndexStart(..., NUMBER) handles cases (1) and (3) which has a NUMBER value argument
- ODCIIndexStart(..., VARCHAR2) handles case (2) which has a VARCHAR2 value argument
- The query information in qi parameter can be used to optimize the domain index scan, if possible. The query information includes hints that have been specified for the query and the list of relevant ancillary operators referenced in the query block.

## ODCIIndexTruncate()

Invoked when a TRUNCATE statement is issued against a table that has a domain index defined on one of its columns.

#### Syntax

```
ODCIIndexTruncate(
  ia ODCIIndexInfo,
  env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
ia		Contains information about the indexed column
env		The environment handle passed to the routine

ODCIConst. Success on success, or ODCIConst. Error on error, or ODCIConst.Warning.

While truncating a local domain index, the first *N*+1 calls can return ODCIConst.ErrContinue too.

### **Usage Notes**

- This function should be implemented as a static type method.
- After this function executes, the domain index should be empty (corresponding to the empty base table).
- While the ODCIIndexTruncate routine is being executed, the domain index is marked LOADING. If the ODCIIndexTruncate routine returns with an ODCIConst.Error (or exception), the domain index will be marked FAILED. The only operation permitted on FAILED domain indexes is DROP INDEX, TRUNCATE TABLE OF ALTER INDEX REBUILD. If ODCIIndexTruncate returns with ODCIConst.Warning, the operation succeeds but a warning message is returned to the user.
- Every SQL statement executed by ODCIIndexTruncate is treated as an independent operation. The changes made by ODCIIndexTruncate are not guaranteed to be atomic.
- This method is invoked for truncating a non-partitioned index, truncating a local domain index, and also for truncating a single index partition during ALTER TABLE TRUNCATE PARTITION.

For truncating a non-partitioned index, the ODCIIndexTruncateis invoked once, with the IndexPartition, TablePartition and callProperty set to NULL.

For truncating a local domain index, the routine is invoked *N*+2 times, where *N* is the number of partitions.

For truncating a single index partition during ALTER TABLE TRUNCATE PARTITION, this routine is invoked once with the IndexPartition and the TablePartition filled in and the callProperty set to NULL.

## ODCIIndexUpdate()

Invoked when a row is updated in a table and the updated column has a domain index defined on it.

### **Syntax**

```
ODCIIndexUpdate(
   ia ODCIIndexInfo,
   rid VARCHAR2.
   oldval <icoltype>,
   newval <icoltype>,
   env ODCIEnv) RETURN NUMBER;
```

Parameter	IN/OUT	Description
ia		Contains information about the index and the indexed column
rid		The row identifier of the updated row

Parameter	IN/OUT	Description
oldval		The value of the indexed column before the update. The datatype is the same as that of the indexed column.
newval		The value of the indexed column after the update. The datatype is the same as that of the indexed column.
env		The environment handle passed to the routine

ODCIConst.Success on success, or ODCIConst.Error on error

### Usage Notes

- The function should be implemented as a static type method.
- This method should update the tables or files storing the index data for the updated row.
- In addition to a SQL UPDATE statement, a LOB value can be updated through a variety of WRITE interfaces (see Oracle Database Application Developer's Guide -Large Objects). If a domain index is defined on a LOB column or an object type containing a LOB attribute, the ODCIIndexUpdate routine is called when a LOB locator is implicitly or explicitly closed after one or more write operations.
- If ODCIIndexUpdate is invoked at the partition level, then the index partition name is filled in the ODCIIndexInfo argument.

## ODCIIndexUtilCleanup()

Implemented only if you want to have transportable tablespace support for domain indexes defined using this indextype. It is invoked during a transportable tablespace session to cleanup any temporary state created by ODCIIndexUtilGetTableNames.

#### Syntax 1 4 1

```
ODCIIndexUtilCleanup (
  context PLS INTEGER);
```

Parameter	IN/OUT	Description
context		The number created by ODCIIndexGetTableNames that uniquely identifies state information for a particular index.

#### Usage Notes

- The procedure should be implemented as a static type method.
- ODCIIndexUtilCleanup deletes any temporary state associated with the parameter context.
- Exceptions raised by ODCIIndexUtilCleanup will be ignored by its caller.

## ODCIIndexUtilGetTableNames()

This method needs to be implemented only if you want to have transportable tablespace support for domain indexes defined using this indextype. It is invoked during a transportable tablespace session to determine if the secondary tables storing the index data should be transported.

### **Syntax**

ODCIIndexUtilGetTableNames( ia sys.odciindexinfo, read\_only PLS\_INTEGER, version varchar2, context OUT PLS\_INTEGER) RETURN BOOLEAN;

Parameter	IN/OUT	Description
ia		Contains information about the index and the indexed column
read_only		Specify 1 if the encompassing transaction is read-only, meaning no writes allowed. Otherwise $0$ .
version		Version of export making the call.
context		A unique number that is used by ODCIIndexUtilCleanup to facilitate the clean up of any state held open between ODCIIndexUtilGetTableNames and ODCIIndexCleanup.

#### Returns

TRUE if the domain indexes' secondary tables should be transported. Otherwise, the function returns FALSE.

- This function should be implemented as a static type method.
- This function should return TRUE or FALSE based on whether the secondary tables should be transported or not. Secondary objects other than tables do not participate in transportable tablespaces. They will need to be recreated on the import side when the ODCIIndexCreate method is invoked with the ODCI\_ INDEX\_TRANS\_TBLSPC bit set in the ODCIIndexInfo.IndexInfoFlags.

# **Extensible Optimizer Interface**

This chapter describes the functions and procedures that comprise the interface to the extensible optimizer.

This chapter contains these topics:

- The Extensible Optimizer Interface
- **User-Defined ODCIStats Functions**

## The Extensible Optimizer Interface

This section discusses the components of the Extensible Optimizer interface.

The extensible optimizer interfaces support working with partitioned tables and domain indexes. This is accomplished in two ways:

- Additional attributes have been added to some of the system-defined object types that are parameters to the ODCIStats interface methods. For example, the ODCIColinfo type is enhanced to add information about the column's partition.
- Arguments or semantics of the arguments have changed for some ODCIStats methods. For example, the ODCIStatsDelete interface is changed to add an OUT argument to contain updated aggregate statistics.

If your application is developed for the Oracle8i database, you have two options:

- If you don't want to use the new functionality, you do not need to change your code. You must, however, recompile your files and reload the shared library on the server machine, and you must not attempt to use the additional information being passed in any newly added system-type attributes.
- If you want to use the new functionality, you must update your code for the new attributes added to the various system-defined types, and you must code for the new arguments added to various ODCIStats functions. You must also return 'SYS.ODCISTATS2' in the OUT argument in the ODCIGetInterfaces routine. This tells the server to invoke the version of the ODCIStats methods that uses the new arguments.

Note that you must update your code for ODCIStats2 version of the ODCIStats interfaces to use your statistics type with an indextype that implements the ODCIIndex2 version of the extensible indexing interfaces.

#### Example 21–1 How to Use Statistics Functions in an Extensible Optimizer Interface

Consider an example of how the statistics functions might be used. Suppose, in the schema SCOTT, we define the following:

```
CREATE OPERATOR Contains binding (VARCHAR2 (4000), VARCHAR2 (30))
  RETURN NUMBER USING Contains_fn;
CREATE TYPE stat1 (
   STATIC FUNCTION ODCIStatsSelectivity(pred ODCIPredInfo, sel OUT NUMBER,
      args ODCIArgDescList, start NUMBER, stop NUMBER, doc VARCHAR2(4000),
      key VARCHAR2(30)) return NUMBER,
   STACTIC FUNCTION ODCIStatsFunctionCost(func ODCIFuncInfo, cost OUT
      ODCICost, args ODCIArgDescList, doc VARCHAR2(4000), key VARCHAR2(30))
      return NUMBER,
   STATIC FUNCTION ODCIStatsIndexCost(ia ODCIIndexInfo, sel NUMBER,
      cost OUT ODCICost, qi ODCIQueryInfo, pred ODCIPredInfo,
      args ODCIArgDescList, start NUMBER, stop NUMBER,
     key VARCHAR2(30)) return NUMBER,
):
CREATE TABLE T (resume VARCHAR2(4000));
CREATE INDEX T_resume on T(resume) INDEXTYPE IS indtype;
ASSOCIATE STATISTICS WITH FUNCTIONS Contains_fn USING stat1;
ASSOCIATE STATISTICS WITH INDEXES T_resume USING stat1;
When the optimizer encounters the query
```

```
SELECT * FROM T WHERE Contains(resume, 'ORACLE') = 1,
```

it will compute the selectivity of the predicate by invoking the user-defined selectivity function for the functional implementation of the Contains operator. In this case, the selectivity function is stat1.ODCIStatsSelectivity. It will be called as follows:

```
stat1.0DCIStatsSelectivity (
  ODCIPredInfo('SCOTT', 'Contains_fn', NULL, 29),
  ODCIArgDescList(
     ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL),
     ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL),
     ODCIArgDesc(ODCIConst.ArgCol, 'T', 'SCOTT', '"resume"'),
     ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL)),
      1,
     1.
     NULL,
      'ORACLE')
```

Suppose the selectivity function returns a selectivity of 3 (percent). When the domain index is being evaluated, then the optimizer will call the user-defined index cost function as follows:

```
stat1.ODCIStatsIndexCost (
  ODCIIndexInfo('SCOTT', 'T_resume',
     ODCIColInfoList(ODCIColInfo('SCOTT', 'T', '"resume"', NULL, NULL))),
     3,
     cost,
     NULL,
     ODCIPredInfo('SCOTT', 'Contains', NULL, 13),
     ODCIArgDescList( ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL),
                       ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL),
                       ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL)),
```

```
1,
1,
'ORACLE')
```

Suppose that the optimizer decides not to use the domain index because it is too expensive. Then it will call the user-defined cost function for the functional implementation of the operator as follows:

```
stat1.ODCIStatsFunctionCost (
  ODCIFuncInfo('SCOTT', 'Contains_fn', NULL, 1),
  ODCIArgDescList( ODCIArgDesc(ODCIConst.ArgCol, 'T', 'SCOTT', '"resume"'),
                    ODCIArgDesc(ODCIConst.ArgLit, NULL, NULL, NULL)),
  NULL.
   'ORACLE')
```

The following sections describe each statistics type function in greater detail.

### **EXPLAIN PLAN**

EXPLAIN PLAN has been enhanced to show the user-defined CPU and I/O costs for domain indexes in the CPU\_COST and IO\_COST columns of PLAN\_TABLE. For example, suppose we have a table Emp\_tab and a user-defined operator Contains. Further, suppose that there is a domain index EmpResume\_indx on the Resume\_col column of Emp\_tab, and that the indextype of EmpResume\_indx supports the operator Contains. Then, the query

```
SELECT * FROM Emp_tab WHERE Contains(Resume_col, 'Oracle') = 1
```

might have the following plan:

OPERATION	OPTIONS	OBJECT_NAME	CPU_COST	IO_COST
SELECT STATEMENT				
TABLE ACCESS	BY ROWID	EMP_TAB		
DOMAIN INDEX		EMPRESUME_INDX	300	4

### **INDEX Hint**

The index hint will apply to domain indexes. In other words, the index hint will force the optimizer to use the hinted index for a user-defined operator, if possible.

## ORDERED\_PREDICATES Hint

The hint ORDERED\_PREDICATES forces the optimizer to preserve the order of predicate evaluation (except predicates used for index keys) as specified in the WHERE clause of a SQL DML statement.

## **User-Defined ODCIStats Functions**

User-defined ODCIStats functions are used for table columns, functions, package, type, indextype or domain indexes. These functions are described in the following sections.

Table 21–1 Summary of Userd-Defined ODCIStats Functions

Function	Description
ODCIGetInterfaces() on page 21-4	Discover which version of the ODCIStats interface the user has implemented.
ODCIStatsCollect() on page 21-5	Called by the DBMS_STATS package to collect user-defined statistics on a table or a partition of a table.
ODCIStatsCollect() on page 21-6	Called by the to collect user-defined statistics on an index or a partition of an index.
ODCIStatsDelete() on page 21-6	Deletes user-defined statistics on a table or a partition of a table.
ODCIStatsDelete() on page 21-7	Deletes user-defined statistics on an index or a partition of an index.
ODCIStatsFunctionCost() on page 21-8	Computes the cost of a function.
ODCIStatsIndexCost() on page 21-9	Calculates the cost of a domain index scan.
ODCIStatsSelectivity() on page 21-10	Specifies the selectivity of a predicate.
ODCIStatsTableFunction() on page 21-12	Provides cardinality statistics for table functions and input cursor expressions.

## ODCIGetInterfaces()

ODCIGetInterfaces is invoked by the server to discover which version of the ODCIStats interface the user has implemented in the methods of the user-defined statistics type.

To continue to use existing Oracle8i code that does not support partitioning, have this function specify SYS.ODCISTATS1 in the ODCIObjectList, instead of SYS.ODCISTATS2 for the current Oracle Database version.

### **Syntax**

ODCIGetInterfaces(

ifclist OUT ODCIObjectList) RETURN NUMBER;

Parameter	IN/OUT	Description
ifclist	OUT	The version of the ODCIStats interfaces implemented by the statistics type. This value should be SYS.ODCISTATS2 unless working with Oracle8i version.

#### Returns

ODCIConst.Success on success, ODCIConst.Error otherwise.

### **Usage Notes**

Different versions of ODCIStats functions are used by Oracle8i and subsequent versions of Oracle Database. More recent versions adds parameters to some functions to support working with statistics on partitions of a table or domain index. ODCIGetInterfaces must return the string 'SYS.ODCISTATS2' in the ODCIObjectList parameter, which indicates that the statistics type uses the current form of the ODCIStats interface.

## ODCIStatsCollect()

Called by the DBMS\_STATS package to collect user-defined statistics on a table or a partition of a table.

#### Syntax 1 4 1

```
FUNCTION ODCIStatsCollect(
  col ODCIColInfo,
  options ODCIStatsOptions,
   statistics OUT RAW) return NUMBER;
```

Parameter	IN/OUT	Description
col		column for which statistics are being collected
options		options passed to DBMS_STATS
statistics		user-defined statistics collected

#### **Returns**

The function returns ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

- This function should be implemented as a static type method.
- If statistics are being collected for only one partition, the TablePartition field in the ODCIColInfo type is filled in with the name of the partition. Otherwise (if statistics need to be collected for all the partitions or for the entire table), the TablePartition field is null.
- If the DBMS\_STATS package methods are executed to collect user-defined statistics on a partitioned table, then n+1 ODCIStatsCollect calls are made, where n is the number of partitions in the table. The first n calls are made with the TablePartition attribute in ODCIColInfo filled in with the partition name and the ODCIStatsOptions.CallProperty set to IntermediateCall.The last call is made with ODCIEnv.CallPropertyflag set to FinalCall to allow you to collect aggregate statistics for the entire table. The OUT statistics in the first call are ignored by the server. The OUT statistics in the subsequent n calls are inserted into the USTATS\$ table corresponding to the partitions. The OUT statistics in the last call are the aggregate statistics for the table. The ODCIColInfo. Partition field is NULL in the first and last calls.
- If user-defined statistics are being collected for only one partition of the table, two ODCIStatsCollect calls are made. In the first, you should collect statistics for the partition. For this call, the TablePartition attribute of the ODCIColInfo structure is filled in and the ODCIEnv. CallProperty is set to FirstCall. The statistics in the OUT arguments in the ODCIStatsCollect call are inserted into the USTATS\$ table corresponding to the partition.
- In the second call you can update the aggregate statistics of the table based upon the new statistics collected for the partition. In this call, the ODCIEnv. Call Property flag is set to Final Call to indicate that it is the second call. If you do not want to modify the aggregate statistics, read the aggregate statistics of the table from the catalog and pass that back in the statistics field as the OUT argument. Whatever value is present in the statistics argument is written in the USTATS\$ by the server. The ODCIColInfo. TablePartition is filled in with the partition name in both the calls.

Return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call to indicate that you are using a post Oracle8*i* version of the ODCISTATS interface that supports partitioning.

## ODCIStatsCollect()

ODCIStatsCollect is called by the to collect user-defined statistics on an index or a partition of an index.

#### Syntax 1 4 1

```
FUNCTION ODCIStatsCollect(
  ia ODCIIndexInfo,
  options ODCIStatsOptions,
  statistics OUT RAW) return NUMBER;
```

Parameter	IN/OUT	Description	_
ia		domain index for which statistics are being collected	
options		options passed to DBMS_STATS	
statistics		user-defined statistics collected	

#### Returns

The function returns ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

#### **Usage Notes**

This function should be implemented as a static type method.

If statistics are being collected for the entire partitioned index, the IndexPartition field is null, and n+2 calls are made to the ODCIStatsCollect function. This scenario is similar to that described for the column version of ODCIStatsCollect().

If the statistics are being collected for a single partition of the index, the IndexPartition field contains the name of the partition, and two calls are made to the ODCIStatsCollect function. The first call is made to obtain the statistics for the index partition, and the second call is made to obtain the aggregate statistics for the domain index.

To collect statistics on a non-partitioned domain index only a single call is made to the ODCIStatsCollect function.

Return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call to indicate that you are using a post-Oracle8i version of the ODCISTATS interface that supports partitioning.

## ODCIStatsDelete()

ODCIStatsDelete is called to delete user-defined statistics on a table or a partition of a table.

## Syntax 1 4 1

```
FUNCTION ODCIStatsDelete(
  col ODCIColInfo,
  statistics OUT RAW,
  env ODCIEnv) return NUMBER;
```

Parameter	IN/OUT	Description
col		Column for which statistics are being deleted
statistics	OUT	Contains table-level aggregate statistics for a partitioned table
env		Contains information about how many times the function has been called by the server

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

#### **Usage Notes**

This function should be implemented as a static method.

When the function is called for a non-partitioned table, the statistics argument in the ODCIStatsDelete interface is ignored.

If the statistics are being deleted for a partitioned table, the ODCIStatsDelete is called n+1 times. The first n calls are with the partition name filled in the ODCIColinfo structure and the ODCIEnv. CallProperty set to IntermediateCall. The last call is made with the ODCIEnv. CallProperty set to FinalCall.

The order of operations that you must perform for a delete are the inverse of what you do to collect statistics: In the first call, delete the table-level statistics from your statistics tables; in the intermediate n calls, delete the statistics for the specific partitions; and in the last call drop or clean up any structures created for holding statistics for the deleted table. The ODCIColInfo. TablePartition is set to null in the first and last calls. In the intermediate n calls, the TablePartition field is filled in

If statistics are being deleted for only one partition and the \_minimal\_stats\_ aggregation parameter is set to FALSE, two ODCIStatsDelete calls are made. In each call, ODCIColInfo. TablePartition is filled in with the partition name. On the first call, delete any user-defined statistics collected for that partition. On the second call, update the aggregate statistics for the table and return these aggregate statistics as an OUT argument.

If statistics are being deleted for one partition and \_minimal\_stats\_aggregation is set to TRUE, ODCIStatsDelete is only called one to delete any user-defined statistics collected for that partition.

The initial value of \_minimal\_stats\_aggregation is TRUE.

Return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call to indicate that you are using a post-Oracle8*i* version of the ODCISTATS interface that supports partitioning.

## ODCIStatsDelete()

ODCIStatsDelete is called to delete user-defined statistics on an index or a partition of an index.

### **Syntax**

FUNCTION ODCIStatsDelete( ia ODCIIndexInfo, statistics OUT RAW, env ODCIEnv) return NUMBER;

Parameter	IN/OUT	Description
ia		Domain index for which statistics are being deleted
statistics	OUT	Contains aggregate statistics for a partitioned index
env		Contains information about how many times the function has been called by the server

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

#### **Usage Notes**

- This function should be implemented as a static method.
- When the function is called for a non-partitioned index, the statistics argument in the ODCIStatsDelete interface is ignored.
- If statistics are being deleted for a partitioned index, ODCIStatsDelete is called *n*+2 times. The first and the last call are made with the ODCIEnv.CallProperty set to FirstCall and FinalCall respectively and do not have the partition name set in the ODCIIndexInfo type. The intermediate *n* calls are made with the partition name filled in the ODCIIndexInfo structure and the ODCIEnv.CallProperty set to IntermediateCall.
- The order of operations that you must perform to delete statistics are the inverse of what you do to collect statistics: In the first call, delete the index-level statistics from your statistics tables; in the intermediate n calls, delete the statistics for the specific partitions; and in the last call drop or clean up any structures created for holding the deleted statistics. The ODCIIndexInfo.IndexPartition is set to null in the first and last calls. In the intermediate n calls, the IndexPartition field is filled in.
- If statistics are being deleted for only one partition and the parameter \_minimal\_ stats\_aggregation is set to FALSE, two ODCIStatsDelete calls are made. In each call, ODCIIndexInfo. IndexPartition is filled in with the partition name. On the first call, delete any user-defined statistics collected for that partition. On the second call, update the aggregate statistics for the index and return these aggregate statistics as an OUT argument.
- If statistics are being deleted for one partition and \_minimal\_stats\_ aggregation is set to TRUE, ODCIStatsDelete is only called one to delete any user-defined statistics collected for that index.
- The initial value of \_minimal\_stats\_aggregation is TRUE.
- Return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call to indicate that you are using a version of the ODCISTATS interface that supports partitioning.

## ODCIStatsFunctionCost()

Computes the cost of a function.

### **Syntax**

```
FUNCTION ODCIStatsFunctionCost(
   func ODCIFuncInfo,
   cost OUT ODCICost,
   args ODCIArgDescList,
   <...>) return NUMBER;
```

Parameter	IN/OUT	Description
func		Function or type method for which the cost is being computed
cost	OUT	Computed cost (must be positive whole numbers)
args		Descriptor of actual arguments with which the function or type method was called. If the function has $n$ arguments, the args array will contain $n$ elements, each describing the actual arguments of the function or type method
<>		List of actual parameters to the function or type method; the number, position, and type of each argument must be the same as in the function or type method

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

### **Usage Notes**

This function should be implemented as a static type method.

## ODCIStatsIndexCost()

Calculates the cost of a domain index scan, either a scan of the entire index or a scan of one or more index partitions if a local domain index has been built.

#### **Syntax**

```
FUNCTION ODCIStatsIndexCost(
  ia ODCIIndexInfo,
  sel NUMBER,
   cost OUT ODCICost,
   qi ODCIQueryInfo,
  pred ODCIPredInfo,
   args ODCIArgDescList,
   start <operator_return_type>,
  stop <operator_return_type>,
   env ODCIEnv) return NUMBER;
```

Parameter	IN/OUT	Description
ia		domain index for which statistics are being collected
sel		the user-computed selectivity of the predicate
cost		computed cost (must be positive whole numbers)
qi		Information about the query
pred		Information about the predicate
args		Descriptor of start, stop, and actual value arguments with which the operator was called. If the operator has $n$ arguments, the args array will contain $n+1$ elements, the first element describing the start value, the second element describing the stop value, and the remaining $n-1$ elements describing the actual value arguments of the operator (that is, the arguments after the first)
start		Lower bound of the operator (for example, 2 for a predicate $fn() > 2$ )
stop		Upper bound of the operator (for example, 5 for a predicate fn() $< 5$ )

Parameter	IN/OUT	Description
<>		List of actual parameters to the operator (excluding the first); the number, position, and type of each argument must be the same as in the operator.
env		Contains general information about the environment in which the routine is executing.

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning

### **Usage Notes**

- For each table in the query, the optimizer uses partition pruning to determine the range of partitions that may be accessed. These partitions are called **interesting** partitions. The set of interesting partitions for a table is also the set of interesting partitions for all domain indexes on that table. The cost of a domain index can depend on the set of interesting partitions, so the optimizer passes a list of interesting index partitions to ODCIStatsIndexCost in the args argument (the type of this argument, ODCIArgDescList, is a list of ODCIArgDesc argument descriptor types) for those arguments that are columns. For non-partitioned domain indexes or for cases where no partition pruning is possible, no partition list is passed to ODCIStatsIndexCost, and you should assume that the entire index will be accessed.
- The domain index key can contain multiple column arguments (for example, the indexed column and column arguments from other tables appearing earlier in a join order). For each column appearing in the index key, the args argument contains the list of interesting partitions for the table. For example, for an index key op (T1.c1, T2.c2) = 1, the optimizer passes a list of interesting partitions for tables T1 and T2 if they are partitioned and there is partition pruning for them.
- This function should be implemented as a static type method.
- Only a single call is made to the ODCIIndexCost function for queries on partitioned or non-partitioned tables. For queries on partitioned tables, additional information is passed in the ODCIIndexCost function. Note that some partitions in the list passed to ODCIStatsIndexCost may not actually be accessed by the query. The list of interesting partitions chiefly serves to exclude partitions that definitely will not be accessed.
- When the ODCIIndexCost function is invoked, users can fill in a string in the IndexCostInfo field of the cost attribute to supply any additional information that might be helpful. The string (255 characters maximum) is displayed in the OPTIONS column in the EXPLAIN PLAN output when an execution plan chooses a domain index scan.
- Users implementing this function must return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call.

## ODCIStatsSelectivity()

Specifies the selectivity of a predicate. The selectivity of a predicate involving columns from a single table is the fraction of rows of that table that satisfy the predicate. For predicates involving columns from multiple tables (for example, join predicates), the selectivity should be computed as a fraction of rows in the Cartesian product of those tables.

### **Syntax**

```
FUNCTION ODCIStatsSelectivity(
   pred ODCIPredInfo,
   sel OUT NUMBER,
   args ODCIArgDescList,
   start <function_return_type>,
   stop <function_return_type>,
   <...>,
   env ODCIEnv) return NUMBER;
```

Parameter IN/OUT	Description
pred	Predicate for which the selectivity is being computed
sel	The computed selectivity, expressed as a number between (and including) 0 and 100, representing a percentage.
args	Descriptor of start, stop, and actual arguments with which the function, type method, or operator was called. If the function has $n$ arguments, the args array will contain $n+2$ elements, the first element describing the start value, the second element describing the stop value, and the remaining $n$ elements describing the actual arguments of the function, method, or operator
start	Lower bound of the function (for example, 2 for a predicate $fn() > 2$ )
stop	Upper bound of the function (for example, 5 for a predicate $fn() < 5$ )
<	List of actual parameters to the function or type method; the number, position, and type of each argument must be the same as in the function, type method, or operator
env	Contains general information about the environment in which the routine is executing

#### Returns

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning

- As in ODCIStatsIndexCost, the args argument contains a list of interesting partitions for the tables whose columns are referenced in the predicate for which the selectivity has to be computed. These interesting partitions are partitions that cannot be eliminated by partition pruning as possible candidates to be accessed. The set of interesting partitions is passed to the function only if partition pruning has occurred (in other words, the interesting partitions are a strict subset of all the partitions).
- For example, when ODCIStatsSelectivity is called to compute the selectivity of the predicate f (T1.c1, T2.c2) > 4, the optimizer passes the list of interesting partitions for the table T1 (in the argument descriptor for column T1.c1) if partition pruning is possible; similarly for the table T2.
  - If a predicate contains columns from more than one table, this information is indicated by the flag bit PredMultiTable, set in the Flags attribute of the pred argument.
- This function should be implemented as a static type method.
- Users implementing this interface must return 'SYS.ODCISTATS2' in the ODCIGetInterfaces call.

- The selectivity of a predicate involving columns from a single table is the fraction of rows of that table that satisfy the predicate. For predicates involving columns from multiple tables (for example, join predicates), the selectivity should be computed as a fraction of rows in the Cartesian product of those tables. For tables with partition pruning, the selectivity should be expressed relative to the cardinalities of the interesting partitions of the tables involved.
  - The selectivity of predicates involving columns on partitioned tables is computed relative to the rows in the interesting partitions. Thus, the selectivity of the predicate g (T1.c1) < 5 is the percentage of rows in the set of interesting partitions (or all partitions if no partition pruning is possible) that satisfies this predicate. For predicates with columns from multiple tables, the selectivity must be relative to the number of rows in the cartesian product of the tables.
- For example, consider the predicate f(T1.c1, T2.c2) > 4. Suppose that the number of rows in the interesting partitions is 1000 for T1 and 5000 for T2. The selectivity of this predicate must be expressed as the percentage of the 5,000,000 rows in the Cartesian product of T1 and T2 that satisfy the predicate.
- If a predicate contains columns from more than one table, this information is indicated by the flag bit PredMultiTable set in the Flags attribute of the pred argument.
- A selectivity expressed relative to the base cardinalities of the tables involved may be only an approximation of the true selectivity if cardinalities (and other statistics) of the tables have been reduced based on single-table predicates or other joins earlier in the join order. However, this approximation to the true selectivity should be acceptable to most applications.
- Only one call is made to the ODCIStatsSelectivity function for queries on partitioned or non-partitioned tables. In the case of queries on partitioned tables, additional information is passed while calling the ODCIStatsSelectivity function.

## ODCIStatsTableFunction()

Provides cardinality statistics for table functions and input cursor expressions.

#### **Syntax**

```
STATIC FUNCTION ODCIStatsTableFunction(
  func IN SYS.ODCIFuncInfo,
  outStats OUT SYS.ODCITabFuncStats,
  argDesc IN SYS.ODCIArgDescList,
  <...>) RETURN NUMBER;
```

Parameter	IN/OUT	Description
func		Table function name
outStats		Number of rows expected to be returned
argDesc		Description of the arguments to the table function
<>		The arguments' compile-time values. Expressions that only have values at run time are represented by nulls.

#### Returns

ODCIConst.Success, ODCIConst.Error, or ODCIConst.Warning.

# **User-Defined Aggregate Functions Interface**

This chapter describes the routines that need to be implemented to define a user-defined aggregate function. The routines are implemented as methods in an object type. Then the CREATE FUNCTION statement is used to actually create the aggregate function.

This chapter contains the following topics:

**User-Defined Aggregate Functions** 

**See Also:** Chapter 11, "User-Defined Aggregate Functions"

## **User-Defined Aggregate Functions**

The methods in this section are implemented as methods in an object type. The CREATE FUNCTION statement is used to actually create the aggregate function. Table 22–1 summarizes these functions.

Table 22-1 Summary of User-Defined Aggregate Functions

Function	Description
ODCIAggregateInitialize() on page 22-1	Initializes the aggregation context and instance of the implementation object type, and returns it as an OUT parameter.
ODCIAggregateIterate() on page 22-2	Iterates through input rows by processesing the input values, updating and then returning the aggregation context.
ODCIAggregateMerge() on page 22-2	Merges two aggregation contexts into a single object instance during either serial or parallel evaluation of the user-defined aggregate.
ODCIAggregateTerminate() on page 22-3	Calculates the result of the aggregate computation and performs all necessary cleanup, such as freeing memory.
ODCIAggregateDelete() on page 22-3	Removes an input value from the current group.
ODCIAggregateWrapContext() on page 22-3	Integrates all external pieces of the current aggregation context to make the context self-contained.

## **ODCIAggregateInitialize()**

Initializes the aggregation context and instance of the implementation object type, and returns it as an OUT parameter. F Implement this routine as a static method.

#### **Syntax**

STATIC FUNCTION ODCIAggregateInitialize(

actx IN OUT <impltype>) RETURN NUMBER

Parameter	In/Out	Description
actx	IN OUT	The aggregation context that is initialized by the routine. This value is NULL for regular aggregation cases. In aggregation over windows, actx is the context of the previous window. This object instance is passed in as a parameter to the next aggregation routine.

#### Returns

ODCIConst.Success on success, or ODCIConst.Error on error.

## **ODCIAggregateIterate()**

Iterates through input rows by processesing the input values, updating and then returning the aggregation context. Invoked for each value, including NULLs. This is a mandatory routine and is implemented as a member method.

### Syntax 1 4 1

```
MEMBER FUNCTION ODCIAggregateIterate(
  self IN OUT <impltype>,
  val <inputdatatype>) RETURN NUMBER;
```

Parameter	IN/OUT	Description
self	IN OUT	As input, the value of the current aggregation context; as output, the updated value.
val	IN	The input value which is being aggregated.

#### Returns

ODCIConst.Success on success, or ODCIConst.Error on error.

## **ODCIAggregateMerge()**

Merges two aggregation contexts into a single object instance during either serial or parallel evaluation of the user-defined aggregate. This is a mandatory routine and is implemented as a member method.

#### **Syntax**

```
MEMBER FUNCTION ODCIAggregateMerge(
  self IN OUT <impltype>,
  ctx2 IN <impltype>) RETURN NUMBER;
```

Parameter	IN/OUT	Description
self	IN OUT	On input, the value of the first aggregation context; on output, the resulting value of the two merged aggregation contexts.
ctx2	IN	The value of the second aggregation context.

#### Returns

ODCIConst.Success on success, or ODCIConst.Error on error.

# ODCIAggregateTerminate()

Calculates the result of the aggregate computation and performs all necessary cleanup, such as freeing memory. Invoked by Oracle as the last step of aggregate computation. This is a mandatory routine and is implemented as a member method.

## **Syntax**

```
MEMBER FUNCTION ODCIAggregateTerminate(
  self IN <impltype>,
  ReturnValue OUT <return_type>,
   flags IN number) RETURN NUMBER;
```

Parameter	IN/OUT	Description
self	IN	The value of the aggregation context.
ctx2	OUT	The resultant aggregation value.
flags	IN	A bit vector that indicates various options. A set bit of ODCI_AGGREGATE_REUSE_CTX indicates that the context will be reused and any external context should not be freed.

#### Returns

ODCIConst. Success on success, or ODCIConst. Error on error.

**See Aso:** "Reusing the Aggregation Context for Analytic Functions" on page 11-6 for details on setting the ODCI\_AGGREGATE\_REUSE\_CTX flag bit.

# ODCIAggregateDelete()

Removes an input value from the current group. The routine is invoked by Oracle by passing in the aggregation context and the value of the input to be removed during It processes the input value, updates the aggregation context, and returns the context. This is an optional routine and is implemented as a member method.

#### Syntax 1 4 1

```
MEMBER FUNCTION ODCIAggregateDelete(
  self IN OUT <impltype>,
  val <inputdatatype>) RETURN NUMBER;
```

Parameter	IN/OUT	Description	
self	IN OUT	As input, the value of the current aggregation context; as output, the updated value.	
val	IN	The input value which is being removed from the current group.	

#### Returns

ODCIConst. Success on success, or ODCIConst. Error on error.

# ODCIAggregateWrapContext()

Integrates all external pieces of the current aggregation context to make the context self-contained. Invoked by Oracle if the user-defined aggregate has been declared to have external context and is transmitting partial aggregates from slave processes. This is an optional routine and is implemented as a member method.

# **Syntax**

MEMBER FUNCTION ODCIAggregateWrapContext( self IN OUT <impltype>) RETURN NUMBER;

Parameter	IN/OUT	Description	
self	IN	On input, the value of the current aggregation context; on output, the self-contained combined aggregation context.	

### Returns

 ${\tt ODCIConst.Success}\ on\ success, or\ {\tt ODCIConst.Error}\ on\ error.$ 

**See Also:** "Handling Large Aggregation Contexts" on page 11-4 for more information on using this function

# **Pipelined and Parallel Table Methods**

This chapter contains this topic:

Methods for Pipelined and Parallel Tables Functions in C

**See Also:** Chapter 13, "Using Pipelined and Parallel Table Functions"

# Methods for Pipelined and Parallel Tables Functions in C

The following C methods, summarized in Table 23–1, support parallel and pipelined table functions.

Table 23–1 Summary of Pipelined and Parallel Table Methods for C

•	
Function	Description
ODCITableStart() on page 23-1	initializes the scan of a table function.
ODCITableFetch() on page 23-2	returns the next batch of rows from a table function.
ODCITableClose() on page 23-3	Performs cleanup operations after scanning a table function.
ODCITablePrepare() on page 23-3	Prepares the scan context and other query information at compile time.
ODCITableDescribe() on page 23-4	Returns describe information for a table function whose return type is ANYDATASET.

# ODCITableStart()

ODCITableStart initializes the scan of a table function.

### Syntax

```
STATIC FUNCTION ODCITableStart(
  sctx IN OUT <imptype>,
  <args>) RETURN NUMBER;
```

Parameter	In/Out	Description
self	IN OUT	The scan context returned by this routine. This value is passed in as a parameter to the later scan routines. The scan context is an instance of the object type containing the implementation of the ODCITable routines. If ODCITablePrepare is implemented, the scan context it creates is passed in to ODCITableStart.
args	IN	Set of 0 or more arguments specified by the user for the table function.

Parameter	In/Out	Description
rws	OUT	The next batch of rows from the table function. Returned as an instance of the same collection type as the return type of the table function.

#### Returns

ODCIConst.Success on success, ODCIConst.Error otherwise.

### **Usage Notes**

- If ODCITablePrepare is not implemented, this is the first routine that is invoked to begin retrieving rows from a table function. This routine typically performs the setup needed for the scan. The scan context is created (as an object instance sctx) and returned. The arguments to the table function, specified by the user in the SELECT statement, are passed in as parameters to this routine. If ODCITablePrepare is implemented, it creates the scan context at compile time, and that scan context is passed in to this routine.
- Any REF CURSOR arguments of the table function must be declared as SYS\_ REFCURSOR type in the declaration of the ODCITableStart method.

# ODCITableFetch()

ODCITableFetch returns the next batch of rows from a table function.

# Syntax 1 4 1

```
MEMBER FUNCTION ODCITableFetch (
  self IN OUT <imptype>,
  nrows IN NUMBER,
  rws OUT <coll-type>) RETURN NUMBER;
```

Parameter	In/Out	Description
self	IN OUT	The in-bound is the scan context set up by previous scan routine invocation; the outbound is the scan context to be passed to later scan routine invocations.
nrows	IN	The number of rows the system expects in the current fetch cycle. The method can ignore this value and return a different number of rows. If fewer rows are returned, the method is called again; if more rows are returned, they are processed in the next cycle.
rws	OUT	The next batch of rows from the table function. Returned as an instance of the same collection type as the return type of the table function.

#### Returns

ODCIConst.Success on success, ODCIConst.Error otherwise.

### **Usage Notes**

- ODCITableFetch is invoked one or more times by Oracle to retrieve all the rows in the collection returned by the table function. The scan context is passed in as a parameter. Typically ODCITableFetch uses the input scan context and computes the next set of rows to be returned to Oracle. In addition, it may update the scan context accordingly.
- Returning more rows in each invocation of fetch () reduces the number of fetch calls that need to be made and thus improves performance.

Oracle calls ODCITableFetch repeatedly until all rows in the table function's collection have been returned. When all rows have been returned, ODCITableFetch should return a null collection.

# ODCITableClose()

ODCITableClose performs cleanup operations after scanning a table function.

### Syntax 1 4 1

```
MEMBER FUNCTION ODCITableClose(
  self IN <imptype>) RETURN NUMBER;
```

Parameter	In/Out	Description
self	IN	The scan context set up by previous scan routine invocation

### **Returns**

ODCIConst.Success on success, ODCIConst.Error otherwise.

### **Usage Notes**

- Oracle invokes ODCITableClose after the last fetch call. The scan context is passed in as a parameter. ODCITableClose then performs any necessary cleanup operations, such as freeing memory.
- If ODCITablePrepare is implemented, this routine is only called once, at the end of query execution, rather than each time the table function exits.

# ODCITablePrepare()

Prepares the scan context and other query information at compile time.

### **Syntax**

```
STATIC FUNCTION ODCITablePrepare(
  sctx OUT <imptype>,
  tf_info SYS.ODCITabFuncInfo,
  args);
```

Parameter	In/Out	Description	
sctx	OUT	The scan context returned by this routine. This value is passed in as a parameter to the later scan routines. The scan context is an instance of the object type containing the implementation of the ODCITable routines.	
tf_info		Contains the projection information and the return type's table descriptor object (TDO):	
		<ul> <li>Attrs (SYS.ODCINumberList): lists the positions of the referenced attributes of the table function's output collection type</li> </ul>	
		<ul> <li>RefType (SYS.AnyType): for AnyDataSet table functions, this is the actual return type expected to be returned in the AnyDataSet collection.</li> </ul>	
args	IN	The arguments that will be passed to the table function. This method is invoked at compile time; thus, only literal arguments have values. Column and expression arguments are passed as null values.	

# **Usage Notes**

- Prepares the scan context based on the information known at compile time. This scan context is passed to ODCITableStart at the beginning of query execution.
- If implemented, ODCITableClose is only called at the end of query execution. Each time the table function is restarted, ODCITableStart is called and passed the scan context, allowing the table function to maintain context between restarts and to perform cleanup operations at the end of query execution only.

# ODCITableDescribe()

ODCITableDescribe returns describe information for a table function whose return type is ANYDATASET.

**See Also:** Chapter, "Transient and Generic Types" on page 13-23 for a discussion of ANYTYPE, ANYDATA, and ANYDATASET

### Syntax 1 4 1

STATIC FUNCTION ODCITableDescribe( rtype OUT ANYTYPE, <args>) RETURN NUMBER;

Parameter	In/Out	Description
rtype	OUT	AnyType value that describes the rows returned from the table function.
args	IN	The set of 0 or more user specified arguments for the table function.

#### Returns

ODCIConst. Success on success, ODCIConst. Error otherwise.

## **Usage Notes**

- Invoked during query compilation to retrieve specific type information.
- This interface is applicable only for table functions whose return type is ANYDATASET. The format of elements within the returned collection is conveyed to Oracle by returning an instance of ANYTYPE. The ANYTYPE instance specifies the actual structure of the returned rows in the context of the specific query.
- ANYTYPE provides a datatype to model the metadata of a row: the names and datatypes of all the columns or fields comprising the row. It also provides a set of PL/SQL and C interfaces for constructing and accessing the metadata information. ANYDATASET, like ANYTYPE, contains a description of a given type, and also a set of data instances of that type.
- A query on a table function that uses the ANYDATASET type is of the form:

```
SELECT * FROM
TABLE(CAST(AnyBooks('http://.../books.xml') AS ANYDATASET));
```

At query compilation time, Oracle invokes the ODCITableDescribe routine, and user arguments determine the nature of the return rows. ODCITableDescribe consults the DTD of the XML documents at the specified location to determine the appropriate ANYTYPE value. Each ANYTYPE instance is constructed by invoking the constructor APIs with this field name and datatype information.

Any arguments of the table function that are not constants are passed to ODCITableDescribe as NULLs since their values are not known at compile time.

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